Expected Status or Pre-Award Account

What are expected status or pre-award accounts?

A grant account set up with expected status in SAP in advance of receiving a finalized sponsored project award notice

Often referred to as an e-status account (the status is updated once the award is received)

Generally established for up to 90 days of pro-rated budget for no more than 90 days worth of expenses

Expenses are not reimbursed by the sponsor while in expected status

Expenses are not reported to the sponsor while in expected status

THE
EXPECTED

Why set up an e-status account?

If the research will begin prior to final award notice, an e-status account is expected to be requested

The department can establish budget for sponsored classes needed such as salary in lieu of all budget items

Grant account number is generated in e-status and will remain the same once award notice is received; eliminating the need for cost transfers

To properly record expenses associated to perform the scope of work and incurred within the period of performance of the award

When can an e-status account be established?

Prior to receiving the final award notice

What is the value of an e-status account?

PI can begin scope of work

Grant expenses can be posted directly to the account associated with the project; eliminating the risk associated with cost transfers

- Payroll cost distribution can be created timely to avoid Z4 cost transfers

- Procards, PRDs and purchase orders can be processed directly to the grant account

Responsible unit has approved beginning the work in advance of award

Project payroll confirmation can be completed timely and accurately

How do I establish an e-status account?

Submit required forms and documentation to OSPA

Request for revision/action form
Statement of responsibility
Budget
E-IAF

Resources

OSPA e-accounts https://www.research.uky.edu/office-sponsored-projects-administration/e-accounts-or-pre-award-accounts

OSPA Forms and Templates https://www.research.uky.edu/office-sponsored-projects-administration/forms-and-templates

Extras

Who can I contact for assistance?
        RA (Research Administrator)
        Business Officer
        College Grants Officer
        FA (Financial Administrator)

Presentations: http://www.uky.edu/ufs/sponsored-projects-bits-pieces-presentations
RFS: https://www.uky.edu/ufs/research-financial-services
UFS: https://www.uky.edu/ufs/

EXPECTED