

University of Kentucky
A Component Unit of the Commonwealth of Kentucky
Single Audit Reports and
Schedule of Expenditures of Federal Awards
Year Ended June 30, 2011



University of Kentucky
A Component Unit of the Commonwealth of Kentucky
Single Audit Reports and Schedule of Expenditures of Federal Awards
June 30, 2011

Contents

| | |
|---|-----|
| Schedule of Expenditures of Federal Awards | 1 |
| Notes to Schedule of Expenditures of Federal Awards | 96 |
| Independent Accountants' Report on Internal Control Over Financial Reporting and on Compliance and Other Matters Based on an Audit of the Financial Statements Performed in Accordance With <i>Government Auditing Standards</i> | 101 |
| Independent Accountants' Report on Compliance With Requirements that Could Have a Direct and Material Effect on Each Major Program and on Internal Control Over Compliance in Accordance with OMB Circular A-133 and Schedule of Expenditures of Federal Awards | 103 |
| Schedule of Findings and Questioned Costs | 105 |
| Summary Schedule of Prior Audit Findings | 108 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|---------------------|---------------------------|-------------------------|
| RESEARCH AND DEVELOPMENT | | | |
| Department of Agriculture | | | |
| Department of Agriculture | 58-3625-7-649 | 10.001 | 383 |
| Department of Agriculture | 58-5348-6-131 | 10.001 | 2,641 |
| Subtotal Department of Agriculture | | | 3,024 |
| University of Illinois | 2010-06136-01 | 10.001 | 37,413 |
| Subtotal University of Illinois | | | 37,413 |
| USDA, ARS, Forage-Animal Production Research | 58-5430-9-339 | 10.001 | 35,764 |
| USDA, ARS, Forage-Animal Production Research | 58-6440-0-113 | 10.001 | 6,388 |
| USDA, ARS, Forage-Animal Production Research | 58-6440-8-290 | 10.001 | 759,166 |
| USDA, ARS, Forage-Animal Production Research | 59-0206-0-062 | 10.001 | 12,264 |
| USDA, ARS, Forage-Animal Production Research | 59-0206-1-082 | 10.001 | 23,421 |
| USDA, ARS, Forage-Animal Production Research | 59-0206-9-054 | 10.001 | 41,673 |
| USDA, ARS, Forage-Animal Production Research | 59-0790-6-056 | 10.001 | 87,447 |
| USDA, ARS, Forage-Animal Production Research | 59-0790-7-076 | 10.001 | 14,042 |
| Subtotal USDA, ARS, Forage-Animal Production Research | | | 980,165 |
| SUBTOTAL 10.001 | | | 1,020,602 |
| Forest Service | 05-PA-11080222-105 | 10.05-PA-11080222-105 | 20,719 |
| Subtotal Forest Service | | | 20,719 |
| SUBTOTAL 10.05-PA-11080222-105 | | | 20,719 |
| Forest Service | 09-CS-11080226-013 | 10.09-CS-11080226-013 | 3,186 |
| Subtotal Forest Service | | | 3,186 |
| SUBTOTAL 10.09-CS-11080226-013 | | | 3,186 |
| Forest Service | 09-CS-11132422-229 | 10.09-CS-11132422-229 | 22,155 |
| Subtotal Forest Service | | | 22,155 |
| SUBTOTAL 10.09-CS-11132422-229 | | | 22,155 |
| Forest Service | 09-JV-11242307-111 | 10.09-JV-11242307-111 | 4,617 |
| Subtotal Forest Service | | | 4,617 |
| SUBTOTAL 10.09-JV-11242307-111 | | | 4,617 |
| Forest Service | 10-JV-11330152-119 | 10.10-JV-11330152-119 | 17,106 |
| Subtotal Forest Service | | | 17,106 |
| SUBTOTAL 10.10-JV-11330152-119 | | | 17,106 |
| Forest Service | 10-PA-11080222-029 | 10.10-PA-11080222-029 | 2,628 |
| Subtotal Forest Service | | | 2,628 |
| SUBTOTAL 10.10-PA-11080222-029 | | | 2,628 |
| University of Illinois | 2007-04884-01 C7086 | 10.155 | 6,587 |
| Subtotal University of Illinois | | | 6,587 |
| SUBTOTAL 10.155 | | | 6,587 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|----------------------|---------------------------|-------------------------|
| Kentucky Department of Agriculture | PO2 035 08000213831 | 10.156 | 2,612 |
| Subtotal Kentucky Department of Agriculture | | | 2,612 |
| SUBTOTAL 10.156 | | | 2,612 |
| Kentucky Department of Agriculture | PO2 035 1000005643 2 | 10.170 | 18,016 |
| Kentucky Department of Agriculture | PON 035 1100001315 3 | 10.170 | 2,176 |
| Kentucky Department of Agriculture | PON2 035 11000013163 | 10.170 | 9,725 |
| Kentucky Department of Agriculture | PON2 035 11000013173 | 10.170 | 48,566 |
| Kentucky Department of Agriculture | PON2 35 1100001313 3 | 10.170 | 2,685 |
| Subtotal Kentucky Department of Agriculture | | | 81,168 |
| SUBTOTAL 10.170 | | | 81,168 |
| Cooperative State Research and Education and Extension Service | 2008-34103-18976 | 10.200 | 39,568 |
| Cooperative State Research and Education and Extension Service | 2008-34419-19015 | 10.200 | 168,739 |
| Cooperative State Research and Education and Extension Service | 2008-34457-19176 | 10.200 | 117,760 |
| Cooperative State Research and Education and Extension Service | 2008-34600-19109 | 10.200 | 5,410 |
| Cooperative State Research and Education and Extension Service | 2008-34628-19532 | 10.200 | 243,955 |
| Cooperative State Research and Education and Extension Service | 2009-34419-19891 | 10.200 | 221,217 |
| Cooperative State Research and Education and Extension Service | 2009-34457-20125 | 10.200 | 269,074 |
| Cooperative State Research and Education and Extension Service | 2009-34600-19800 | 10.200 | 96,030 |
| Cooperative State Research and Education and Extension Service | 2009-34628-20231 | 10.200 | 191,591 |
| Subtotal Cooperative State Research and Education and Extension Service | | | 1,353,344 |
| Cornell University | 59497-9087 | 10.200 | 566 |
| Subtotal Cornell University | | | 566 |
| National Institute of Food and Agriculture | 2010-34419-21125 | 10.200 | 100,141 |
| National Institute of Food and Agriculture | 2010-34457-21269 | 10.200 | 131,356 |
| National Institute of Food and Agriculture | 2010-34628-21691 | 10.200 | 196,329 |
| Subtotal National Institute of Food and Agriculture | | | 427,826 |
| North Carolina State University | 2007-1643-21 | 10.200 | 4,925 |
| Subtotal North Carolina State University | | | 4,925 |
| University of Florida | PO 1000019147 | 10.200 | 7,308 |
| University of Florida | PO 1000038074 | 10.200 | 4,500 |
| University of Florida | PO 1000061392 | 10.200 | 10,007 |
| University of Florida | PO 1000061472 | 10.200 | 9,913 |
| University of Florida | PO 1000061650 | 10.200 | 2,022 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|--------------------|---------------------------|-------------------------|
| University of Florida | PO 1100068328 | 10.200 | 1,814 |
| University of Florida | UF IFAS 00078355 | 10.200 | 174 |
| Subtotal University of Florida | | | 35,738 |
| SUBTOTAL 10.200 | | | 1,822,399 |
| Cooperative State Research and Education and Extension Service | 2009-34628-20231 | 10.202 | 18,605 |
| Subtotal Cooperative State Research and Education and Extension Service | | | 18,605 |
| Forest Service | 09-DG-11420004-079 | 10.202 | 62,030 |
| Subtotal Forest Service | | | 62,030 |
| USDA CSREES (AES) Federal Formula Funds | AES | 10.202 | 344,197 |
| Subtotal USDA CSREES (AES) Federal Formula Funds | | | 344,197 |
| SUBTOTAL 10.202 | | | 424,832 |
| USDA CSREES (AES) Federal Formula Funds | AES | 10.203 | 4,852,232 |
| Subtotal USDA CSREES (AES) Federal Formula Funds | | | 4,852,232 |
| SUBTOTAL 10.203 | | | 4,852,232 |
| Cooperative State Research and Education and Extension Service | 2007-35107-18311 | 10.206 | 88,422 |
| Cooperative State Research and Education and Extension Service | 2007-35600-17829 | 10.206 | 45,821 |
| Cooperative State Research and Education and Extension Service | 2007-55112-17854 | 10.206 | 90,075 |
| Cooperative State Research and Education and Extension Service | 2008-35107-04504 | 10.206 | 67,374 |
| Cooperative State Research and Education and Extension Service | 2008-35107-04649 | 10.206 | 88,154 |
| Cooperative State Research and Education and Extension Service | 2008-35302-04533 | 10.206 | 42,323 |
| Cooperative State Research and Education and Extension Service | 2008-35302-18715 | 10.206 | 198,287 |
| Cooperative State Research and Education and Extension Service | 2008-35319-04517 | 10.206 | 71,284 |
| Cooperative State Research and Education and Extension Service | 2008-35503-18790 | 10.206 | 66,878 |
| Cooperative State Research and Education and Extension Service | 2008-35503-18858 | 10.206 | (1,883) |
| Cooperative State Research and Education and Extension Service | 2008-35600-18809 | 10.206 | 135,790 |
| Cooperative State Research and Education and Extension Service | 2009-35503-05190 | 10.206 | 74,292 |
| Cooperative State Research and Education and Extension Service | 2009-35503-05194 | 10.206 | 50,799 |
| Subtotal Cooperative State Research and Education and Extension Service | | | 1,017,616 |
| Department of Agriculture | 2001-35504-10106 | 10.206 | (1,053) |
| Subtotal Department of Agriculture | | | (1,053) |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|-------------------------|---------------------------|-------------------------|
| University of Tennessee | 106891 | 10.206 | 21,817 |
| Subtotal University of Tennessee | | | 21,817 |
| SUBTOTAL 10.206 | | | 1,038,380 |
| USDA CSREES (AES) Federal Formula Funds | AES | 10.207 | 53,871 |
| Subtotal USDA CSREES (AES) Federal Formula Funds | | | 53,871 |
| SUBTOTAL 10.207 | | | 53,871 |
| University of Georgia | RD309-097/4689098 | 10.215 | 948 |
| University of Georgia | RD309-097-4688088 | 10.215 | 2,493 |
| Subtotal University of Georgia | | | 3,441 |
| SUBTOTAL 10.215 | | | 3,441 |
| Kentucky State University | 661504/2003-38814-13972 | 10.216 | (14,745) |
| Subtotal Kentucky State University | | | (14,745) |
| SUBTOTAL 10.216 | | | (14,745) |
| Cooperative State Research and Education and Extension Service | 2006-39454-17406 | 10.219 | 62,009 |
| Cooperative State Research and Education and Extension Service | 2006-39454-17446 | 10.219 | 60,992 |
| Subtotal Cooperative State Research and Education and Extension Service | | | 123,001 |
| SUBTOTAL 10.219 | | | 123,001 |
| Fayette County Public Schools | 2008-38414-19016 | 10.226 | 7,482 |
| Subtotal Fayette County Public Schools | | | 7,482 |
| SUBTOTAL 10.226 | | | 7,482 |
| Economic Research Service | 58-3000-0-0054 | 10.250 | 27,492 |
| Subtotal Economic Research Service | | | 27,492 |
| SUBTOTAL 10.250 | | | 27,492 |
| Mississippi State University | 012000-321113-02 | 10.303 | 1,414 |
| Subtotal Mississippi State University | | | 1,414 |
| Washington State University | 112890_G002645 | 10.303 | 91,887 |
| Subtotal Washington State University | | | 91,887 |
| SUBTOTAL 10.303 | | | 93,301 |
| University of Florida | UF IFAS 00069557 | 10.304 | 28,629 |
| Subtotal University of Florida | | | 28,629 |
| SUBTOTAL 10.304 | | | 28,629 |
| Cooperative State Research and Education and Extension Service | 2008-51160-04405 | 10.305 | 11,378 |
| Subtotal Cooperative State Research and Education and Extension Service | | | 11,378 |
| SUBTOTAL 10.305 | | | 11,378 |
| Iowa State University | 416-30-06B | 10.307 | 51,094 |
| Subtotal Iowa State University | | | 51,094 |
| SUBTOTAL 10.307 | | | 51,094 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|-------------------|---------------------------|-------------------------|
| University of Illinois | 2010-03728-02 | 10.309 | 53,193 |
| Subtotal University of Illinois | | | 53,193 |
| SUBTOTAL 10.309 | | | 53,193 |
| Cooperative State Research and Education and Extension Service | 2009-65109-05918 | 10.310 | 187,290 |
| Subtotal Cooperative State Research and Education and Extension Service | | | 187,290 |
| Department of Agriculture | 2009-65300-05663 | 10.310 | 1,106 |
| Subtotal Department of Agriculture | | | 1,106 |
| National Institute of Food and Agriculture | 2010-65119-20600 | 10.310 | 69,307 |
| National Institute of Food and Agriculture | 2010-65206-20638 | 10.310 | 29,833 |
| National Institute of Food and Agriculture | 2011-67009-20033 | 10.310 | 18,631 |
| National Institute of Food and Agriculture | 2011-67013-30143 | 10.310 | 12,633 |
| National Institute of Food and Agriculture | 2011-67014-30151 | 10.310 | 17,423 |
| National Institute of Food and Agriculture | 2011-67014-30194 | 10.310 | 2,617 |
| National Institute of Food and Agriculture | 2011-67015-30144 | 10.310 | 5,842 |
| National Institute of Food and Agriculture | 2011-67016-30145 | 10.310 | 12,119 |
| Subtotal National Institute of Food and Agriculture | | | 168,405 |
| University of Florida | UF10040; 00086631 | 10.310 | 38,802 |
| Subtotal University of Florida | | | 38,802 |
| University of Minnesota | H001340202 | 10.310 | 21,394 |
| Subtotal University of Minnesota | | | 21,394 |
| SUBTOTAL 10.310 | | | 416,997 |
| Cooperative State Research and Education and Extension Service | 2009-10001-05106 | 10.312 | 190,023 |
| Subtotal Cooperative State Research and Education and Extension Service | | | 190,023 |
| SUBTOTAL 10.312 | | | 190,023 |
| Rural Development | RBS-10-04 | 10.352 | 25,778 |
| Subtotal Rural Development | | | 25,778 |
| SUBTOTAL 10.352 | | | 25,778 |
| Risk Management Agency | 05IE08310215 | 10.456 | (80) |
| Subtotal Risk Management Agency | | | (80) |
| SUBTOTAL 10.456 | | | (80) |
| Cooperative State Research and Education and Extension Service | 2008-45058-04399 | 10.500 | 291,183 |
| Cooperative State Research and Education and Extension Service | 2009-45048-05575 | 10.500 | 201,665 |
| Subtotal Cooperative State Research and Education and Extension Service | | | 492,848 |
| National Institute for Hometown Security | 02-09-UK | 10.500 | 323,803 |
| Subtotal National Institute for Hometown Security | | | 323,803 |
| National Institute of Food and Agriculture | 2010-45058-20746 | 10.500 | 43,723 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|-----------------------|---------------------------|-------------------------|
| Subtotal National Institute of Food and Agriculture | | | 43,723 |
| North Carolina State University | 2008-1004-13 | 10.500 | 1,439 |
| Subtotal North Carolina State University | | | 1,439 |
| University of Massachusetts | 11-006323 G 00 | 10.500 | 763 |
| Subtotal University of Massachusetts | | | 763 |
| University of Nebraska | 26-6365-0001-312 | 10.500 | (94) |
| Subtotal University of Nebraska | | | (94) |
| West Virginia University | 98-228A-UKRF | 10.500 | 24,598 |
| Subtotal West Virginia University | | | 24,598 |
| SUBTOTAL 10.500 | | | 887,080 |
| Department of Agriculture | 09-CA-11330134-075 | 10.652 | 4,915 |
| Subtotal Department of Agriculture | | | 4,915 |
| Forest Service | 05-CS-11083601-004 | 10.652 | 7,525 |
| Forest Service | 10-CS-11083601-004 | 10.652 | 51,638 |
| Subtotal Forest Service | | | 59,163 |
| SUBTOTAL 10.652 | | | 64,078 |
| Forest Service | 06-CS-11080221-129 | 10.664 | 4,886 |
| Subtotal Forest Service | | | 4,886 |
| Kentucky Energy and Environment Cabinet | PO2 128 1000002056 1 | 10.664 | (77) |
| Kentucky Energy and Environment Cabinet | PON2 128 1100000365 1 | 10.664 | 6,000 |
| Subtotal Kentucky Energy and Environment Cabinet | | | 5,923 |
| Kentucky Public Protection Cabinet | PO2 128 0800017631 | 10.664 | 3,468 |
| Subtotal Kentucky Public Protection Cabinet | | | 3,468 |
| Slow the Spread Foundation | 10-01-02 | 10.664 | 46,147 |
| Slow the Spread Foundation | 11-01-02 | 10.664 | 2,254 |
| Subtotal Slow the Spread Foundation | | | 48,401 |
| SUBTOTAL 10.664 | | | 62,678 |
| Department of Agriculture | 06-DG-11244225-246 | 10.675 | 17,553 |
| Subtotal Department of Agriculture | | | 17,553 |
| SUBTOTAL 10.675 | | | 17,553 |
| Forest Service | 10-DG-11083150-006 | 10.680 | 40,960 |
| Subtotal Forest Service | | | 40,960 |
| SUBTOTAL 10.680 | | | 40,960 |
| ARRA-Forest Service | 10-PA-11084419-006 | 10.687 | 16,516 |
| Subtotal ARRA-Forest Service | | | 16,516 |
| SUBTOTAL 10.687 | | | 16,516 |
| Natural Resources Conservation Service | 68-5C16-10-058 | 10.902 | 114,508 |
| Subtotal Natural Resources Conservation Service | | | 114,508 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---|--------------------|---------------------------|-------------------------|
| SUBTOTAL 10.902 | | | 114,508 |
| Natural Resources Conservation Service | 68-5C16-9-175 | 10.903 | 5,793 |
| Natural Resources Conservation Service | 68-7482-10-518 | 10.903 | 17,653 |
| Subtotal Natural Resources Conservation Service | | | 23,446 |
| SUBTOTAL 10.903 | | | 23,446 |
| ARRA-Natural Resources Conservation Service | 68-5C16-10-066 | 10.923 | 9,079 |
| Subtotal ARRA-Natural Resources Conservation Service | | | 9,079 |
| SUBTOTAL 10.923 | | | 9,079 |
| ParaTechs Corp | USDA092905 | 10.USDA092905 | 258 |
| Subtotal ParaTechs Corp | | | 258 |
| SUBTOTAL 10.USDA092905 | | | 258 |
| Department of Agriculture | | | 11,626,234 |
| Department of Commerce | | | |
| University of Hawaii | PO Z888356-01 | 11.432 | (18) |
| Subtotal University of Hawaii | | | (18) |
| SUBTOTAL 11.432 | | | (18) |
| ARRA-National Institute of Standards and Technology | 60NANB10D009 | 11.609 | 613,758 |
| Subtotal ARRA-National Institute of Standards and Technology | | | 613,758 |
| National Institute of Standards and Technology | 60NANB11D011 | 11.609 | 9,711 |
| Subtotal National Institute of Standards and Technology | | | 9,711 |
| SUBTOTAL 11.609 | | | 623,469 |
| National Institute of Standards and Technology | 60NANB10D199 | 11.617 | 145,448 |
| Subtotal National Institute of Standards and Technology | | | 145,448 |
| SUBTOTAL 11.617 | | | 145,448 |
| Department of Commerce | | | 768,899 |
| Department of Defense | | | |
| NextGen Aeronautics | 08-19 | 12.08-19 | 42,218 |
| Subtotal NextGen Aeronautics | | | 42,218 |
| SUBTOTAL 12.08-19 | | | 42,218 |
| Universal Technology Co | 10-S587-0094-01-C3 | 12.10-S587-0094-01-C3 | 38,640 |
| Subtotal Universal Technology Co | | | 38,640 |
| SUBTOTAL 12.10-S587-0094-01-C3 | | | 38,640 |
| South Carolina Research Authority | 2011-203 | 12.2011-203 | 220,521 |
| Subtotal South Carolina Research Authority | | | 220,521 |
| SUBTOTAL 12.2011-203 | | | 220,521 |
| US Office of Naval Research | N00014-04-1-0485 | 12.300 | 265,916 |
| US Office of Naval Research | N00014-05-1-0019 | 12.300 | 50,257 |
| US Office of Naval Research | N00014-08-1-0128 | 12.300 | 10,359 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---|----------------------|---------------------------|-------------------------|
| US Office of Naval Research | N00014-09-1-1156 | 12.300 | 280,582 |
| US Office of Naval Research | N00014-11-1-0329 | 12.300 | 49,916 |
| US Office of Naval Research | N00014-11-1-0584 | 12.300 | 72,134 |
| Subtotal US Office of Naval Research | | | 729,164 |
| SUBTOTAL 12.300 | | | 729,164 |
| Defense Threat Reduction Agency | HDTRA1-08-1-0002 | 12.351 | 133,794 |
| Subtotal Defense Threat Reduction Agency | | | 133,794 |
| SUBTOTAL 12.351 | | | 133,794 |
| Materials Sciences Corp | 3794-AB51-092-25 | 12.3794-AB51-092-25 | 161,753 |
| Subtotal Materials Sciences Corp | | | 161,753 |
| SUBTOTAL 12.3794-AB51-092-25 | | | 161,753 |
| Oak Ridge National Laboratory | 4000100727 | 12.4000100727 | 261,094 |
| Subtotal Oak Ridge National Laboratory | | | 261,094 |
| SUBTOTAL 12.4000100727 | | | 261,094 |
| Materials Sciences Corp | 4002-AB51-092-33 | 12.4002-AB51-092-33 | 35,318 |
| Subtotal Materials Sciences Corp | | | 35,318 |
| SUBTOTAL 12.4002-AB51-092-33 | | | 35,318 |
| Kentucky Department for Facilities and Support Services | P02 095 1000006783 1 | 12.401 | 7,977 |
| Subtotal Kentucky Department for Facilities and Support Services | | | 7,977 |
| Kentucky Department of Military Affairs | PO2 095 0800017047 1 | 12.401 | (2,086) |
| Subtotal Kentucky Department of Military Affairs | | | (2,086) |
| SUBTOTAL 12.401 | | | 5,891 |
| Baylor University Medical Center | PO 5600326337 | 12.420 | 38,280 |
| Subtotal Baylor University Medical Center | | | 38,280 |
| US Army | W81XWH-06-1-0761 | 12.420 | 661,449 |
| Subtotal US Army | | | 661,449 |
| US Army Medical Research Acquisition Activity | W81XWH-04-1-0532 | 12.420 | (76) |
| US Army Medical Research Acquisition Activity | W81XWH-08-1-0392 | 12.420 | 91,389 |
| US Army Medical Research Acquisition Activity | W81XWH-08-1-0430 | 12.420 | 136,537 |
| US Army Medical Research Acquisition Activity | W81XWH-08-1-0431 | 12.420 | 193,619 |
| US Army Medical Research Acquisition Activity | W81XWH-09-1-0461 | 12.420 | 249,824 |
| US Army Medical Research Acquisition Activity | W81XWH-10-1-0778 | 12.420 | 200,724 |
| US Army Medical Research Acquisition Activity | W81XWH-10-2-0189 | 12.420 | 237,727 |
| Subtotal US Army Medical Research Acquisition Activity | | | 1,109,744 |
| SUBTOTAL 12.420 | | | 1,809,473 |
| Defense Advanced Research Projects Agency | W911NF-09-1-0267 | 12.431 | 328,717 |
| Subtotal Defense Advanced Research Projects Agency | | | 328,717 |
| Tulane University | TUL-590-09/10 | 12.431 | 177,609 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---|--------------------|---------------------------|-------------------------|
| Subtotal Tulane University | | | 177,609 |
| US Army | W15QKN-11-1-0001 | 12.431 | 142,327 |
| US Army | W911NF-10-1-0304 | 12.431 | 27,641 |
| Subtotal US Army | | | 169,968 |
| SUBTOTAL 12.431 | | | 676,294 |
| Materials Sciences Corp | 4478-AB51-105-04 | 12.4478-AB51-105-04 | 148,267 |
| Subtotal Materials Sciences Corp | | | 148,267 |
| SUBTOTAL 12.4478-AB51-105-04 | | | 148,267 |
| MITRE Corporation | 74561 | 12.74561 | 61,446 |
| Subtotal MITRE Corporation | | | 61,446 |
| SUBTOTAL 12.74561 | | | 61,446 |
| National Security Agency | H98230-09-1-0032 | 12.901 | 26,982 |
| Subtotal National Security Agency | | | 26,982 |
| SUBTOTAL 12.901 | | | 26,982 |
| Adaptive Intelligent Systems LLC | DOD042008 | 12.910 | 38,590 |
| Adaptive Intelligent Systems LLC | DOD062008 | 12.910 | 41,665 |
| Subtotal Adaptive Intelligent Systems LLC | | | 80,255 |
| Defense Advanced Research Projects Agency | N66001-09-1-2099 | 12.910 | 117,122 |
| Subtotal Defense Advanced Research Projects Agency | | | 117,122 |
| Department of the Interior | N11AP20007 | 12.910 | 7,779 |
| Subtotal Department of the Interior | | | 7,779 |
| VSee Lab | DOD092009 | 12.910 | 129,472 |
| Subtotal VSee Lab | | | 129,472 |
| SUBTOTAL 12.910 | | | 334,628 |
| NanoSonic Inc | A2-0041 | 12.A2-0041 | 9 |
| Subtotal NanoSonic Inc | | | 9 |
| SUBTOTAL 12.A2-0041 | | | 9 |
| Illinois State Museum Society | DOD102007 | 12.DOD102007 | 10,532 |
| Subtotal Illinois State Museum Society | | | 10,532 |
| SUBTOTAL 12.DOD102007 | | | 10,532 |
| HyPerComp Incorporated | FA9550-10-C-0121 | 12.FA9550-10-C-0121 | 28,513 |
| Subtotal HyPerComp Incorporated | | | 28,513 |
| SUBTOTAL 12.FA9550-10-C-0121 | | | 28,513 |
| Innovative Productivity Inc | IPI-05-5300-C08 M5 | 12.IPI-05-5300-C08 M5 | 1,458 |
| Subtotal Innovative Productivity Inc | | | 1,458 |
| SUBTOTAL 12.IPI-05-5300-C08 M5 | | | 1,458 |
| Naval Research Laboratory | N00173-10-P-1086 | 12.N00173-10-P-1086 | 38,858 |
| Subtotal Naval Research Laboratory | | | 38,858 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---|-----------------------|---------------------------|-------------------------|
| SUBTOTAL 12.N00173-10-P-1086 | | | 38,858 |
| US Office of Naval Research | NN00014-09-C-0108 | 12.NN00014-09-C-0108 | (25,325) |
| Subtotal US Office of Naval Research | | | (25,325) |
| SUBTOTAL 12.NN00014-09-C-0108 | | | (25,325) |
| Magnatech Ltd Partnership | ONR033006 | 12.ONR033006 | (2,476) |
| Subtotal Magnatech Ltd Partnership | | | (2,476) |
| SUBTOTAL 12.ONR033006 | | | (2,476) |
| Covalent Group | RF1V-01 | 12.RF1V-01 | 521 |
| Subtotal Covalent Group | | | 521 |
| SUBTOTAL 12.RF1V-01 | | | 521 |
| PPD Development Inc | RF1V-02(A) | 12.RF1V-02(A) | 12,302 |
| Subtotal PPD Development Inc | | | 12,302 |
| SUBTOTAL 12.RF1V-02(A) | | | 12,302 |
| Charles River Analytics Inc | SC1007201 / PO1100255 | 12.SC1007201 / PO1100255 | 41,335 |
| Subtotal Charles River Analytics Inc | | | 41,335 |
| SUBTOTAL 12.SC1007201 / PO1100255 | | | 41,335 |
| US Army | W56HZV-07-C-0721 | 12.W56HZV-07-C-0721 | 809,915 |
| Subtotal US Army | | | 809,915 |
| SUBTOTAL 12.W56HZV-07-C-0721 | | | 809,915 |
| US Army Research Office | W81XWH-04-P-1336 | 12.W81XWH-04-P-1336 | 8,022 |
| Subtotal US Army Research Office | | | 8,022 |
| SUBTOTAL 12.W81XWH-04-P-1336 | | | 8,022 |
| US Army | W911S0-06-P-0011 | 12.W911S0-06-P-0011 | 271,065 |
| Subtotal US Army | | | 271,065 |
| SUBTOTAL 12.W911S0-06-P-0011 | | | 271,065 |
| Wake Forest University | WFUHS 10183 | 12.WFUHS 10183 | 195,584 |
| Subtotal Wake Forest University | | | 195,584 |
| SUBTOTAL 12.WFUHS 10183 | | | 195,584 |
| Department of Defense | | | 6,075,796 |
| Department of Housing and Urban Development | | | |
| Lexington Fayette Urban County Government | R-682-2007 | 14.875 | 40,545 |
| Subtotal Lexington Fayette Urban County Government | | | 40,545 |
| SUBTOTAL 14.875 | | | 40,545 |
| Department of Housing and Urban Development | | | 40,545 |
| Department of the Interior | | | |
| Forest Service | 10-JV-11242302-089 | 15.232 | 52,276 |
| Subtotal Forest Service | | | 52,276 |
| SUBTOTAL 15.232 | | | 52,276 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|-----------------------|---------------------------|-------------------------|
| Department of the Interior | S09AP15632 | 15.255 | 99,355 |
| Subtotal Department of the Interior | | | 99,355 |
| Office of Surface Mining Reclamation and Enforcement | SOAP12823 | 15.255 | 9,699 |
| Subtotal Office of Surface Mining Reclamation and Enforcement | | | 9,699 |
| SUBTOTAL 15.255 | | | 109,054 |
| Commerce Cabinet | PO2 660 1000000380 1 | 15.611 | 308 |
| Commerce Cabinet | PON2 660 1000003913 1 | 15.611 | 90,360 |
| Commerce Cabinet | PON26601000003365 2 | 15.611 | 50,766 |
| Subtotal Commerce Cabinet | | | 141,434 |
| SUBTOTAL 15.611 | | | 141,434 |
| Nature Conservancy | TNFO-05/01/06-02 | 15.615 | (4,900) |
| Subtotal Nature Conservancy | | | (4,900) |
| SUBTOTAL 15.615 | | | (4,900) |
| Commerce Cabinet | PON2 660 1000003346 1 | 15.634 | 55,683 |
| Commerce Cabinet | PON2 660 1000003353 2 | 15.634 | 33,637 |
| Subtotal Commerce Cabinet | | | 89,320 |
| SUBTOTAL 15.634 | | | 89,320 |
| US Geological Survey | 06HQGR0087 | 15.805 | 66,544 |
| US Geological Survey | G11AP20081 | 15.805 | 8,136 |
| Subtotal US Geological Survey | | | 74,680 |
| SUBTOTAL 15.805 | | | 74,680 |
| US Geological Survey | G09AP00112 | 15.807 | 368 |
| US Geological Survey | G09AP00126 | 15.807 | 54,687 |
| US Geological Survey | G11AP20011 | 15.807 | 28,859 |
| Subtotal US Geological Survey | | | 83,914 |
| SUBTOTAL 15.807 | | | 83,914 |
| US Geological Survey | 05ERAG0034 | 15.808 | (480) |
| US Geological Survey | 07ERAG0015 | 15.808 | (7,760) |
| US Geological Survey | G10AC00464 | 15.808 | 19,359 |
| Subtotal US Geological Survey | | | 11,119 |
| SUBTOTAL 15.808 | | | 11,119 |
| US Geological Survey | 05HQAG0007 | 15.810 | 5 |
| US Geological Survey | 09-7904-2028 | 15.810 | 453 |
| US Geological Survey | G10AC00259 | 15.810 | 12,123 |
| US Geological Survey | G10AC00424 | 15.810 | 197,468 |
| US Geological Survey | G10AP00141 | 15.810 | 13,857 |
| Subtotal US Geological Survey | | | 223,906 |
| SUBTOTAL 15.810 | | | 223,906 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|--------------------------|-----------------------------|-------------------------|
| Kentucky Heritage Council | GRANT 21-10-21924 | 15.904 | 13,170 |
| Subtotal Kentucky Heritage Council | | | 13,170 |
| SUBTOTAL 15.904 | | | 13,170 |
| National Park Service | H5000 09 0100 | 15.H5000 09 0100 | 39,682 |
| Subtotal National Park Service | | | 39,682 |
| SUBTOTAL 15.H5000 09 0100 | | | 39,682 |
| National Park Service | H5000050100, J2101070015 | 15.H5000050100, J2101070015 | 1 |
| Subtotal National Park Service | | | 1 |
| SUBTOTAL 15.H5000050100, J2101070015 | | | 1 |
| National Park Service | H5000055040, J4787080037 | 15.H5000055040, J4787080037 | 4,903 |
| Subtotal National Park Service | | | 4,903 |
| SUBTOTAL 15.H5000055040, J4787080037 | | | 4,903 |
| National Park Service | H5000095041,R4780090577 | 15.H5000095041,R4780090577 | 4,121 |
| Subtotal National Park Service | | | 4,121 |
| SUBTOTAL 15.H5000095041,R4780090577 | | | 4,121 |
| National Park Service | H5000095041/J5536090200 | 15.H5000095041/J5536090200 | 222 |
| Subtotal National Park Service | | | 222 |
| SUBTOTAL 15.H5000095041/J5536090200 | | | 222 |
| National Park Service | P11AT50437 | 15.P11AT50437 | 95 |
| Subtotal National Park Service | | | 95 |
| SUBTOTAL 15.P11AT50437 | | | 95 |
| National Park Service | PO 1443C5010070912 | 15.PO 1443C5010070912 | 19,776 |
| Subtotal National Park Service | | | 19,776 |
| SUBTOTAL 15.PO 1443C5010070912 | | | 19,776 |
| Department of the Interior | | | 862,773 |
| Department of Justice | | | |
| American Bar Association | 6690 | 16.528 | 3,902 |
| Subtotal American Bar Association | | | 3,902 |
| SUBTOTAL 16.528 | | | 3,902 |
| National Institute of Justice | 2005-WG-BX-0008 | 16.560 | 50 |
| Subtotal National Institute of Justice | | | 50 |
| SUBTOTAL 16.560 | | | 50 |
| ARRA-Kentucky Cabinet for Health and Family Services | PO2 723 1000006452 1 | 16.803 | 70,966 |
| Subtotal ARRA-Kentucky Cabinet for Health and Family Services | | | 70,966 |
| SUBTOTAL 16.803 | | | 70,966 |
| Federal Bureau of Investigation | A0N005195 | 16.A0N005195 | 47,373 |
| Subtotal Federal Bureau of Investigation | | | 47,373 |
| SUBTOTAL 16.A0N005195 | | | 47,373 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|------------------|---------------------------|-------------------------|
| Federal Bureau of Investigation | A9N903243 | 16.A9N903243 | 10,937 |
| Subtotal Federal Bureau of Investigation | | | 10,937 |
| SUBTOTAL 16.A9N903243 | | | 10,937 |
| Department of Justice | | | 133,228 |
| Department of Labor | | | |
| University of Baltimore | KENTUCKY-ADARE 2 | 17.248 | 3,707 |
| Subtotal University of Baltimore | | | 3,707 |
| SUBTOTAL 17.248 | | | 3,707 |
| Department of Labor | | | 3,707 |
| Department of State | | | |
| National Council for Eurasian and East European Research | 825-12 | 19.300 | 18,851 |
| Subtotal National Council for Eurasian and East European Research | | | 18,851 |
| SUBTOTAL 19.300 | | | 18,851 |
| Virginia Polytechnic Institute and State University | CR-19660-425835 | 19.405 | 20,084 |
| Subtotal Virginia Polytechnic Institute and State University | | | 20,084 |
| SUBTOTAL 19.405 | | | 20,084 |
| Partnership for Energy and Environment Research | STATE 120903 | 19.STATE 120903 | 148,083 |
| Subtotal Partnership for Energy and Environment Research | | | 148,083 |
| SUBTOTAL 19.STATE 120903 | | | 148,083 |
| Department of State | | | 187,018 |
| Department of Transportation | | | |
| Kentucky Transportation Cabinet | FRT - 150 | 20.205 | 5,780 |
| Kentucky Transportation Cabinet | FRT - 157 | 20.205 | 30,073 |
| Kentucky Transportation Cabinet | FRT - 176 | 20.205 | 4 |
| Kentucky Transportation Cabinet | FRT 137 | 20.205 | 26,201 |
| Kentucky Transportation Cabinet | FRT 142 | 20.205 | 6,035 |
| Kentucky Transportation Cabinet | FRT 143 | 20.205 | 16,349 |
| Kentucky Transportation Cabinet | FRT 179 | 20.205 | 1,113 |
| Kentucky Transportation Cabinet | FRT 180 | 20.205 | 948 |
| Kentucky Transportation Cabinet | FRT 181 | 20.205 | 19,908 |
| Kentucky Transportation Cabinet | FRT 182 | 20.205 | 24,548 |
| Kentucky Transportation Cabinet | FRT 183 | 20.205 | 32,009 |
| Kentucky Transportation Cabinet | FRT 184 | 20.205 | 27,074 |
| Kentucky Transportation Cabinet | FRT 186 | 20.205 | 550 |
| Kentucky Transportation Cabinet | FRT-126 | 20.205 | 2,639 |
| Kentucky Transportation Cabinet | FRT-158 | 20.205 | 13,143 |
| Kentucky Transportation Cabinet | FRT-159 | 20.205 | 101,900 |
| Kentucky Transportation Cabinet | FRT-160 | 20.205 | 39,402 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---|--------------|---------------------------|-------------------------|
| Kentucky Transportation Cabinet | FRT-161 | 20.205 | 60,004 |
| Kentucky Transportation Cabinet | KHIT 51 | 20.205 | 11,046 |
| Kentucky Transportation Cabinet | KHIT 52 | 20.205 | 11,238 |
| Kentucky Transportation Cabinet | KHIT 58 | 20.205 | 23,341 |
| Kentucky Transportation Cabinet | M-04230541 | 20.205 | 199,646 |
| Kentucky Transportation Cabinet | RSS 13 | 20.205 | 1,453 |
| Kentucky Transportation Cabinet | TA 25 | 20.205 | 16,984 |
| Kentucky Transportation Cabinet | TA 27 | 20.205 | 44,932 |
| Kentucky Transportation Cabinet | TA 28 | 20.205 | 59,458 |
| Kentucky Transportation Cabinet | TASK 30 | 20.205 | 79,906 |
| Kentucky Transportation Cabinet | TASK 31 | 20.205 | 23 |
| Kentucky Transportation Cabinet | TASK 32 | 20.205 | 27,807 |
| Kentucky Transportation Cabinet | TASK 33 | 20.205 | 42,641 |
| Kentucky Transportation Cabinet | TASK 35 | 20.205 | 5,634 |
| Kentucky Transportation Cabinet | TASK 36 | 20.205 | 11,138 |
| Subtotal Kentucky Transportation Cabinet | | | 942,927 |
| SUBTOTAL 20.205 | | | 942,927 |
| Kentucky Transportation Cabinet | M-99135293 | 20.215 | (11,819) |
| Subtotal Kentucky Transportation Cabinet | | | (11,819) |
| SUBTOTAL 20.215 | | | (11,819) |
| Kentucky Transportation Cabinet | RSF NO. 026 | 20.217 | 220 |
| Kentucky Transportation Cabinet | RSF NO. 27 | 20.217 | 258,104 |
| Kentucky Transportation Cabinet | RSF NO. 28 | 20.217 | 2,909 |
| Kentucky Transportation Cabinet | RSF NO. 30 | 20.217 | 147,284 |
| Subtotal Kentucky Transportation Cabinet | | | 408,517 |
| SUBTOTAL 20.217 | | | 408,517 |
| Battelle Memorial Institute | 225716 | 20.225716 | 15,305 |
| Subtotal Battelle Memorial Institute | | | 15,305 |
| SUBTOTAL 20.225716 | | | 15,305 |
| Battelle Memorial Institute | 229738 | 20.229738 | 29,747 |
| Subtotal Battelle Memorial Institute | | | 29,747 |
| SUBTOTAL 20.229738 | | | 29,747 |
| Kentucky Transportation Cabinet | KCTRP 04-24 | 20.515 | 79,470 |
| Kentucky Transportation Cabinet | KCTRP 10-388 | 20.515 | (125) |
| Kentucky Transportation Cabinet | KCTRP 10-405 | 20.515 | (125) |
| Kentucky Transportation Cabinet | KCTRP 10-406 | 20.515 | (94) |
| Kentucky Transportation Cabinet | KCTRP 10-407 | 20.515 | (1,126) |
| Kentucky Transportation Cabinet | KYSPR 06-322 | 20.515 | 8,612 |
| Kentucky Transportation Cabinet | KYSPR 07-343 | 20.515 | 19,573 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---------------------------------|--------------|---------------------------|-------------------------|
| Kentucky Transportation Cabinet | KYSPR 09-370 | 20.515 | 11,992 |
| Kentucky Transportation Cabinet | KYSPR 09-371 | 20.515 | 39,383 |
| Kentucky Transportation Cabinet | KYSPR 09-372 | 20.515 | 115,742 |
| Kentucky Transportation Cabinet | KYSPR 09-373 | 20.515 | 143,941 |
| Kentucky Transportation Cabinet | KYSPR 09-374 | 20.515 | 209,196 |
| Kentucky Transportation Cabinet | KYSPR 09-377 | 20.515 | 40,550 |
| Kentucky Transportation Cabinet | KYSPR 09-378 | 20.515 | 14,075 |
| Kentucky Transportation Cabinet | KYSPR 09-379 | 20.515 | 15,179 |
| Kentucky Transportation Cabinet | KYSPR 09-380 | 20.515 | 59,568 |
| Kentucky Transportation Cabinet | KYSPR 09-381 | 20.515 | 8,888 |
| Kentucky Transportation Cabinet | KYSPR 09-383 | 20.515 | 44,757 |
| Kentucky Transportation Cabinet | KYSPR 09-386 | 20.515 | 34,496 |
| Kentucky Transportation Cabinet | KYSPR 10-388 | 20.515 | 43,415 |
| Kentucky Transportation Cabinet | KYSPR 10-391 | 20.515 | 30,905 |
| Kentucky Transportation Cabinet | KYSPR 10-393 | 20.515 | 122,525 |
| Kentucky Transportation Cabinet | KYSPR 10-394 | 20.515 | 49,937 |
| Kentucky Transportation Cabinet | KYSPR 10-395 | 20.515 | 64,910 |
| Kentucky Transportation Cabinet | KYSPR 10-396 | 20.515 | 154,730 |
| Kentucky Transportation Cabinet | KYSPR 10-397 | 20.515 | 29,538 |
| Kentucky Transportation Cabinet | KYSPR 10-398 | 20.515 | 60,835 |
| Kentucky Transportation Cabinet | KYSPR 10-400 | 20.515 | 73,787 |
| Kentucky Transportation Cabinet | KYSPR 10-401 | 20.515 | 22,718 |
| Kentucky Transportation Cabinet | KYSPR 10-402 | 20.515 | 29,603 |
| Kentucky Transportation Cabinet | KYSPR 10-404 | 20.515 | 101,244 |
| Kentucky Transportation Cabinet | KYSPR 10-405 | 20.515 | 86,181 |
| Kentucky Transportation Cabinet | KYSPR 10-406 | 20.515 | 52,316 |
| Kentucky Transportation Cabinet | KYSPR 10-407 | 20.515 | 51,740 |
| Kentucky Transportation Cabinet | KYSPR 10-408 | 20.515 | 50,958 |
| Kentucky Transportation Cabinet | KYSPR 10-409 | 20.515 | 84,941 |
| Kentucky Transportation Cabinet | KYSPR 10-410 | 20.515 | 49,548 |
| Kentucky Transportation Cabinet | KYSPR 11-324 | 20.515 | 59,101 |
| Kentucky Transportation Cabinet | KYSPR 11-411 | 20.515 | 61,867 |
| Kentucky Transportation Cabinet | KYSPR 11-412 | 20.515 | 119,426 |
| Kentucky Transportation Cabinet | KYSPR 11-413 | 20.515 | 24,943 |
| Kentucky Transportation Cabinet | KYSPR 11-414 | 20.515 | 79,335 |
| Kentucky Transportation Cabinet | KYSPR 11-415 | 20.515 | 59,693 |
| Kentucky Transportation Cabinet | KYSPR 11-416 | 20.515 | 41,595 |
| Kentucky Transportation Cabinet | KYSPR 11-417 | 20.515 | 99,006 |
| Kentucky Transportation Cabinet | KYSPR 11-418 | 20.515 | 63,246 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---|-------------------|---------------------------|-------------------------|
| Kentucky Transportation Cabinet | KYSPR 11-419 | 20.515 | 40,446 |
| Kentucky Transportation Cabinet | KYSPR 11-420 | 20.515 | 30,677 |
| Kentucky Transportation Cabinet | KYSPR 11-421 | 20.515 | 24,988 |
| Kentucky Transportation Cabinet | KYSPR 11-422 | 20.515 | 10,032 |
| Kentucky Transportation Cabinet | KYSPR 11-423 | 20.515 | 18,942 |
| Kentucky Transportation Cabinet | KYSPR 11-424 | 20.515 | 10,836 |
| Kentucky Transportation Cabinet | KYSPR 11-425 | 20.515 | 34,923 |
| Kentucky Transportation Cabinet | KYSPR 11-426 | 20.515 | 179,919 |
| Kentucky Transportation Cabinet | KYSPR 11-427 | 20.515 | 59,908 |
| Kentucky Transportation Cabinet | KYSPR 11-428 | 20.515 | 46,358 |
| Kentucky Transportation Cabinet | KYSPR 11-51 | 20.515 | 82,483 |
| Kentucky Transportation Cabinet | KYSPR 11-56 | 20.515 | 224,659 |
| Kentucky Transportation Cabinet | KYSPR 85-107 | 20.515 | 74,688 |
| Kentucky Transportation Cabinet | PLANNING STUDY 16 | 20.515 | 67,195 |
| Kentucky Transportation Cabinet | PLANNING STUDY 19 | 20.515 | 29,909 |
| Kentucky Transportation Cabinet | PLANNING STUDY 20 | 20.515 | 17,130 |
| Subtotal Kentucky Transportation Cabinet | | | 3,565,088 |
| SUBTOTAL 20.515 | | | 3,565,088 |
| Kentucky Transportation Cabinet | OP-10-04 | 20.600 | 31,968 |
| Kentucky Transportation Cabinet | OP-11-05 | 20.600 | 20,528 |
| Kentucky Transportation Cabinet | PT-10-45 | 20.600 | 15,337 |
| Kentucky Transportation Cabinet | RS-10-01 | 20.600 | 36,319 |
| Kentucky Transportation Cabinet | RS-11-01 | 20.600 | 16,839 |
| Kentucky Transportation Cabinet | TR-10-03 | 20.600 | 17,302 |
| Kentucky Transportation Cabinet | TR-11-03 | 20.600 | 36,587 |
| Subtotal Kentucky Transportation Cabinet | | | 174,880 |
| SUBTOTAL 20.600 | | | 174,880 |
| Kentucky Transportation Cabinet | K9-10-07 | 20.610 | 24,873 |
| Kentucky Transportation Cabinet | K9-11-04 | 20.610 | 5,790 |
| Subtotal Kentucky Transportation Cabinet | | | 30,663 |
| SUBTOTAL 20.610 | | | 30,663 |
| Florida A&M University | C-2498 | 20.761 | 2,349 |
| Subtotal Florida A&M University | | | 2,349 |
| University of Tennessee | 102130 | 20.761 | 39,453 |
| Subtotal University of Tennessee | | | 39,453 |
| SUBTOTAL 20.761 | | | 41,802 |
| Department of Transportation | DTMC75-09-H-00016 | 20.DTMC75-09-H-00016 | 197,033 |
| Subtotal Department of Transportation | | | 197,033 |
| SUBTOTAL 20.DTMC75-09-H-00016 | | | 197,033 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---|--------------------|---------------------------|-------------------------|
| Department of Transportation | | | 5,394,143 |
| National Aeronautics & Space Administration | | | |
| National Aeronautics and Space Administration | NNX11AC39G | 43.001 | 54,019 |
| National Aeronautics and Space Administration | NNX11AD76G | 43.001 | 16,600 |
| National Aeronautics and Space Administration | NNX11AG13G | 43.001 | 12,241 |
| Subtotal National Aeronautics and Space Administration | | | 82,860 |
| Space Telescope Science Institute | HST-AR-12125.01-A | 43.001 | 52,648 |
| Subtotal Space Telescope Science Institute | | | 52,648 |
| University of Georgia | RR 185-411/4692928 | 43.001 | 12,000 |
| Subtotal University of Georgia | | | 12,000 |
| Western Kentucky University | WKURF 516204-08-01 | 43.001 | 72,936 |
| Subtotal Western Kentucky University | | | 72,936 |
| SUBTOTAL 43.001 | | | 220,444 |
| National Aeronautics and Space Administration | | | |
| National Aeronautics and Space Administration | NNX07AB93A | 43.002 | 398,815 |
| Subtotal National Aeronautics and Space Administration | | | 398,815 |
| SUBTOTAL 43.002 | | | 398,815 |
| NextGen Aeronautics | | | |
| NextGen Aeronautics | 10-02 | 43.10-02 | 4,813 |
| Subtotal NextGen Aeronautics | | | 4,813 |
| SUBTOTAL 43.10-02 | | | 4,813 |
| Jet Propulsion Laboratory | | | |
| Jet Propulsion Laboratory | 1310557 | 43.1310557 | 3,367 |
| Subtotal Jet Propulsion Laboratory | | | 3,367 |
| SUBTOTAL 43.1310557 | | | 3,367 |
| Jet Propulsion Laboratory | 1325387 | 43.1325387 | 41 |
| Subtotal Jet Propulsion Laboratory | | | 41 |
| SUBTOTAL 43.1325387 | | | 41 |
| Jet Propulsion Laboratory | 1355922 | 43.1355922 | 8,859 |
| Subtotal Jet Propulsion Laboratory | | | 8,859 |
| SUBTOTAL 43.1355922 | | | 8,859 |
| Jet Propulsion Laboratory | 1401954 | 43.1401954 | 46,279 |
| Subtotal Jet Propulsion Laboratory | | | 46,279 |
| SUBTOTAL 43.1401954 | | | 46,279 |
| University of Wisconsin | | | |
| University of Wisconsin | 180K924 | 43.180K924 | 117,882 |
| Subtotal University of Wisconsin | | | 117,882 |
| SUBTOTAL 43.180K924 | | | 117,882 |
| Western Kentucky University | | | |
| Western Kentucky University | 596304-10-001 | 43.596304-10-001 | 19,467 |
| Subtotal Western Kentucky University | | | 19,467 |
| SUBTOTAL 43.596304-10-001 | | | 19,467 |
| Goddard Space Flight Center | NNG06GJ35G | 43.NNG06GJ35G | 80,050 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---|--------------------|---------------------------|-------------------------|
| Subtotal Goddard Space Flight Center | | | 80,050 |
| SUBTOTAL 43.NNG06GJ35G | | | 80,050 |
| National Aeronautics and Space Administration | NNX08AG68G | 43.NNX08AG68G | 62,719 |
| Subtotal National Aeronautics and Space Administration | | | 62,719 |
| SUBTOTAL 43.NNX08AG68G | | | 62,719 |
| National Aeronautics and Space Administration | NNX09AB66G | 43.NNX09AB66G | (28) |
| Subtotal National Aeronautics and Space Administration | | | (28) |
| SUBTOTAL 43.NNX09AB66G | | | (28) |
| National Aeronautics and Space Administration | NNX10AD39G | 43.NNX10AD39G | 92,605 |
| Subtotal National Aeronautics and Space Administration | | | 92,605 |
| SUBTOTAL 43.NNX10AD39G | | | 92,605 |
| National Aeronautics and Space Administration | NNX10AL96H | 43.NNX10AL96H | 231,373 |
| Subtotal National Aeronautics and Space Administration | | | 231,373 |
| SUBTOTAL 43.NNX10AL96H | | | 231,373 |
| National Aeronautics and Space Administration | NNX10AN01A | 43.NNX10AN01A | 45,865 |
| Subtotal National Aeronautics and Space Administration | | | 45,865 |
| SUBTOTAL 43.NNX10AN01A | | | 45,865 |
| National Aeronautics and Space Administration | NNX10AV39A | 43.NNX10AV39A | 28,330 |
| Subtotal National Aeronautics and Space Administration | | | 28,330 |
| SUBTOTAL 43.NNX10AV39A | | | 28,330 |
| Jet Propulsion Laboratory | RSA NO: 1430426 | 43.RSA NO: 1430426 | 12,377 |
| Subtotal Jet Propulsion Laboratory | | | 12,377 |
| SUBTOTAL 43.RSA NO: 1430426 | | | 12,377 |
| University of Texas at Austin | UTA06-150 | 43.UTA06-150 | (2,594) |
| Subtotal University of Texas at Austin | | | (2,594) |
| SUBTOTAL 43.UTA06-150 | | | (2,594) |
| Western Kentucky University | WKU 516171-10-155 | 43.WKU 516171-10-155 | 340 |
| Subtotal Western Kentucky University | | | 340 |
| SUBTOTAL 43.WKU 516171-10-155 | | | 340 |
| Western Kentucky University | WKU 516171-10-169 | 43.WKU 516171-10-169 | 129,354 |
| Subtotal Western Kentucky University | | | 129,354 |
| SUBTOTAL 43.WKU 516171-10-169 | | | 129,354 |
| Western Kentucky University | WKURF 516202-09-10 | 43.WKURF 516202-09-10 | 2,888 |
| Subtotal Western Kentucky University | | | 2,888 |
| SUBTOTAL 43.WKURF 516202-09-10 | | | 2,888 |
| Western Kentucky University | WKURF 516202-09-11 | 43.WKURF 516202-09-11 | 22,454 |
| Subtotal Western Kentucky University | | | 22,454 |
| SUBTOTAL 43.WKURF 516202-09-11 | | | 22,454 |
| Western Kentucky University | WKURF 516212-09-01 | 43.WKURF 516212-09-01 | 110,584 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|---------------------|---------------------------|-------------------------|
| Subtotal Western Kentucky University | | | 110,584 |
| SUBTOTAL 43.WKURF 516212-09-01 | | | 110,584 |
| Western Kentucky University | WKURF 596273-09-04 | 43.WKURF 596273-09-04 | 15,775 |
| Subtotal Western Kentucky University | | | 15,775 |
| SUBTOTAL 43.WKURF 596273-09-04 | | | 15,775 |
| Western Kentucky University | WKURF 596285-10-001 | 43.WKURF 596285-10-001 | 55,118 |
| Subtotal Western Kentucky University | | | 55,118 |
| SUBTOTAL 43.WKURF 596285-10-001 | | | 55,118 |
| Western Kentucky University | WKURF 596868-08-01 | 43.WKURF 596868-08-01 | 3,078 |
| Subtotal Western Kentucky University | | | 3,078 |
| SUBTOTAL 43.WKURF 596868-08-01 | | | 3,078 |
| National Aeronautics & Space Administration | | | 1,710,255 |
| National Endowment for the Humanities | | | |
| National Endowment for the Humanities | FA-54685-09 | 45.162 | 25,200 |
| Subtotal National Endowment for the Humanities | | | 25,200 |
| SUBTOTAL 45.162 | | | 25,200 |
| St Louis University | NEH112010 | 45.169 | 7,406 |
| Subtotal St Louis University | | | 7,406 |
| SUBTOTAL 45.169 | | | 7,406 |
| Institute of Museum and Library Services | RE-04-08-0069-08 | 45.313 | 72,644 |
| Subtotal Institute of Museum and Library Services | | | 72,644 |
| SUBTOTAL 45.313 | | | 72,644 |
| National Endowment for the Humanities | | | 105,250 |
| National Science Foundation | | | |
| Direct i2i Technologies Inc | NSF082008 | 47.041 | 11,787 |
| Subtotal Direct i2i Technologies Inc | | | 11,787 |
| Michigan State University | 61-2561UK | 47.041 | 2,122 |
| Subtotal Michigan State University | | | 2,122 |
| National Science Foundation | 0749519 | 47.041 | 111,098 |
| National Science Foundation | CBET-0730450 | 47.041 | 30,201 |
| National Science Foundation | CBET-0828817 | 47.041 | 85,196 |
| National Science Foundation | CBET-0967381 | 47.041 | 22,234 |
| National Science Foundation | CBET-1033334 | 47.041 | 46,048 |
| National Science Foundation | CMMI-0726123 | 47.041 | 67,696 |
| National Science Foundation | CMMI-0727691 | 47.041 | (762) |
| National Science Foundation | CMMI-0800018 | 47.041 | 61,283 |
| National Science Foundation | CMMI-0800658 | 47.041 | 152,739 |
| National Science Foundation | CMMI-0825956 | 47.041 | 134,478 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---|-----------------------|---------------------------|-------------------------|
| National Science Foundation | CMMI-0928845 | 47.041 | 66,982 |
| National Science Foundation | CMMI-0954541 | 47.041 | 99,839 |
| National Science Foundation | CMMI-1000726 | 47.041 | 45,367 |
| National Science Foundation | CMS-0448717 | 47.041 | 7,232 |
| National Science Foundation | CTS-0348544 | 47.041 | 744 |
| National Science Foundation | DMI-0403703 | 47.041 | 1,548 |
| National Science Foundation | DMI-0609241 | 47.041 | 107,732 |
| National Science Foundation | DMR-0705609 | 47.041 | 76,034 |
| National Science Foundation | ECCS-0747810 | 47.041 | 51,798 |
| National Science Foundation | ECCS-0801367 | 47.041 | 72,690 |
| National Science Foundation | ECCS-0906094 | 47.041 | 4,894 |
| National Science Foundation | ECS-0547497 | 47.041 | 75,244 |
| National Science Foundation | ECS-0609064 | 47.041 | 95,878 |
| Subtotal National Science Foundation | | | 1,416,193 |
| Optical Dynamics Co | NSF022009 | 47.041 | 43,972 |
| Subtotal Optical Dynamics Co | | | 43,972 |
| Quansor Corporation | NIH060110 IIP-0944476 | 47.041 | 2,740 |
| Subtotal Quansor Corporation | | | 2,740 |
| University of Puerto Rico | CTS-0609117 | 47.041 | 6,727 |
| Subtotal University of Puerto Rico | | | 6,727 |
| SUBTOTAL 47.041 | | | 1,483,541 |
| National Science Foundation | AST-0237391 | 47.049 | 1 |
| National Science Foundation | AST-0807417 | 47.049 | 152,532 |
| National Science Foundation | AST-0807760 | 47.049 | 70,529 |
| National Science Foundation | AST-0908877 | 47.049 | 77,270 |
| National Science Foundation | AST-1042273 | 47.049 | 3,430 |
| National Science Foundation | CHE-0717968 | 47.049 | 157,070 |
| National Science Foundation | CHE-0718844 | 47.049 | 30,633 |
| National Science Foundation | CHE-0749473 | 47.049 | 63,918 |
| National Science Foundation | CHE-0804661 | 47.049 | 171,347 |
| National Science Foundation | CHE-0822871 | 47.049 | 62,089 |
| National Science Foundation | CHE-1012351 | 47.049 | 10,450 |
| National Science Foundation | DMR-0552267 | 47.049 | (193) |
| National Science Foundation | DMR-0645246 | 47.049 | 69,006 |
| National Science Foundation | DMR-0703992 | 47.049 | 28,637 |
| National Science Foundation | DMR-0705609 | 47.049 | 4,500 |
| National Science Foundation | DMR-0801764 | 47.049 | 96,912 |
| National Science Foundation | DMR-0847693 | 47.049 | 74,747 |
| National Science Foundation | DMR-0856234 | 47.049 | 80,824 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---|-------------|---------------------------|-------------------------|
| National Science Foundation | DMR-1005982 | 47.049 | 35,757 |
| National Science Foundation | DMR-1006364 | 47.049 | 175,839 |
| National Science Foundation | DMR-1035257 | 47.049 | 104,077 |
| National Science Foundation | DMS-0552281 | 47.049 | 4 |
| National Science Foundation | DMS-0601162 | 47.049 | 20,132 |
| National Science Foundation | DMS-0605039 | 47.049 | 5,675 |
| National Science Foundation | DMS-0706857 | 47.049 | 20,736 |
| National Science Foundation | DMS-0710477 | 47.049 | 10,905 |
| National Science Foundation | DMS-0713770 | 47.049 | 18,676 |
| National Science Foundation | DMS-0758321 | 47.049 | 37,802 |
| National Science Foundation | DMS-0803379 | 47.049 | 53,990 |
| National Science Foundation | DMS-0807543 | 47.049 | 40,359 |
| National Science Foundation | DMS-0855294 | 47.049 | 25,707 |
| National Science Foundation | DMS-0900291 | 47.049 | 43,158 |
| National Science Foundation | DMS-0908379 | 47.049 | 51,125 |
| National Science Foundation | DMS-0915062 | 47.049 | 43,768 |
| National Science Foundation | DMS-1001115 | 47.049 | 29,327 |
| National Science Foundation | DMS-1009562 | 47.049 | 38,141 |
| National Science Foundation | DMS-1016094 | 47.049 | 31,599 |
| National Science Foundation | DMS-1103165 | 47.049 | 10,856 |
| National Science Foundation | EHR-0227028 | 47.049 | 801,570 |
| National Science Foundation | PHY-0555199 | 47.049 | 5,897 |
| National Science Foundation | PHY-0555444 | 47.049 | 2,329 |
| National Science Foundation | PHY-0555541 | 47.049 | (472) |
| National Science Foundation | PHY-0652415 | 47.049 | 59,447 |
| National Science Foundation | PHY-0757928 | 47.049 | 56,789 |
| National Science Foundation | PHY-0855040 | 47.049 | 110,215 |
| National Science Foundation | PHY-0855584 | 47.049 | 102,998 |
| National Science Foundation | PHY-0855614 | 47.049 | 123,669 |
| National Science Foundation | PHY-0956310 | 47.049 | 275,261 |
| National Science Foundation | PHY-0970069 | 47.049 | 41,964 |
| National Science Foundation | PHY-1038772 | 47.049 | 23,106 |
| Subtotal National Science Foundation | | | 3,554,108 |
| University of Pennsylvania | 2529162 | 47.049 | 103,606 |
| University of Pennsylvania | 552392 | 47.049 | 1 |
| Subtotal University of Pennsylvania | | | 103,607 |
| SUBTOTAL 47.049 | | | 3,657,715 |
| Incorporated Research Institutions for Seismology | 80-15 | 47.050 | 14,700 |
| Subtotal Incorporated Research Institutions for Seismology | | | 14,700 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---|----------------|---------------------------|-------------------------|
| National Science Foundation | ATM-0634789 | 47.050 | (1,952) |
| National Science Foundation | EAR-0635688 | 47.050 | (220) |
| National Science Foundation | OCE-0962708 | 47.050 | 9,710 |
| Subtotal National Science Foundation | | | 7,538 |
| SUBTOTAL 47.050 | | | 22,238 |
| BBN Technologies | 9500009448 | 47.070 | 138,107 |
| Subtotal BBN Technologies | | | 138,107 |
| National Science Foundation | ANI-0121438 | 47.070 | (939) |
| National Science Foundation | CCF-0727600 | 47.070 | 75,473 |
| National Science Foundation | CCF-0811140 | 47.070 | 53,064 |
| National Science Foundation | CCF-0811647 | 47.070 | 66,818 |
| National Science Foundation | CCF-0914828 | 47.070 | 59,762 |
| National Science Foundation | CCF-1018241 | 47.070 | 65,897 |
| National Science Foundation | CNS-0435272 | 47.070 | (1,995) |
| National Science Foundation | CNS-0625802 | 47.070 | 6,645 |
| National Science Foundation | CNS-0626918 | 47.070 | 94,816 |
| National Science Foundation | CNS-0923131 | 47.070 | 166,038 |
| National Science Foundation | CNS-1033485 | 47.070 | 34,798 |
| National Science Foundation | CNS-1058977 | 47.070 | 615 |
| National Science Foundation | EIA-0221916 | 47.070 | (824) |
| National Science Foundation | EPS-0814194 | 47.070 | 188,760 |
| National Science Foundation | IIS-0448185 | 47.070 | 91,467 |
| National Science Foundation | IIS-0535003 | 47.070 | 227,251 |
| National Science Foundation | IIS-0904350 | 47.070 | 74,295 |
| National Science Foundation | IIS-0913459 | 47.070 | 90,209 |
| National Science Foundation | IIS-0916421 | 47.070 | 5,137 |
| National Science Foundation | IIS-1017452 | 47.070 | 43,338 |
| National Science Foundation | IIS-1132493 | 47.070 | 1,375 |
| National Science Foundation | IIS-535003 | 47.070 | 58,693 |
| Subtotal National Science Foundation | | | 1,400,693 |
| SUBTOTAL 47.070 | | | 1,538,800 |
| Boyce Thompson Institute for Plant Research | BTI 07-05 | 47.074 | 119,149 |
| Subtotal Boyce Thompson Institute for Plant Research | | | 119,149 |
| Duke University | EF-0830093 | 47.074 | 99,426 |
| Subtotal Duke University | | | 99,426 |
| Institute of Ecosystems Studies | 2926/200200941 | 47.074 | (2,004) |
| Subtotal Institute of Ecosystems Studies | | | (2,004) |
| National Science Foundation | 0749731 | 47.074 | 8,519 |
| National Science Foundation | DBI-0648233 | 47.074 | 10,775 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---|-------------------|---------------------------|-------------------------|
| National Science Foundation | DBI-0852696 | 47.074 | 103,954 |
| National Science Foundation | DBI-0951484 | 47.074 | 234,669 |
| National Science Foundation | DBI-1039914 | 47.074 | 150,000 |
| National Science Foundation | DEB-0814461 | 47.074 | 64,631 |
| National Science Foundation | DEB-0949532 | 47.074 | 56,025 |
| National Science Foundation | DEB-1021222 | 47.074 | 15,743 |
| National Science Foundation | IOB-0542097 | 47.074 | (2,618) |
| National Science Foundation | IOS-0421856 | 47.074 | 31,774 |
| National Science Foundation | IOS-0640197 | 47.074 | 146,145 |
| National Science Foundation | IOS-0749731 | 47.074 | 70,368 |
| National Science Foundation | IOS-0817790 | 47.074 | 206,219 |
| National Science Foundation | IOS-0817818 | 47.074 | 54,853 |
| National Science Foundation | IOS-0849230 | 47.074 | 91,115 |
| National Science Foundation | IOS-0922947 | 47.074 | 130,794 |
| National Science Foundation | IOS-1052142 | 47.074 | 20,904 |
| National Science Foundation | MCB-0653930 | 47.074 | 89,092 |
| National Science Foundation | MCB-0721203 | 47.074 | 41,378 |
| National Science Foundation | MCB-0843551 | 47.074 | 175,077 |
| National Science Foundation | MCB-0919099 | 47.074 | 129,776 |
| Subtotal National Science Foundation | | | 1,829,193 |
| University of Georgia | RR715-061-4689108 | 47.074 | 3,238 |
| Subtotal University of Georgia | | | 3,238 |
| SUBTOTAL 47.074 | | | 2,049,002 |
| National Science Foundation | BCS-0454840 | 47.075 | 18,130 |
| National Science Foundation | BCS-0722825 | 47.075 | 11,800 |
| National Science Foundation | BCS-0729118 | 47.075 | 2,461 |
| National Science Foundation | BCS-0814302 | 47.075 | 155,719 |
| National Science Foundation | BCS-0822840 | 47.075 | (14) |
| National Science Foundation | BCS-0921318 | 47.075 | 8,600 |
| National Science Foundation | BCS-0961494 | 47.075 | 8,975 |
| National Science Foundation | BCS-1003446 | 47.075 | 9,508 |
| National Science Foundation | BCS-1021907 | 47.075 | 2,278 |
| National Science Foundation | BCS-1024193 | 47.075 | 5,518 |
| National Science Foundation | BCS-1031430 | 47.075 | 5,142 |
| National Science Foundation | BCS-1063667 | 47.075 | 11,523 |
| National Science Foundation | SES-1036922 | 47.075 | 74,971 |
| Subtotal National Science Foundation | | | 314,611 |
| Ohio State University | NSF102010 | 47.075 | 35,655 |
| Subtotal Ohio State University | | | 35,655 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---|-------------------|---------------------------|-------------------------|
| University of North Carolina | 5-54898 | 47.075 | 8,045 |
| Subtotal University of North Carolina | | | 8,045 |
| SUBTOTAL 47.075 | | | 358,311 |
| Kentucky Community and Technical College System | DUE/7412/04566682 | 47.076 | (47) |
| Subtotal Kentucky Community and Technical College System | | | (47) |
| Medical College of Ohio | F 2005-116 | 47.076 | (37) |
| Subtotal Medical College of Ohio | | | (37) |
| National Science Foundation | DUE-0816783 | 47.076 | 72,564 |
| National Science Foundation | DUE-0830716 | 47.076 | 339,936 |
| Subtotal National Science Foundation | | | 412,500 |
| Tennessee Technological University | 1022934 | 47.076 | 3,155 |
| Subtotal Tennessee Technological University | | | 3,155 |
| University of Louisville | ULRF08-0891-04 | 47.076 | 141,847 |
| Subtotal University of Louisville | | | 141,847 |
| SUBTOTAL 47.076 | | | 557,418 |
| National Science Foundation | DRL-0737271 | 47.079 | 187,586 |
| National Science Foundation | OISE-0752764 | 47.079 | 5,945 |
| National Science Foundation | OISE-0914532 | 47.079 | 25,289 |
| Subtotal National Science Foundation | | | 218,820 |
| SUBTOTAL 47.079 | | | 218,820 |
| National Science Foundation | EPS-0814194 | 47.081 | 2,522,263 |
| Subtotal National Science Foundation | | | 2,522,263 |
| SUBTOTAL 47.081 | | | 2,522,263 |
| ARRA-BBN Technologies | 1752 CNS-0944089 | 47.082 | 243,695 |
| Subtotal ARRA-BBN Technologies | | | 243,695 |
| ARRA-DePaul University | 500733SG067 | 47.082 | 19,144 |
| Subtotal ARRA-DePaul University | | | 19,144 |
| ARRA-National Science Foundation | AST-0904316 | 47.082 | 24,232 |
| ARRA-National Science Foundation | AST-0908841 | 47.082 | 61,411 |
| ARRA-National Science Foundation | CMMI-0927707 | 47.082 | 125,447 |
| ARRA-National Science Foundation | CMMI-0959896 | 47.082 | 207,408 |
| ARRA-National Science Foundation | DMS-0902063 | 47.082 | 33,799 |
| ARRA-National Science Foundation | EF-0850237 | 47.082 | 331,819 |
| ARRA-National Science Foundation | EFRI-0937657 | 47.082 | 389,976 |
| ARRA-National Science Foundation | EPS-0918856 | 47.082 | 829,087 |
| ARRA-National Science Foundation | IOS-0919944 | 47.082 | 281,937 |
| ARRA-National Science Foundation | IOS-0919991 | 47.082 | 115,987 |
| ARRA-National Science Foundation | IOS-0920432 | 47.082 | 158,413 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---|--------------------------|---------------------------|-------------------------|
| ARRA-National Science Foundation | IOS-0922845 | 47.082 | 76,028 |
| ARRA-National Science Foundation | PHY-0855319 | 47.082 | 181,137 |
| ARRA-National Science Foundation | PHY-0855498 | 47.082 | 168,898 |
| ARRA-National Science Foundation | PHY-0903956 | 47.082 | 95,516 |
| ARRA-National Science Foundation | SES-0940807 | 47.082 | 50,928 |
| Subtotal ARRA-National Science Foundation | | | 3,132,023 |
| SUBTOTAL 47.082 | | | 3,394,862 |
| National Science Foundation | CCF-1049360 | 47.CCF-1049360 | 35,466 |
| Subtotal National Science Foundation | | | 35,466 |
| SUBTOTAL 47.CCF-1049360 | | | 35,466 |
| West Virginia University | WVURC PO50068397 | 47.WVURC PO50068397 | 12,084 |
| Subtotal West Virginia University | | | 12,084 |
| SUBTOTAL 47.WVURC PO50068397 | | | 12,084 |
| National Science Foundation | | | 15,850,520 |
| Department of Veterans Affairs | | | |
| Veterans Affairs | C15910 | 64.C15910 | 107,119 |
| Subtotal Veterans Affairs | | | 107,119 |
| SUBTOTAL 64.C15910 | | | 107,119 |
| Veterans Affairs | VA012011 | 64.VA012011 | 15,382 |
| Subtotal Veterans Affairs | | | 15,382 |
| SUBTOTAL 64.VA012011 | | | 15,382 |
| Department of Veterans Affairs | | | 122,501 |
| Environmental Protection Agency | | | |
| Kentucky Department for Public Health | PON2 728 1000004130 1 | 66.032 | 107,489 |
| Subtotal Kentucky Department for Public Health | | | 107,489 |
| SUBTOTAL 66.032 | | | 107,489 |
| Kentucky Natural Resources and Environmental Protection Cabinet | PO2 590 0700005909 1 | 66.419 | (93) |
| Subtotal Kentucky Natural Resources and Environmental Protection Cabinet | | | (93) |
| SUBTOTAL 66.419 | | | (93) |
| Environmental Protection Agency | WS-95436409-0 | 66.439 | 87,936 |
| Subtotal Environmental Protection Agency | | | 87,936 |
| SUBTOTAL 66.439 | | | 87,936 |
| Kentucky Energy and Environment Cabinet | PON21291100001573 C99948 | 66.460 | 42,511 |
| Subtotal Kentucky Energy and Environment Cabinet | | | 42,511 |
| Kentucky Natural Resources and Environmental Protection Cabinet | 465708 | 66.460 | (2,079) |
| Kentucky Natural Resources and Environmental Protection Cabinet | M-02250966 | 66.460 | (1,745) |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---|-----------------------|---------------------------|-------------------------|
| Kentucky Natural Resources and Environmental Protection Cabinet | PO2 590 0700010583 | 66.460 | 115,033 |
| Kentucky Natural Resources and Environmental Protection Cabinet | PON2 129 1100001694 1 | 66.460 | 2,316 |
| Subtotal Kentucky Natural Resources and Environmental Protection Cabinet | | | 113,525 |
| SUBTOTAL 66.460 | | | 156,036 |
| Environmental Protection Agency | RD-83333502 | 66.509 | 72,020 |
| Environmental Protection Agency | RD-83377201-0 | 66.509 | 516,775 |
| Environmental Protection Agency | RD-83485701-0 | 66.509 | 6,406 |
| Subtotal Environmental Protection Agency | | | 595,201 |
| SUBTOTAL 66.509 | | | 595,201 |
| Environmental Protection Agency | SU-83469901 | 66.516 | 7,156 |
| Subtotal Environmental Protection Agency | | | 7,156 |
| SUBTOTAL 66.516 | | | 7,156 |
| Environmental Protection Agency | EP09000321 | 66.EP09000321 | 8 |
| Subtotal Environmental Protection Agency | | | 8 |
| SUBTOTAL 66.EP09000321 | | | 8 |
| Environmental Protection Agency | | | 953,733 |
| Department of Energy | | | |
| ARRA-University of Georgia | RU267-507/4693218 | 81.003 | 25,739 |
| Subtotal ARRA-University of Georgia | | | 25,739 |
| SUBTOTAL 81.003 | | | 25,739 |
| ARRA-Kentucky Energy and Environment Cabinet | PO2 127 1000004299 1 | 81.041 | 944,183 |
| Subtotal ARRA-Kentucky Energy and Environment Cabinet | | | 944,183 |
| SUBTOTAL 81.041 | | | 944,183 |
| ARRA-Optical Dynamics Co | DOE022010 | 81.049 | 7,370 |
| Subtotal ARRA-Optical Dynamics Co | | | 7,370 |
| Department of Energy | DE-FG02-00ER45817 | 81.049 | 55,815 |
| Department of Energy | DE-FG02-07ER46375 | 81.049 | 789,115 |
| Department of Energy | DE-FG02-07-ER46375 | 81.049 | 153,920 |
| Department of Energy | DE-FG02-08ER41557 | 81.049 | 94,167 |
| Department of Energy | DE-FG02-84ER40154 | 81.049 | 88,974 |
| Department of Energy | DE-FG02-96ER40989 | 81.049 | 68,101 |
| Department of Energy | DE-FG02-97ER45653 | 81.049 | 32,711 |
| Department of Energy | DE-FG02-99ER41101 | 81.049 | 10,295 |
| Subtotal Department of Energy | | | 1,293,098 |
| Duke University | 08-SC-NICCR-1073 | 81.049 | 82,067 |
| Subtotal Duke University | | | 82,067 |
| Energy Research | DE-FG02-84ER40154 | 81.049 | 80,363 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|-------------------|---------------------------|-------------------------|
| Energy Research | DE-FG02-99ER41101 | 81.049 | 138,116 |
| Subtotal Energy Research | | | 218,479 |
| Environmental Protection Agency | RD-83457401-0 | 81.049 | 266,411 |
| Subtotal Environmental Protection Agency | | | 266,411 |
| NEI Corporation | DOE102009 | 81.049 | 3,422 |
| Subtotal NEI Corporation | | | 3,422 |
| University of Chicago | SUBAWARD 39683 | 81.049 | 69,930 |
| Subtotal University of Chicago | | | 69,930 |
| SUBTOTAL 81.049 | | | 1,940,777 |
| South Dakota State University | 3TD152 | 81.079 | 19,489 |
| South Dakota State University | 3TE153 | 81.079 | 18,105 |
| Subtotal South Dakota State University | | | 37,594 |
| University of Louisville | ULRF 05-1231F | 81.079 | 33,546 |
| University of Louisville | ULRF 05-1231G | 81.079 | 83,832 |
| Subtotal University of Louisville | | | 117,378 |
| SUBTOTAL 81.079 | | | 154,972 |
| University of Georgia | RR267-683/3842958 | 81.086 | 21,970 |
| University of Georgia | RR267-684/3842968 | 81.086 | 10,229 |
| Subtotal University of Georgia | | | 32,199 |
| SUBTOTAL 81.086 | | | 32,199 |
| Consortium for Plant Biotechnology Research, Inc | GO12026-268 | 81.087 | (2,518) |
| Consortium for Plant Biotechnology Research, Inc | GO12026-325 | 81.087 | 55,798 |
| Subtotal Consortium for Plant Biotechnology Research, Inc | | | 53,280 |
| Department of Energy | DE-FG36-08GO88043 | 81.087 | 696,298 |
| Subtotal Department of Energy | | | 696,298 |
| Morehead State University | 2010-11-072-001 | 81.087 | 56,242 |
| Subtotal Morehead State University | | | 56,242 |
| National Institute of Food and Agriculture | 2011-10006-30363 | 81.087 | 25,417 |
| Subtotal National Institute of Food and Agriculture | | | 25,417 |
| Pennsylvania State University | 3738-UK-DOE-8074 | 81.087 | 90,667 |
| Subtotal Pennsylvania State University | | | 90,667 |
| West Virginia University | 10-733-UKRF | 81.087 | 97,022 |
| Subtotal West Virginia University | | | 97,022 |
| SUBTOTAL 81.087 | | | 1,018,926 |
| Battelle Memorial Institute | 202290 | 81.089 | 145,191 |
| Subtotal Battelle Memorial Institute | | | 145,191 |
| Center for Advanced Separation Technologies | DE-FE0000699 | 81.089 | 68,536 |
| Subtotal Center for Advanced Separation Technologies | | | 68,536 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---|-----------------------|---------------------------|-------------------------|
| CoalTek Incorporated | DE-FE0005293 | 81.089 | 56,150 |
| Subtotal CoalTek Incorporated | | | 56,150 |
| Department of Energy | DE-FC26-05NT42456 | 81.089 | 1,248,909 |
| Department of Energy | DE-FE0000660 | 81.089 | 514,620 |
| Department of Energy | DE-FE0000699 | 81.089 | 742,716 |
| Department of Energy | DE-NT0006368 | 81.089 | 335,127 |
| Subtotal Department of Energy | | | 2,841,372 |
| Illinois State Geological Survey | A5507 | 81.089 | 115,595 |
| Subtotal Illinois State Geological Survey | | | 115,595 |
| University of Illinois | 2005-05060-25; A5507 | 81.089 | 6,470 |
| Subtotal University of Illinois | | | 6,470 |
| Virginia Polytechnic Institute and State University | CR-19109-C-429231 | 81.089 | 34,893 |
| Subtotal Virginia Polytechnic Institute and State University | | | 34,893 |
| VSE Corporation | POV004-08 | 81.089 | (9,729) |
| Subtotal VSE Corporation | | | (9,729) |
| SUBTOTAL 81.089 | | | 3,258,478 |
| Department of Energy | DE-FG05-03OR23032 | 81.092 | 921,649 |
| Subtotal Department of Energy | | | 921,649 |
| SUBTOTAL 81.092 | | | 921,649 |
| Idaho State University | 09-265E TASK 00079 | 81.09-265E TASK 00079 | 111,359 |
| Subtotal Idaho State University | | | 111,359 |
| SUBTOTAL 81.09-265E TASK 00079 | | | 111,359 |
| Kentucky Energy and Environment Cabinet | PON2 129 1100001969 1 | 81.104 | 378 |
| Subtotal Kentucky Energy and Environment Cabinet | | | 378 |
| Kentucky Natural Resources and Environmental Protection Cabinet | M99052085 | 81.104 | (36,000) |
| Subtotal Kentucky Natural Resources and Environmental Protection Cabinet | | | (36,000) |
| SUBTOTAL 81.104 | | | (35,622) |
| Department of Energy | DE-FG52-10NA29651 | 81.112 | 131,922 |
| Subtotal Department of Energy | | | 131,922 |
| SUBTOTAL 81.112 | | | 131,922 |
| Department of Energy | DE-FC26-05NT42631 | 81.117 | (637) |
| Subtotal Department of Energy | | | (637) |
| National Renewable Energy Laboratory | ADC-8-77041-11 | 81.117 | (11,825) |
| Subtotal National Renewable Energy Laboratory | | | (11,825) |
| University of Houston | R-10-0030 | 81.117 | 431,784 |
| Subtotal University of Houston | | | 431,784 |
| SUBTOTAL 81.117 | | | 419,322 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---|-----------------------|---------------------------|-------------------------|
| ARRA-Kentucky Public Service Commission | PON2 123 1000002932 1 | 81.122 | 57,086 |
| Subtotal ARRA-Kentucky Public Service Commission | | | 57,086 |
| SUBTOTAL 81.122 | | | 57,086 |
| ARRA-University of Illinois | 2010-00636-01 A2349 | 81.132 | 1,397,650 |
| Subtotal ARRA-University of Illinois | | | 1,397,650 |
| SUBTOTAL 81.132 | | | 1,397,650 |
| University of Southern California | 132256 | 81.132256 | 21,205 |
| Subtotal University of Southern California | | | 21,205 |
| SUBTOTAL 81.132256 | | | 21,205 |
| ARRA-Department of Energy | DE-AR0000072 | 81.135 | 697,615 |
| Subtotal ARRA-Department of Energy | | | 697,615 |
| ARRA-Pennsylvania State University | 4199-UK-DOE-0092 | 81.135 | 26,559 |
| Subtotal ARRA-Pennsylvania State University | | | 26,559 |
| SUBTOTAL 81.135 | | | 724,174 |
| Battelle Memorial Institute | 228857 | 81.228857 | 77,409 |
| Subtotal Battelle Memorial Institute | | | 77,409 |
| SUBTOTAL 81.228857 | | | 77,409 |
| University of Michigan | 3001318173 | 81.3001318173 | 31,475 |
| Subtotal University of Michigan | | | 31,475 |
| SUBTOTAL 81.3001318173 | | | 31,475 |
| Oak Ridge National Laboratory | 4000041447 | 81.4000041447 | 4,477 |
| Subtotal Oak Ridge National Laboratory | | | 4,477 |
| SUBTOTAL 81.4000041447 | | | 4,477 |
| Oak Ridge National Laboratory | 4000100512 | 81.4000100512 | 10,401 |
| Subtotal Oak Ridge National Laboratory | | | 10,401 |
| SUBTOTAL 81.4000100512 | | | 10,401 |
| Oak Ridge National Laboratory | 4000103637 | 81.4000103637 | 17,344 |
| Subtotal Oak Ridge National Laboratory | | | 17,344 |
| SUBTOTAL 81.4000103637 | | | 17,344 |
| Kentucky Energy and Environment Cabinet | PON2 129 1000003622 1 | 81.502 | 187,063 |
| Subtotal Kentucky Energy and Environment Cabinet | | | 187,063 |
| SUBTOTAL 81.502 | | | 187,063 |
| Los Alamos National Laboratory | 65335-001-08 | 81.65335-001-08 | 8,651 |
| Subtotal Los Alamos National Laboratory | | | 8,651 |
| SUBTOTAL 81.65335-001-08 | | | 8,651 |
| Department of Energy | DE-FG02-97ER45653 | 81.DE-FG02-97ER45653 | 7,992 |
| Subtotal Department of Energy | | | 7,992 |
| SUBTOTAL 81.DE-FG02-97ER45653 | | | 7,992 |
| Department of Energy | DE-FG36-08GO88043 | 81.DE-FG36-08GO88043 | (14,839) |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---|----------------------|---------------------------|-------------------------|
| Subtotal Department of Energy | | | (14,839) |
| SUBTOTAL 81.DE-FG36-08GO88043 | | | (14,839) |
| Department of Energy | DE-NT0005988 | 81.DE-NT0005988 | 112,387 |
| Subtotal Department of Energy | | | 112,387 |
| SUBTOTAL 81.DE-NT0005988 | | | 112,387 |
| NEI Corporation | DOE092008 | 81.DOE092008 | 75,456 |
| Subtotal NEI Corporation | | | 75,456 |
| SUBTOTAL 81.DOE092008 | | | 75,456 |
| Argonne National Laboratory | OF33642 | 81.OF33642 | 8,374 |
| Subtotal Argonne National Laboratory | | | 8,374 |
| SUBTOTAL 81.OF33642 | | | 8,374 |
| Sandia National Laboratories | PO 972057 | 81.PO 972057 | 7,912 |
| Subtotal Sandia National Laboratories | | | 7,912 |
| SUBTOTAL 81.PO 972057 | | | 7,912 |
| Kentucky Natural Resources and Environmental Protection Cabinet | PO2-129-08000019509 | 81.PO2-129-08000019509 | (1,817) |
| Subtotal Kentucky Natural Resources and Environmental Protection Cabinet | | | (1,817) |
| SUBTOTAL 81.PO2-129-08000019509 | | | (1,817) |
| Department of Energy | | | 11,656,304 |
| Department of Education | | | |
| Kentucky Council on Postsecondary Education | PO2 415 1000005779 1 | 84.002 | 184,706 |
| Subtotal Kentucky Council on Postsecondary Education | | | 184,706 |
| SUBTOTAL 84.002 | | | 184,706 |
| Kentucky Content Literacy Consortium Danville Schools | DOED 031510 | 84.048 | 309,354 |
| Subtotal Kentucky Content Literacy Consortium Danville Schools | | | 309,354 |
| SUBTOTAL 84.048 | | | 309,354 |
| CNA Corp | 06-UKY-6-0021 | 84.06-UKY-6-0021 | 8,609 |
| Subtotal CNA Corp | | | 8,609 |
| SUBTOTAL 84.06-UKY-6-0021 | | | 8,609 |
| Department of Education | R305H060150 | 84.305 | 22 |
| Subtotal Department of Education | | | 22 |
| Duke University | 10-ED-1097 | 84.305 | 46,267 |
| Subtotal Duke University | | | 46,267 |
| SUBTOTAL 84.305 | | | 46,289 |
| Department of Education | H324U040001-05 | 84.324 | 379,909 |
| Subtotal Department of Education | | | 379,909 |
| SUBTOTAL 84.324 | | | 379,909 |
| Department of Education | H325A040110-08 | 84.325 | (6,813) |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---|--------------------------|---------------------------|-------------------------|
| Department of Education | H325K051238-08 | 84.325 | 107,370 |
| Subtotal Department of Education | | | 100,557 |
| Special Education and Rehabilitation | H325D020030-05 | 84.325 | 3,367 |
| Subtotal Special Education and Rehabilitation | | | 3,367 |
| SUBTOTAL 84.325 | | | 103,924 |
| University of Oregon | 223811A | 84.327 | 137,215 |
| Subtotal University of Oregon | | | 137,215 |
| SUBTOTAL 84.327 | | | 137,215 |
| Kentucky Department of Education | PO2 540 0900024684 1 | 84.357 | 19,461 |
| Subtotal Kentucky Department of Education | | | 19,461 |
| SUBTOTAL 84.357 | | | 19,461 |
| Kentucky Council on Postsecondary Education | M-06047021 | 84.367 | (1,357) |
| Kentucky Council on Postsecondary Education | PO2 415 1000003144 1 | 84.367 | 86,640 |
| Kentucky Council on Postsecondary Education | PO2 415 1100002582 1 | 84.367 | 3,168 |
| Subtotal Kentucky Council on Postsecondary Education | | | 88,451 |
| SUBTOTAL 84.367 | | | 88,451 |
| Kentucky Department of Education | PO2 540 1000003657 1 | 84.371 | 59,405 |
| Kentucky Department of Education | PON2 540 1100001001 5 | 84.371 | 270,783 |
| Subtotal Kentucky Department of Education | | | 330,188 |
| SUBTOTAL 84.371 | | | 330,188 |
| Kentucky Education Professional Standards Board | PO2 183 1000004913 1 | 84.372 | 26,294 |
| Subtotal Kentucky Education Professional Standards Board | | | 26,294 |
| SUBTOTAL 84.372 | | | 26,294 |
| University of Minnesota | T3039214501 | 84.373 | 3,228 |
| Subtotal University of Minnesota | | | 3,228 |
| SUBTOTAL 84.373 | | | 3,228 |
| ARRA-University of Utah | 10018883-KY/PRIME 101760 | 84.397 | 50,066 |
| Subtotal ARRA-University of Utah | | | 50,066 |
| SUBTOTAL 84.397 | | | 50,066 |
| Department of Education | | | 1,687,694 |
| Architectural & Transportation Barriers Compliance Board | | | |
| Lewis Research Center | NAG3-2018 | 88.888 | 152 |
| Subtotal Lewis Research Center | | | 152 |
| SUBTOTAL 88.888 | | | 152 |
| Architectural & Transportation Barriers Compliance Board | | | 152 |
| Department of Health and Human Services | | | |
| National Library of Medicine | HHSN276201000530P | 93.001 | 15,000 |
| Subtotal National Library of Medicine | | | 15,000 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|---------------------|---------------------------|-------------------------|
| SUBTOTAL 93.001 | | | 15,000 |
| Columbia University | 5-37761 | 93.113 | 869 |
| Subtotal Columbia University | | | 869 |
| National Institute of Environmental Health Sciences | 1 R01 ES015518-04 | 93.113 | 371,175 |
| National Institute of Environmental Health Sciences | 1R21ES019249-01A1 | 93.113 | 34,383 |
| National Institute of Environmental Health Sciences | 5 R01 ES014849-05 | 93.113 | 227,106 |
| National Institute of Environmental Health Sciences | 5 R01 ES015375-05 | 93.113 | 436,344 |
| National Institute of Environmental Health Sciences | 5R01ES017217-02 | 93.113 | 47,051 |
| National Institute of Environmental Health Sciences | 5R01ES018883-02 REV | 93.113 | 309,349 |
| Subtotal National Institute of Environmental Health Sciences | | | 1,425,408 |
| SUBTOTAL 93.113 | | | 1,426,277 |
| National Institute of Environmental Health Sciences | 2 P42 ES007380-12 | 93.114 | 9 |
| National Institute of Environmental Health Sciences | 5P42ES007380-13 | 93.114 | (617) |
| Subtotal National Institute of Environmental Health Sciences | | | (608) |
| SUBTOTAL 93.114 | | | (608) |
| Science Applications International Co | 11XS031 | 93.11XS031 | 8,739 |
| Subtotal Science Applications International Co | | | 8,739 |
| SUBTOTAL 93.11XS031 | | | 8,739 |
| Four Tigers LLC | 1R41DE018839-01A2 | 93.121 | (366) |
| Subtotal Four Tigers LLC | | | (366) |
| Health Decision Technologies LLC | 1R3DE021327-01 | 93.121 | 64,719 |
| Subtotal Health Decision Technologies LLC | | | 64,719 |
| National Institute of Dental and Craniofacial Research | 1 R21 DE018332-01 | 93.121 | 86,909 |
| National Institute of Dental and Craniofacial Research | 1R03DE019490-01A1 | 93.121 | (5,491) |
| National Institute of Dental and Craniofacial Research | 1R21DE018177-02 REV | 93.121 | 71,607 |
| National Institute of Dental and Craniofacial Research | 5K23DE015298-05 | 93.121 | 83,215 |
| Subtotal National Institute of Dental and Craniofacial Research | | | 236,240 |
| Oraceuticals Inc | NIHR412011 | 93.121 | 29,087 |
| Subtotal Oraceuticals Inc | | | 29,087 |
| Rice University | R21731 7U01DE017793 | 93.121 | 177,127 |
| Subtotal Rice University | | | 177,127 |
| SUBTOTAL 93.121 | | | 506,807 |
| National Center for Chronic Diseases | 1U48DP001932-01 | 93.135 | 144,786 |
| National Center for Chronic Diseases | 5U48DP001932-02 | 93.135 | 267,940 |
| Subtotal National Center for Chronic Diseases | | | 412,726 |
| SUBTOTAL 93.135 | | | 412,726 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---|----------------------|---------------------------|-------------------------|
| Center for Disease Control and Prevention | 1U01CE001675-01 REV | 93.136 | 60,146 |
| Center for Disease Control and Prevention | 5U01CE001675-02 | 93.136 | 304,347 |
| Center for Disease Control and Prevention | 5U17CE424761-05S1 | 93.136 | 109,105 |
| Subtotal Center for Disease Control and Prevention | | | 473,598 |
| National Highway Traffic Safety Administration | DTNH22-08-H-00302 | 93.136 | 33,018 |
| Subtotal National Highway Traffic Safety Administration | | | 33,018 |
| SUBTOTAL 93.136 | | | 506,616 |
| University of Cincinnati | COEUS 001166 SAP 100 | 93.142 | 11,180 |
| Subtotal University of Cincinnati | | | 11,180 |
| SUBTOTAL 93.142 | | | 11,180 |
| National Institute of Environmental Health Sciences | 5P42 ES007380-14 | 93.143 | 1,362,313 |
| National Institute of Environmental Health Sciences | 5P42ES007380-15 | 93.143 | 320,910 |
| Subtotal National Institute of Environmental Health Sciences | | | 1,683,223 |
| University of Iowa | 1000697734 | 93.143 | 3,830 |
| Subtotal University of Iowa | | | 3,830 |
| SUBTOTAL 93.143 | | | 1,687,053 |
| National Institute on Deafness and Other Communications | 1R03DC011360-01 | 93.173 | 46,060 |
| National Institute on Deafness and Other Communications | 5 R03 DC007983-03 | 93.173 | (539) |
| National Institute on Deafness and Other Communications | 5R01DC002736-13 | 93.173 | 191,043 |
| National Institute on Deafness and Other Communications | 5R01DC005989-5 | 93.173 | 168,028 |
| National Institute on Deafness and Other Communications | 5R01DC007194-05 | 93.173 | 135,886 |
| National Institute on Deafness and Other Communications | 5R01DC008861-03 | 93.173 | 302,072 |
| National Institute on Deafness and Other Communications | 5R01DC009434-03 | 93.173 | 183,328 |
| Subtotal National Institute on Deafness and Other Communications | | | 1,025,878 |
| University of Michigan | 3001396589 | 93.173 | 78,967 |
| Subtotal University of Michigan | | | 78,967 |
| SUBTOTAL 93.173 | | | 1,104,845 |
| National Childhood Cancer Foundation | 17495 | 93.17495 | (26,627) |
| Subtotal National Childhood Cancer Foundation | | | (26,627) |
| SUBTOTAL 93.17495 | | | (26,627) |
| Health Resources and Services Administration | 2 D12HP00005-04-00 | 93.2 D12HP00005-04-00 | 201,369 |
| Subtotal Health Resources and Services Administration | | | 201,369 |
| SUBTOTAL 93.2 D12HP00005-04-00 | | | 201,369 |
| Center for Disease Control and Prevention | 200-2008-M-27936 | 93.200-2008-M-27936 | (7,296) |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|----------------------|---------------------------|-------------------------|
| Subtotal Center for Disease Control and Prevention | | | (7,296) |
| SUBTOTAL 93.200-2008-M-27936 | | | (7,296) |
| Center for Disease Control and Prevention | 200-2009-30678 | 93.200-2009-30678 | 221,981 |
| Subtotal Center for Disease Control and Prevention | | | 221,981 |
| SUBTOTAL 93.200-2009-30678 | | | 221,981 |
| Akeso Health Sciences LLC | NIH092009 | 93.213 | 217,659 |
| Subtotal Akeso Health Sciences LLC | | | 217,659 |
| National Center for Complementary and Alternative Medicine | 1 R01 AT002890-01A2 | 93.213 | (689) |
| National Center for Complementary and Alternative Medicine | 1 R21 AT003488-01A1 | 93.213 | (255) |
| National Center for Complementary and Alternative Medicine | 1R21AT004544-01A2 | 93.213 | 151 |
| National Center for Complementary and Alternative Medicine | 1R21AT005235-2 | 93.213 | 101,416 |
| National Center for Complementary and Alternative Medicine | 5 R01 AT002890-03 | 93.213 | 31,328 |
| National Center for Complementary and Alternative Medicine | 5R21AT004544-02 | 93.213 | 197,762 |
| Subtotal National Center for Complementary and Alternative Medicine | | | 329,713 |
| Ohio State University | RF01169600/60019266 | 93.213 | (15,406) |
| Ohio State University | RF01208463/60026485 | 93.213 | 109,581 |
| Subtotal Ohio State University | | | 94,175 |
| SUBTOTAL 93.213 | | | 641,547 |
| Assistant Secretary for Planning | 3 U01 PE 000002-06S3 | 93.239 | 100,461 |
| Assistant Secretary for Planning | 3U01PE000002-06S2 | 93.239 | 132,721 |
| Subtotal Assistant Secretary for Planning | | | 233,182 |
| National Institute of Allergy and Infectious Diseases | 3 U01 PE 000002-06S3 | 93.239 | 47,164 |
| National Institute of Allergy and Infectious Diseases | 3 U01 PE000002-06S3 | 93.239 | 355,570 |
| National Institute of Allergy and Infectious Diseases | 3U01PE000002-06S1 | 93.239 | 31,068 |
| National Institute of Allergy and Infectious Diseases | 5 U01 PE000002-06 | 93.239 | 42,695 |
| Subtotal National Institute of Allergy and Infectious Diseases | | | 476,497 |
| SUBTOTAL 93.239 | | | 709,679 |
| Genomas Inc | 2R 44MH 073291-02 | 93.242 | 1,660 |
| Subtotal Genomas Inc | | | 1,660 |
| Medical University of South Carolina | MUSC11-026 | 93.242 | 96,944 |
| Subtotal Medical University of South Carolina | | | 96,944 |
| National Institute of Mental Health | 1 R01 MH072567-04 | 93.242 | (2,271) |
| National Institute of Mental Health | 1 R34 MH077507-03 | 93.242 | 121,532 |
| National Institute of Mental Health | 5 R01 MH063022-09 | 93.242 | 308,577 |
| National Institute of Mental Health | 5 R01 MH063705-08 | 93.242 | 176,019 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|-----------------------|---------------------------|-------------------------|
| National Institute of Mental Health | 5R 34MH077507-03 REV | 93.242 | 6,110 |
| National Institute of Mental Health | 5R01MH083621-04 | 93.242 | 447,536 |
| National Institute of Mental Health | 5R21MH086958-02 | 93.242 | 22,766 |
| Subtotal National Institute of Mental Health | | | 1,080,269 |
| SUBTOTAL 93.242 | | | 1,178,873 |
| Catholic Social Services of the Diocese | 1H79SM059210 | 93.243 | 3,631 |
| Catholic Social Services of the Diocese | 5H79SM059210-02 | 93.243 | 26,538 |
| Subtotal Catholic Social Services of the Diocese | | | 30,169 |
| Community Action Council for Lexington Fayette | 121934 | 93.243 | 43,400 |
| Subtotal Community Action Council for Lexington Fayette | | | 43,400 |
| HOPE Center of Lexington | CSAT-MEN | 93.243 | 50,323 |
| Subtotal HOPE Center of Lexington | | | 50,323 |
| Kentucky Administrative Office of the Courts | PON2 025 0900012888 3 | 93.243 | 87,294 |
| Kentucky Administrative Office of the Courts | PON2 025 0900012900 3 | 93.243 | 58,225 |
| Subtotal Kentucky Administrative Office of the Courts | | | 145,519 |
| Kentucky Cabinet for Health and Family Services | PO2 729 1000005251 1 | 93.243 | 40,628 |
| Subtotal Kentucky Cabinet for Health and Family Services | | | 40,628 |
| Kentucky Department for Behavioral Health, Development and Intellectual Disabilities | M-06105374 | 93.243 | (15,434) |
| Kentucky Department for Behavioral Health, Development and Intellectual Disabilities | P02 729 0800014088 3 | 93.243 | 1,420 |
| Kentucky Department for Behavioral Health, Development and Intellectual Disabilities | P02 729 1000004895 1 | 93.243 | 6,146 |
| Subtotal Kentucky Department for Behavioral Health, Development and Intellectual Disabilities | | | (7,868) |
| Volunteers of America of Los Angeles | VOA0908 | 93.243 | 7,111 |
| Subtotal Volunteers of America of Los Angeles | | | 7,111 |
| SUBTOTAL 93.243 | | | 309,282 |
| Center for Disease Control and Prevention | 3U50OH007547-09S1 | 93.262 | 9,842 |
| Subtotal Center for Disease Control and Prevention | | | 9,842 |
| Colorado State University | G-4535-1 | 93.262 | 5,643 |
| Colorado State University | G-46241-1 | 93.262 | 3,220 |
| Subtotal Colorado State University | | | 8,863 |
| National Institute of Occupational Safety and Health | 1R01OH009802-02 | 93.262 | 257,262 |
| National Institute of Occupational Safety and Health | 1R21OH009494-02 | 93.262 | 114,663 |
| National Institute of Occupational Safety and Health | 2 U60 OH008483-05 | 93.262 | 7,430 |
| National Institute of Occupational Safety and Health | 5R01OH009197-03 REV | 93.262 | 192,749 |
| National Institute of Occupational Safety and Health | 5U50OH007547-09 | 93.262 | 253,428 |
| National Institute of Occupational Safety and Health | 5U50OH007547-10 | 93.262 | 467,654 |
| Subtotal National Institute of Occupational Safety and Health | | | 1,293,186 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|-------------------------|---------------------------|-------------------------|
| SUBTOTAL 93.262 | | | 1,311,891 |
| AllTranz | AA016499 | 93.273 | 4 |
| Subtotal AllTranz | | | 4 |
| Naprogenix | NAPROGENIX-UKRF 10-1-08 | 93.273 | 106,920 |
| Naprogenix | NAPROGENIX-UKRF 7-01-07 | 93.273 | (294) |
| Naprogenix | NAPROGENIX-UKRF 8-01-07 | 93.273 | 200,342 |
| Subtotal Naprogenix | | | 306,968 |
| National Institute on Alcohol Abuse and Alcoholism | 1R21AA016307-01A2 | 93.273 | 102,889 |
| National Institute on Alcohol Abuse and Alcoholism | 1R21AA019693-01 | 93.273 | 158,483 |
| National Institute on Alcohol Abuse and Alcoholism | 2R01AA015407-07A1 | 93.273 | 90,297 |
| National Institute on Alcohol Abuse and Alcoholism | 3R01AA016166-02S1 | 93.273 | 36,215 |
| National Institute on Alcohol Abuse and Alcoholism | 3R21AA017937-02S1 | 93.273 | 42,481 |
| National Institute on Alcohol Abuse and Alcoholism | 501AA018274-02 | 93.273 | 161,973 |
| National Institute on Alcohol Abuse and Alcoholism | 5R01AA011691-10 | 93.273 | 36,361 |
| National Institute on Alcohol Abuse and Alcoholism | 5R01AA013388-05 | 93.273 | 400,705 |
| National Institute on Alcohol Abuse and Alcoholism | 5R01AA015407-06 | 93.273 | 131,559 |
| National Institute on Alcohol Abuse and Alcoholism | 5R01AA016166-04 | 93.273 | 440,754 |
| National Institute on Alcohol Abuse and Alcoholism | 5R01AA016959-04 | 93.273 | 406,801 |
| National Institute on Alcohol Abuse and Alcoholism | 5R01AA017226-05 | 93.273 | 373,444 |
| National Institute on Alcohol Abuse and Alcoholism | 5R03AA015964-02 | 93.273 | 21,370 |
| National Institute on Alcohol Abuse and Alcoholism | 5R21AA017937-02 | 93.273 | 121,459 |
| Subtotal National Institute on Alcohol Abuse and Alcoholism | | | 2,524,791 |
| National Institutes of Health | 5R01AA012895-07 | 93.273 | 323,555 |
| Subtotal National Institutes of Health | | | 323,555 |
| University of Georgia | RR274-346/3503288 | 93.273 | 20,239 |
| Subtotal University of Georgia | | | 20,239 |
| SUBTOTAL 93.273 | | | 3,175,557 |
| Alcomed Incorporated | NIH052011 | 93.279 | 38,264 |
| Alcomed Incorporated | NIH092010 | 93.279 | 70,603 |
| Subtotal Alcomed Incorporated | | | 108,867 |
| Boston University | GC207108NGC | 93.279 | 135,908 |
| Subtotal Boston University | | | 135,908 |
| National Institute on Drug Abuse | 1F31DA028018-01A1 | 93.279 | 7,199 |
| National Institute on Drug Abuse | 1R01DA025100-01 | 93.279 | 557,498 |
| National Institute on Drug Abuse | 1R01DA027569-02 | 93.279 | 525,235 |
| National Institute on Drug Abuse | 1R03DA025948-01 | 93.279 | 158,107 |
| National Institute on Drug Abuse | 2 R25 DA011388-06 | 93.279 | 9,033 |
| National Institute on Drug Abuse | 2R01DA012964-09A1 | 93.279 | 287,465 |
| National Institute on Drug Abuse | 2R01DA016718-06 | 93.279 | 410,298 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|----------------------------------|--------------------------|---------------------------|-------------------------|
| National Institute on Drug Abuse | 3 R01 DA013930-06S2 | 93.279 | 3,756 |
| National Institute on Drug Abuse | 3P50DA005312-19S1 | 93.279 | (481) |
| National Institute on Drug Abuse | 3R01DA013425-05S1 | 93.279 | 144 |
| National Institute on Drug Abuse | 5 K01 DA018772-05 | 93.279 | 112,165 |
| National Institute on Drug Abuse | 5 K01DA021309-05 | 93.279 | 107,937 |
| National Institute on Drug Abuse | 5 P50 DA005312-18 | 93.279 | (84) |
| National Institute on Drug Abuse | 5 R01 DA002243-34 | 93.279 | 428,747 |
| National Institute on Drug Abuse | 5 R01 DA013425-05 | 93.279 | 197,674 |
| National Institute on Drug Abuse | 5 R01 DA013519-09 | 93.279 | 315,370 |
| National Institute on Drug Abuse | 5 R01 DA013930-07 | 93.279 | 82,369 |
| National Institute on Drug Abuse | 5 R01 DA017186-05 | 93.279 | 260 |
| National Institute on Drug Abuse | 5 R01 DA018822-03 | 93.279 | 117,329 |
| National Institute on Drug Abuse | 5 R01 DA018822-04 | 93.279 | 167,410 |
| National Institute on Drug Abuse | 5 R01 DA019433-03 | 93.279 | 240,986 |
| National Institute on Drug Abuse | 5 R01 DA020429-04 | 93.279 | (7,980) |
| National Institute on Drug Abuse | 5 R01 DA021155-04 | 93.279 | 234,837 |
| National Institute on Drug Abuse | 5 R01 DA022967-02 | 93.279 | 494,343 |
| National Institute on Drug Abuse | 5 R01 DA022967-04 | 93.279 | 112,799 |
| National Institute on Drug Abuse | 5 R21 DA019526-02 | 93.279 | (3,216) |
| National Institute on Drug Abuse | 5 R21 DA021199-02 | 93.279 | (2,704) |
| National Institute on Drug Abuse | 5 R21 DA023049-02 REVISE | 93.279 | 24,018 |
| National Institute on Drug Abuse | 5 R25 DA011388-08 REVISE | 93.279 | (135) |
| National Institute on Drug Abuse | 5K02DA019656-05 | 93.279 | 123,226 |
| National Institute on Drug Abuse | 5K12DA014040-10 | 93.279 | 112,367 |
| National Institute on Drug Abuse | 5P50DA005312-19 | 93.279 | 41,733 |
| National Institute on Drug Abuse | 5P50DA005312-20 | 93.279 | 1,500,438 |
| National Institute on Drug Abuse | 5R01DA013930-08 | 93.279 | 218,145 |
| National Institute on Drug Abuse | 5R01DA017711-05 | 93.279 | 182,168 |
| National Institute on Drug Abuse | 5R01DA022191-03 | 93.279 | 302,969 |
| National Institute on Drug Abuse | 5R01DA022451-02 | 93.279 | 211,657 |
| National Institute on Drug Abuse | 5R01DA022967-04 | 93.279 | 58,958 |
| National Institute on Drug Abuse | 5R01DA024598-04 | 93.279 | 678,356 |
| National Institute on Drug Abuse | 5R01DA025032-03 | 93.279 | 370,644 |
| National Institute on Drug Abuse | 5R01DA025591-03 | 93.279 | 348,143 |
| National Institute on Drug Abuse | 5R01DA025605-03 | 93.279 | 296,674 |
| National Institute on Drug Abuse | 5R01DA027068-02 | 93.279 | 345,423 |
| National Institute on Drug Abuse | 5R21DA021178-02 | 93.279 | 135,358 |
| National Institute on Drug Abuse | 5R21DA022314-02 | 93.279 | 65,529 |
| National Institute on Drug Abuse | 5R21DA024089-02 | 93.279 | 103,369 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---|-----------------------|---------------------------|-------------------------|
| National Institute on Drug Abuse | 5U01DA016205-07 REV | 93.279 | 71,191 |
| National Institute on Drug Abuse | 5U01DA016205-08 | 93.279 | 423,346 |
| National Institute on Drug Abuse | 7 R01 DA016718-04 | 93.279 | 3,462 |
| National Institute on Drug Abuse | 7R01DA020757-03 REV | 93.279 | 186,713 |
| Subtotal National Institute on Drug Abuse | | | 10,360,248 |
| University of Georgia | RR274-332/3506508 | 93.279 | 25,250 |
| Subtotal University of Georgia | | | 25,250 |
| University of Louisville | ULRF 10-0085 | 93.279 | 21,670 |
| Subtotal University of Louisville | | | 21,670 |
| University of Tennessee | 9U10DA024128-06 | 93.279 | (18,508) |
| University of Tennessee | R073223387 | 93.279 | (1,730) |
| Subtotal University of Tennessee | | | (20,238) |
| SUBTOTAL 93.279 | | | 10,631,705 |
| National Institute of Mental Health | 5 K08 MH070840-05 | 93.281 | (454) |
| Subtotal National Institute of Mental Health | | | (454) |
| SUBTOTAL 93.281 | | | (454) |
| Kentucky Cabinet for Health and Family Services | PO2 728 0900023281 1 | 93.283 | (2,248) |
| Kentucky Cabinet for Health and Family Services | PON2 728 1000003926 1 | 93.283 | 111,527 |
| Subtotal Kentucky Cabinet for Health and Family Services | | | 109,279 |
| Kentucky Department for Public Health | PO2 728 0900022046 | 93.283 | 519 |
| Subtotal Kentucky Department for Public Health | | | 519 |
| SUBTOTAL 93.283 | | | 109,798 |
| Science Applications International Co | 29SX007 | 93.29SX007 | 4,372 |
| Subtotal Science Applications International Co | | | 4,372 |
| SUBTOTAL 93.29SX007 | | | 4,372 |
| Science Applications International Co | 29XS212 | 93.29XS212 | (2,442) |
| Subtotal Science Applications International Co | | | (2,442) |
| SUBTOTAL 93.29XS212 | | | (2,442) |
| National Center on Minority Health | 5R01MD004598-02 | 93.307 | 233,688 |
| National Center on Minority Health | 5R24MD002757-04 | 93.307 | 808,763 |
| Subtotal National Center on Minority Health | | | 1,042,451 |
| SUBTOTAL 93.307 | | | 1,042,451 |
| University of Michigan | 3000691501-RPT07 | 93.310 | 10,853 |
| Subtotal University of Michigan | | | 10,853 |
| SUBTOTAL 93.310 | | | 10,853 |
| National Institute for Nursing Research | 5P20NR010679-03 | 93.361 | (233) |
| National Institute for Nursing Research | 5P20NR010679-04 | 93.361 | 281,698 |
| Subtotal National Institute for Nursing Research | | | 281,465 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|---------------------|---------------------------|-------------------------|
| University of Arkansas | 14219 | 93.361 | 19,788 |
| Subtotal University of Arkansas | | | 19,788 |
| SUBTOTAL 93.361 | | | 301,253 |
| Compact Membrane Systems, Inc. | NIH072008 | 93.389 | 6,332 |
| Subtotal Compact Membrane Systems, Inc. | | | 6,332 |
| Marshall University Research | P1100665 | 93.389 | 89,471 |
| Subtotal Marshall University Research | | | 89,471 |
| National Center for Research Resources | 1 P20 RR020145-01 | 93.389 | (2,131) |
| National Center for Research Resources | 1 P20 RR020145-02 | 93.389 | 45 |
| National Center for Research Resources | 1 P20 RR020171-01 | 93.389 | (829) |
| National Center for Research Resources | 1 P20 RR020171-03 | 93.389 | 2 |
| National Center for Research Resources | 1S10RR026489-01A1 | 93.389 | 283,657 |
| National Center for Research Resources | 1S10RR026884-01A1 | 93.389 | 83,706 |
| National Center for Research Resources | 1S10RR027463-01 | 93.389 | 105,007 |
| National Center for Research Resources | 2 R24 RR016344-08S1 | 93.389 | 511 |
| National Center for Research Resources | 2P20RR020145-06 | 93.389 | 229,818 |
| National Center for Research Resources | 2P20RR020145-07 | 93.389 | 1,831,631 |
| National Center for Research Resources | 2P20RR020171-06 | 93.389 | (20,977) |
| National Center for Research Resources | 5 P20 RR020145-03 | 93.389 | 1,962 |
| National Center for Research Resources | 5 P20 RR020145-04 | 93.389 | 29 |
| National Center for Research Resources | 5 P20 RR020171-04 | 93.389 | 152 |
| National Center for Research Resources | 5 P20 RR0202171-05 | 93.389 | 61 |
| National Center for Research Resources | 5 P20RR020145-07 | 93.389 | 188,191 |
| National Center for Research Resources | 5P20RR015592-10 | 93.389 | 242,930 |
| National Center for Research Resources | 5P20RR015592-10 REV | 93.389 | 130,282 |
| National Center for Research Resources | 5P20RR020171-07 | 93.389 | 2,422,861 |
| National Center for Research Resources | 5P20RR021954-02 | 93.389 | (37,157) |
| National Center for Research Resources | 5P20RR021954-03 | 93.389 | 2,008,652 |
| National Center for Research Resources | 5R24RR016344-11 | 93.389 | 172,483 |
| National Center for Research Resources | 5R25RR023304-04 | 93.389 | 173,845 |
| Subtotal National Center for Research Resources | | | 7,814,731 |
| ParaTechs Corp | NCRR052011 | 93.389 | 7,205 |
| Subtotal ParaTechs Corp | | | 7,205 |
| University of Louisville | OGMB090109A1-MP | 93.389 | 273,519 |
| University of Louisville | OGMB090109B1-UYK | 93.389 | 23,329 |
| Subtotal University of Louisville | | | 296,848 |
| Washington University | WU-11-204 | 93.389 | 70,803 |
| Subtotal Washington University | | | 70,803 |
| SUBTOTAL 93.389 | | | 8,285,390 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---|--------------------------|---------------------------|-------------------------|
| Childrens Hospital Medical Center | CHMC106032/PO3100163828 | 93.393 | 16,429 |
| Subtotal Childrens Hospital Medical Center | | | 16,429 |
| National Cancer Institute | 1 R01 CA104333-05 | 93.393 | 103,849 |
| National Cancer Institute | 1R01CA131075-02 | 93.393 | 361,578 |
| National Cancer Institute | 1R01CA140605-01A2 | 93.393 | 45,781 |
| National Cancer Institute | 1R03CA125788-02 | 93.393 | 38,307 |
| National Cancer Institute | 1R21CA129881-02 | 93.393 | 25,449 |
| National Cancer Institute | 3 R01 CA108696-03S1 | 93.393 | 555 |
| National Cancer Institute | 3R01CA104333-054S1 | 93.393 | 38,513 |
| National Cancer Institute | 5 R01 CA049797-18 | 93.393 | 1,885 |
| National Cancer Institute | 5 R01 CA073599-12 | 93.393 | 421,897 |
| National Cancer Institute | 5 R01 CA115942-05 | 93.393 | 272,624 |
| National Cancer Institute | 5 R01 CA116697-05 | 93.393 | 213,825 |
| National Cancer Institute | 5 R01 CA120606-05 Revise | 93.393 | 512,927 |
| National Cancer Institute | 5 R01CA108696-04 REV | 93.393 | 63 |
| National Cancer Institute | 5R01CA049797-18 | 93.393 | 46,901 |
| National Cancer Institute | 5R01CA049797-20 | 93.393 | 203,695 |
| National Cancer Institute | 5R01CA125166-04 | 93.393 | 103,884 |
| National Cancer Institute | 5R01CA133257-02 | 93.393 | 300,338 |
| National Cancer Institute | 5R03CA144721-02 | 93.393 | 82,161 |
| National Cancer Institute | 5R21CA124881-02 | 93.393 | 24,293 |
| National Cancer Institute | 5R21CA127052-02 | 93.393 | (7,671) |
| National Cancer Institute | 7R01CA109136-05 | 93.393 | 193,447 |
| Subtotal National Cancer Institute | | | 2,984,301 |
| Pennsylvania State University | UKY-CA140335 | 93.393 | 24,247 |
| Subtotal Pennsylvania State University | | | 24,247 |
| University of Massachusetts | 6114214/RFS900200 | 93.393 | 41,240 |
| Subtotal University of Massachusetts | | | 41,240 |
| University of Miami | M158945 | 93.393 | 41,876 |
| University of Miami | M162542 | 93.393 | 34,297 |
| Subtotal University of Miami | | | 76,173 |
| SUBTOTAL 93.393 | | | 3,142,390 |
| National Cancer Institute | 1R01CA14927401A1 | 93.394 | 237,149 |
| National Cancer Institute | 5 R01 CA100323-07 | 93.394 | 169,269 |
| National Cancer Institute | 5R21CA120693-02 | 93.394 | 66,530 |
| Subtotal National Cancer Institute | | | 472,948 |
| SUBTOTAL 93.394 | | | 472,948 |
| American College of Radiology | 0526 | 93.395 | 18,990 |
| Subtotal American College of Radiology | | | 18,990 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|------------------------|---------------------------|-------------------------|
| MC3 Inc | NIH122010 | 93.395 | 63,314 |
| Subtotal MC3 Inc | | | 63,314 |
| National Cancer Institute | 1 R01 CA107575-05 | 93.395 | (14,527) |
| National Cancer Institute | 1 R01 CA138797-01A2 | 93.395 | 70,623 |
| National Cancer Institute | 1R01CA139844-04 | 93.395 | 284,019 |
| National Cancer Institute | 1R01CA143428-01A1 | 93.395 | 69,347 |
| National Cancer Institute | 1R15CA156601-01 | 93.395 | 71,539 |
| National Cancer Institute | 2 R01 CA091901-06A1 | 93.395 | 274,013 |
| National Cancer Institute | 5 R01 CA115801-05 | 93.395 | 87,819 |
| National Cancer Institute | 5R01CA115801-05 | 93.395 | 174,210 |
| National Cancer Institute | 5R01CA128903-03 | 93.395 | 303,911 |
| National Cancer Institute | 5R01CA139843-04 | 93.395 | 232,422 |
| National Cancer Institute | 5R01CA153227-02 | 93.395 | 152,813 |
| National Cancer Institute | 5R01CA153277-02 | 93.395 | 55,038 |
| National Cancer Institute | 5R21CA143456-02 | 93.395 | 80,972 |
| National Cancer Institute | 7R21CA127792-03 | 93.395 | 37,592 |
| Subtotal National Cancer Institute | | | 1,879,791 |
| National Childhood Cancer Foundation | 18503 | 93.395 | (61,755) |
| National Childhood Cancer Foundation | 18796 | 93.395 | (11) |
| National Childhood Cancer Foundation | 19548 | 93.395 | 97,678 |
| National Childhood Cancer Foundation | 19843 | 93.395 | 7,231 |
| Subtotal National Childhood Cancer Foundation | | | 43,143 |
| Southwest Oncology Group | CA32102 | 93.395 | 2,633 |
| Subtotal Southwest Oncology Group | | | 2,633 |
| St Jude Childrens Research Hospital | 111495018-7384667 | 93.395 | 24,663 |
| St Jude Childrens Research Hospital | 111495020-7425086 | 93.395 | 300 |
| Subtotal St Jude Childrens Research Hospital | | | 24,963 |
| The Gynecologic Oncology Group | 27469-060 | 93.395 | 114,813 |
| Subtotal The Gynecologic Oncology Group | | | 114,813 |
| University of North Carolina | 5-50397 | 93.395 | 3,840 |
| Subtotal University of North Carolina | | | 3,840 |
| Vanderbilt University | VUMC35747 | 93.395 | 95,332 |
| Subtotal Vanderbilt University | | | 95,332 |
| Washington University | WU-09-358, PO 2906067N | 93.395 | (419) |
| Subtotal Washington University | | | (419) |
| SUBTOTAL 93.395 | | | 2,246,400 |
| National Cancer Institute | 1R01CA129015-03 | 93.396 | 287,933 |
| National Cancer Institute | 4R00CA126161-03 | 93.396 | 166,797 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|--------------------------|---------------------------|-------------------------|
| National Cancer Institute | 5 R01 CA 116658-05 | 93.396 | 99,161 |
| National Cancer Institute | 5 R01 CA060872-14 | 93.396 | 374,706 |
| National Cancer Institute | 5 R01 CA119028-06 | 93.396 | 70,041 |
| National Cancer Institute | 5 R01 CA131059-03 | 93.396 | 71,145 |
| National Cancer Institute | 5R01CA083237-10 | 93.396 | 200,796 |
| National Cancer Institute | 5R01CA116784-04 | 93.396 | 298,868 |
| National Cancer Institute | 5R01CA133429-03 | 93.396 | 412,548 |
| National Cancer Institute | 7R01CA125454-04 | 93.396 | 338,015 |
| Subtotal National Cancer Institute | | | 2,320,010 |
| SUBTOTAL 93.396 | | | 2,320,010 |
| National Cancer Institute | 5P20CA150343-02 | 93.397 | 652,233 |
| Subtotal National Cancer Institute | | | 652,233 |
| SUBTOTAL 93.397 | | | 652,233 |
| National Cancer Institute | 5 K05 CA096558-05 REVISE | 93.398 | 18,850 |
| National Cancer Institute | 5K01CA133138-04 | 93.398 | 138,287 |
| Subtotal National Cancer Institute | | | 157,137 |
| SUBTOTAL 93.398 | | | 157,137 |
| H Lee Moffitt Cancer Center and Research Institute | 10-13395-99-01-G1 | 93.399 | 48,040 |
| Subtotal H Lee Moffitt Cancer Center and Research Institute | | | 48,040 |
| NSABP Foundation | PFED24-KEN-01 | 93.399 | 992 |
| NSABP Foundation | PFED25-KEN-01 | 93.399 | 11,104 |
| Subtotal NSABP Foundation | | | 12,096 |
| Southwest Oncology Group | CA37429 | 93.399 | 14,703 |
| Subtotal Southwest Oncology Group | | | 14,703 |
| Washington University | WU-HT-10-23, PO2911315A | 93.399 | 5,978 |
| Washington University | WU-HT-11-14 PO 2911004P | 93.399 | 8,221 |
| Subtotal Washington University | | | 14,199 |
| SUBTOTAL 93.399 | | | 89,038 |
| University of Pittsburgh | 402417-04 | 93.402417-04 | 4,444 |
| Subtotal University of Pittsburgh | | | 4,444 |
| SUBTOTAL 93.402417-04 | | | 4,444 |
| Kentucky Cabinet for Health and Family Services | PO2 724 1100003258 1 | 93.525 | 114,831 |
| Subtotal Kentucky Cabinet for Health and Family Services | | | 114,831 |
| SUBTOTAL 93.525 | | | 114,831 |
| Eastern Kentucky University | 452134-11-163 | 93.558 | 51,328 |
| Subtotal Eastern Kentucky University | | | 51,328 |
| Kentucky Cabinet for Health and Family Services | PON2 736 1000003631 1 | 93.558 | 5,369,423 |
| Subtotal Kentucky Cabinet for Health and Family Services | | | 5,369,423 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---|--------------------------|---------------------------|-------------------------|
| SUBTOTAL 93.558 | | | 5,420,751 |
| National Institute of Neurological Disorders and Stroke | 5R01NS062306-04 | 93.583 | 315,844 |
| Subtotal National Institute of Neurological Disorders and Stroke | | | 315,844 |
| SUBTOTAL 93.583 | | | 315,844 |
| Eastern Kentucky University | 08-324 | 93.596 | (195) |
| Eastern Kentucky University | 451719-09-235 | 93.596 | (601) |
| Subtotal Eastern Kentucky University | | | (796) |
| Kentucky Cabinet for Health and Family Services | PO2 736 0900022602 1 | 93.596 | (36) |
| Subtotal Kentucky Cabinet for Health and Family Services | | | (36) |
| SUBTOTAL 93.596 | | | (832) |
| National Institute of Allergy and Infectious Diseases | 5R01AI078756-05 | 93.5R01AI078756-05 | 378,317 |
| Subtotal National Institute of Allergy and Infectious Diseases | | | 378,317 |
| SUBTOTAL 93.5R01AI078756-05 | | | 378,317 |
| National Institute of Neurological Disorders and Stroke | 5R01NS064247-02 | 93.5R01NS064247-02 | 202,959 |
| Subtotal National Institute of Neurological Disorders and Stroke | | | 202,959 |
| SUBTOTAL 93.5R01NS064247-02 | | | 202,959 |
| Administration for Children and Families | 90YD0128/04 | 93.600 | (1) |
| Subtotal Administration for Children and Families | | | (1) |
| SUBTOTAL 93.600 | | | (1) |
| Ohio State University | 60007802 / RF01079168 | 93.60007802 / RF01079168 | 31,427 |
| Subtotal Ohio State University | | | 31,427 |
| SUBTOTAL 93.60007802 / RF01079168 | | | 31,427 |
| Kentucky Council on Developmental Disabilities | PO2 729 0800015224 1 | 93.630 | (3,214) |
| Kentucky Council on Developmental Disabilities | PO2 729 1000004763 1 | 93.630 | 138,054 |
| Subtotal Kentucky Council on Developmental Disabilities | | | 134,840 |
| SUBTOTAL 93.630 | | | 134,840 |
| ARRA-Davidson College | OGC2009-22 | 93.701 | 11,350 |
| ARRA-Davidson College | OGC-2009-22 | 93.701 | 5,840 |
| Subtotal ARRA-Davidson College | | | 17,190 |
| ARRA-East Tennessee State University | 220561-01-2 5R03CA139876 | 93.701 | 23,076 |
| Subtotal ARRA-East Tennessee State University | | | 23,076 |
| ARRA-Indiana State University | HHS56 1R01GM084229-01A2 | 93.701 | 39,041 |
| Subtotal ARRA-Indiana State University | | | 39,041 |
| ARRA-NACC/University of Washington | NO. 675167Z | 93.701 | 4,120 |
| Subtotal ARRA-NACC/University of Washington | | | 4,120 |
| ARRA-National Cancer Institute | 3R01CA060872-12S1 | 93.701 | 230,153 |
| ARRA-National Cancer Institute | 3R01CA119028-05S1 | 93.701 | 399,748 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|--------------------------|---------------------------|-------------------------|
| ARRA-National Cancer Institute | 3R21CA127052-02S1 | 93.701 | 5,683 |
| ARRA-National Cancer Institute | 3U01CA114622-05S3 | 93.701 | 115,551 |
| ARRA-National Cancer Institute | 5P30CA147886-02 | 93.701 | 552,924 |
| ARRA-National Cancer Institute | 5R03CA136444-02 | 93.701 | 57,400 |
| Subtotal ARRA-National Cancer Institute | | | 1,361,459 |
| ARRA-National Center for Research Resources | 1G20RR031064-01 | 93.701 | 477,263 |
| ARRA-National Center for Research Resources | 1S10RR024704-01A1 | 93.701 | 219,709 |
| ARRA-National Center for Research Resources | 1S10RR027400-01 | 93.701 | 296,886 |
| ARRA-National Center for Research Resources | 1S10RR029127-01 | 93.701 | 898,873 |
| ARRA-National Center for Research Resources | 1S10RR029541-01 | 93.701 | 1,596,925 |
| ARRA-National Center for Research Resources | 3P20RR020145-05S1 | 93.701 | 583,637 |
| ARRA-National Center for Research Resources | 3P20RR020171-06S1 REVISE | 93.701 | 155,910 |
| ARRA-National Center for Research Resources | 3R24RR016344-09S1 | 93.701 | 214,615 |
| Subtotal ARRA-National Center for Research Resources | | | 4,443,818 |
| ARRA-National Center on Minority Health | 1RC4MD005760-01 | 93.701 | 273,973 |
| Subtotal ARRA-National Center on Minority Health | | | 273,973 |
| ARRA-National Development and Research Institutes, Inc | 612A PO 47521 | 93.701 | 14,254 |
| ARRA-National Development and Research Institutes, Inc | NDRI PROJ 612B PO 47820 | 93.701 | 114,513 |
| Subtotal ARRA-National Development and Research Institutes, Inc | | | 128,767 |
| ARRA-National Eye Institute | 3R01EY012998-08A1S2 | 93.701 | 23,039 |
| ARRA-National Eye Institute | 5RC1EY020442-02 | 93.701 | 365,560 |
| Subtotal ARRA-National Eye Institute | | | 388,599 |
| ARRA-National Heart Lung and Blood Institute | 1RC1HL100239-01 | 93.701 | 215,516 |
| ARRA-National Heart Lung and Blood Institute | 3R01HL074219-05S1 | 93.701 | (489) |
| ARRA-National Heart Lung and Blood Institute | 3R01HL082772-03S1 | 93.701 | 72,910 |
| ARRA-National Heart Lung and Blood Institute | 3R01HL084611-04S1 | 93.701 | 70,553 |
| ARRA-National Heart Lung and Blood Institute | 3R01HL086450-03S1 | 93.701 | 4,960 |
| ARRA-National Heart Lung and Blood Institute | 3R01HL086450-03S2 | 93.701 | 95,090 |
| ARRA-National Heart Lung and Blood Institute | 3R01HL087039-01A2S1 | 93.701 | 89,641 |
| ARRA-National Heart Lung and Blood Institute | 3R01HL088389-02S1 | 93.701 | 119,490 |
| ARRA-National Heart Lung and Blood Institute | 5P30HL101300-02 | 93.701 | 525,328 |
| ARRA-National Heart Lung and Blood Institute | 5R01HL091924-02 | 93.701 | 380,301 |
| ARRA-National Heart Lung and Blood Institute | 5R01HL096589-02 | 93.701 | 314,182 |
| ARRA-National Heart Lung and Blood Institute | 5R01HL096914-02 | 93.701 | 217,774 |
| ARRA-National Heart Lung and Blood Institute | 5R03HL096891-02 | 93.701 | 58,035 |
| Subtotal ARRA-National Heart Lung and Blood Institute | | | 2,163,291 |
| ARRA-National Institute Arthritis Musculoskeletal and Skin Diseases | 3R01AR045617-08S1 | 93.701 | 158,299 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---|---------------------|---------------------------|-------------------------|
| ARRA-National Institute Arthritis Musculoskeletal and Skin Diseases | 3R01AR055246-03S1 | 93.701 | 23,968 |
| Subtotal ARRA-National Institute Arthritis Musculoskeletal and Skin Diseases | | | 182,267 |
| ARRA-National Institute Diabetes and Digestive and Kidney Diseases | 1RC1DK087456-01 | 93.701 | 261,207 |
| ARRA-National Institute Diabetes and Digestive and Kidney Diseases | 1RC4DK089866-01 | 93.701 | 186,637 |
| ARRA-National Institute Diabetes and Digestive and Kidney Diseases | 2R56DK067581-06 | 93.701 | 101,162 |
| ARRA-National Institute Diabetes and Digestive and Kidney Diseases | 3R01DK067581-05S1 | 93.701 | 122 |
| ARRA-National Institute Diabetes and Digestive and Kidney Diseases | 3R01DK080327-03S1 | 93.701 | 102,661 |
| ARRA-National Institute Diabetes and Digestive and Kidney Diseases | 3R01DK080770-01A1S1 | 93.701 | (8,450) |
| ARRA-National Institute Diabetes and Digestive and Kidney Diseases | 3R01DK081324-02S1 | 93.701 | 94,811 |
| Subtotal ARRA-National Institute Diabetes and Digestive and Kidney Diseases | | | 738,150 |
| ARRA-National Institute for Nursing Research | 1RC2NR011948-01 REV | 93.701 | 118,178 |
| ARRA-National Institute for Nursing Research | 5RC2NR011948-02 | 93.701 | 711,775 |
| Subtotal ARRA-National Institute for Nursing Research | | | 829,953 |
| ARRA-National Institute of Allergy and Infectious Diseases | 3R01AI051517-06A2S1 | 93.701 | 5,772 |
| ARRA-National Institute of Allergy and Infectious Diseases | 3R01AI051517-07S1 | 93.701 | 29,730 |
| ARRA-National Institute of Allergy and Infectious Diseases | 3R21AI069027-02S1 | 93.701 | 10,075 |
| ARRA-National Institute of Allergy and Infectious Diseases | 5R01AI050656-07 | 93.701 | 331,647 |
| ARRA-National Institute of Allergy and Infectious Diseases | 5R21AI079457-02 | 93.701 | 163,565 |
| ARRA-National Institute of Allergy and Infectious Diseases | 5R21AI079483-02 | 93.701 | 181,136 |
| ARRA-National Institute of Allergy and Infectious Diseases | 5R21AI083528-02 | 93.701 | 212,203 |
| ARRA-National Institute of Allergy and Infectious Diseases | 5R21AI083861-02 | 93.701 | 183,638 |
| Subtotal ARRA-National Institute of Allergy and Infectious Diseases | | | 1,117,766 |
| ARRA-National Institute of Child Health and Human Development | 1R21HD059058-01A2 | 93.701 | 97,453 |
| ARRA-National Institute of Child Health and Human Development | 3R01HD052724-03S2 | 93.701 | 3,902 |
| ARRA-National Institute of Child Health and Human Development | 3R01HD056002-02S1 | 93.701 | 117,056 |
| ARRA-National Institute of Child Health and Human Development | 5R01HD060996-07 | 93.701 | 153,709 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|--|---------------------------|-------------------------|
| Subtotal | ARRA-National Institute of Child Health and Human Development | | 372,120 |
| ARRA-National Institute of Dental and Craniofacial Research | 5R01DE019645-02 | 93.701 | 226,027 |
| ARRA-National Institute of Dental and Craniofacial Research | 5R03DE019177-02 | 93.701 | 36,157 |
| ARRA-National Institute of Dental and Craniofacial Research | 5R03DE019496-02 | 93.701 | 76,374 |
| Subtotal | ARRA-National Institute of Dental and Craniofacial Research | | 338,558 |
| ARRA-National Institute of Environmental Health Sciences | 3P42ES007380-13S1 | 93.701 | 55,871 |
| ARRA-National Institute of Environmental Health Sciences | 3P42ES007380-13S2 | 93.701 | 100,376 |
| ARRA-National Institute of Environmental Health Sciences | 5RC1ES018636-02 | 93.701 | 535,213 |
| Subtotal | ARRA-National Institute of Environmental Health Sciences | | 691,460 |
| ARRA-National Institute of General Medical Sciences | 1RC2GM092521-01 | 93.701 | 485,372 |
| ARRA-National Institute of General Medical Sciences | 3R01GM036065-22S1 | 93.701 | 36,490 |
| ARRA-National Institute of General Medical Sciences | 3R01GM050388-16S2 | 93.701 | 99,576 |
| ARRA-National Institute of General Medical Sciences | 3R01GM066152-07S1 | 93.701 | 84,625 |
| ARRA-National Institute of General Medical Sciences | 3R01GM085231-02S1 | 93.701 | 85,507 |
| ARRA-National Institute of General Medical Sciences | 5R01GM085302-02 | 93.701 | 166,602 |
| ARRA-National Institute of General Medical Sciences | 5RC2GM092521-02 | 93.701 | 1,804,819 |
| ARRA-National Institute of General Medical Sciences | 7R01GM086891-03 | 93.701 | 91,681 |
| Subtotal | ARRA-National Institute of General Medical Sciences | | 2,854,672 |
| ARRA-National Institute of Mental Health | 5RC1MH089760-02 | 93.701 | 424,852 |
| Subtotal | ARRA-National Institute of Mental Health | | 424,852 |
| ARRA-National Institute of Neurological Disorders and Stroke | 3P01NS058484-03S1 | 93.701 | 30,441 |
| ARRA-National Institute of Neurological Disorders and Stroke | 3P01NS058484-03S2 | 93.701 | 82,764 |
| ARRA-National Institute of Neurological Disorders and Stroke | 3R01NS039041-10S1 | 93.701 | 145 |
| ARRA-National Institute of Neurological Disorders and Stroke | 3R01NS053905-03S1 | 93.701 | 94,290 |
| ARRA-National Institute of Neurological Disorders and Stroke | 5R03NS065451-03 | 93.701 | 51,610 |
| ARRA-National Institute of Neurological Disorders and Stroke | 5R21NS062886-02 | 93.701 | 159,378 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|---------------------|---------------------------|-------------------------|
| ARRA-National Institute of Neurological Disorders and Stroke | 5R21NS066117-02 | 93.701 | 268,695 |
| Subtotal ARRA-National Institute of Neurological Disorders and Stroke | | | 687,323 |
| ARRA-National Institute on Aging | 3P30AG028383-04S1 | 93.701 | 121,068 |
| ARRA-National Institute on Aging | 3R21AG032567-02S1 | 93.701 | 120,006 |
| ARRA-National Institute on Aging | 5R03AG032009-02 | 93.701 | 18,023 |
| ARRA-National Institute on Aging | 5R21AG029907-02 | 93.701 | 101,884 |
| ARRA-National Institute on Aging | 5R21AG031999-02 | 93.701 | 168,210 |
| ARRA-National Institute on Aging | 5R21AG034279-02 | 93.701 | 170,228 |
| Subtotal ARRA-National Institute on Aging | | | 699,419 |
| ARRA-National Institute on Alcohol Abuse and Alcoholism | 1R01AA016166-02S2 | 93.701 | 4,263 |
| ARRA-National Institute on Alcohol Abuse and Alcoholism | 3R01AA016959-02S1 | 93.701 | 2,606 |
| ARRA-National Institute on Alcohol Abuse and Alcoholism | 5R21AA017956-02 | 93.701 | 135,638 |
| Subtotal ARRA-National Institute on Alcohol Abuse and Alcoholism | | | 142,507 |
| ARRA-National Institute on Deafness and Other Communications | 1R21DC010806-01A1 | 93.701 | 125,994 |
| ARRA-National Institute on Deafness and Other Communications | 3R01DC002736-12S1 | 93.701 | 62,113 |
| ARRA-National Institute on Deafness and Other Communications | 3R01DC007194-04S1 | 93.701 | 2,904 |
| ARRA-National Institute on Deafness and Other Communications | 3R01DC007194-04S2 | 93.701 | 651 |
| ARRA-National Institute on Deafness and Other Communications | 3R01DC008861-01A2S1 | 93.701 | 106,342 |
| ARRA-National Institute on Deafness and Other Communications | 5R21DC009624-02 | 93.701 | 154,408 |
| Subtotal ARRA-National Institute on Deafness and Other Communications | | | 452,412 |
| ARRA-National Institute on Drug Abuse | 3R01DA013519-09S1 | 93.701 | 432,396 |
| ARRA-National Institute on Drug Abuse | 5R01DA025649-02 | 93.701 | 456,130 |
| ARRA-National Institute on Drug Abuse | 5R01DA027031-02 | 93.701 | 292,822 |
| ARRA-National Institute on Drug Abuse | 5R21DA024401-02 | 93.701 | 10,060 |
| Subtotal ARRA-National Institute on Drug Abuse | | | 1,191,408 |
| ARRA-University of California San Diego | 23-UKL ADNI-GO | 93.701 | 65,526 |
| Subtotal ARRA-University of California San Diego | | | 65,526 |
| ARRA-University of Florida | 00081712 UF09174 | 93.701 | 200,283 |
| Subtotal ARRA-University of Florida | | | 200,283 |
| ARRA-University of Miami Epidemiology and Public Health | PO M159067 | 93.701 | 54,045 |
| Subtotal ARRA-University of Miami Epidemiology and Public Health | | | 54,045 |
| ARRA-University of Michigan | 3001413335-PNT | 93.701 | 20,691 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---|-------------------------|---------------------------|-------------------------|
| Subtotal ARRA-University of Michigan | | | 20,691 |
| ARRA-University of New Mexico | 028176-87K8 | 93.701 | 12,197 |
| Subtotal ARRA-University of New Mexico | | | 12,197 |
| ARRA-University of Pittsburgh | 0015124-116763 | 93.701 | 24 |
| Subtotal ARRA-University of Pittsburgh | | | 24 |
| ARRA-University of Texas Health Science Center at San Antonio | 0007209-A | 93.701 | 2,710 |
| Subtotal ARRA-University of Texas Health Science Center at San Antonio | | | 2,710 |
| ARRA-University of Texas Medical Branch | 11-011 UOSPC-0000000535 | 93.701 | 10,979 |
| Subtotal ARRA-University of Texas Medical Branch | | | 10,979 |
| ARRA-West Virginia University | 09-726ARRA-UKRF | 93.701 | 266,155 |
| Subtotal ARRA-West Virginia University | | | 266,155 |
| SUBTOTAL 93.701 | | | 20,198,811 |
| ARRA-University of Texas Health Science Center at San Antonio | 00075121 | 93.728 | 27,774 |
| Subtotal ARRA-University of Texas Health Science Center at San Antonio | | | 27,774 |
| SUBTOTAL 93.728 | | | 27,774 |
| Kentucky Cabinet for Health and Family Services | PO2 746 0800020351 1 | 93.777 | (10,457) |
| Subtotal Kentucky Cabinet for Health and Family Services | | | (10,457) |
| SUBTOTAL 93.777 | | | (10,457) |
| National Institute of Allergy and Infectious Diseases | 7R21AI073576-03 | 93.7R21AI073576-03 | 23,511 |
| Subtotal National Institute of Allergy and Infectious Diseases | | | 23,511 |
| SUBTOTAL 93.7R21AI073576-03 | | | 23,511 |
| Carl T. Hayden Medical Research Foundation | R01HL094775 | 93.837 | 500 |
| Subtotal Carl T. Hayden Medical Research Foundation | | | 500 |
| La Jolla Institute for Allergy and Immunology | 22650-15-393 | 93.837 | 30,520 |
| La Jolla Institute for Allergy and Immunology | 23140-15-393 | 93.837 | 12,773 |
| Subtotal La Jolla Institute for Allergy and Immunology | | | 43,293 |
| Mayo Clinic | 5R01HL072435-08 | 93.837 | 14,436 |
| Subtotal Mayo Clinic | | | 14,436 |
| Medarray Inc | NHLB062008 | 93.837 | (4,939) |
| Subtotal Medarray Inc | | | (4,939) |
| National Heart Lung and Blood Institute | 1 R01 HL0782772-04 | 93.837 | 317,340 |
| National Heart Lung and Blood Institute | 1 R01 HL083122-01A2 | 93.837 | 168,419 |
| National Heart Lung and Blood Institute | 2R01HL074091-06 | 93.837 | 321,845 |
| National Heart Lung and Blood Institute | 5 P01 HL080100-05 | 93.837 | 210,767 |
| National Heart Lung and Blood Institute | 5 P01 HL086670-05 | 93.837 | 1,370,863 |
| National Heart Lung and Blood Institute | 5 R01 HL073693-05 | 93.837 | (5,342) |
| National Heart Lung and Blood Institute | 5 R01 HL074219-05 | 93.837 | (597) |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---|---------------------|---------------------------|-------------------------|
| National Heart Lung and Blood Institute | 5 R01 HL078976-05 | 93.837 | 59,624 |
| National Heart Lung and Blood Institute | 5 R01 HL082791-05 | 93.837 | 251,836 |
| National Heart Lung and Blood Institute | 5 R01 HL082835-04 | 93.837 | 369,306 |
| National Heart Lung and Blood Institute | 5 R01 HL084611-05 | 93.837 | 272,555 |
| National Heart Lung and Blood Institute | 5R01HL062846-07 | 93.837 | 315,515 |
| National Heart Lung and Blood Institute | 5R01HL072936-06 | 93.837 | 243,078 |
| National Heart Lung and Blood Institute | 5R01HL073085-08 | 93.837 | 322,602 |
| National Heart Lung and Blood Institute | 5R01HL078663-06 | 93.837 | 356,622 |
| National Heart Lung and Blood Institute | 5R01HL086450-05 REV | 93.837 | 752,277 |
| National Heart Lung and Blood Institute | 5R01HL086644-04 | 93.837 | 155,995 |
| National Heart Lung and Blood Institute | 5R01HL087039-04 | 93.837 | 281,876 |
| National Heart Lung and Blood Institute | 5R01HL088389-04 | 93.837 | 468,100 |
| National Heart Lung and Blood Institute | 5R01HL090749-03 | 93.837 | 456,747 |
| Subtotal National Heart Lung and Blood Institute | | | 6,689,428 |
| University of California San Francisco | 4528SC | 93.837 | 159,642 |
| Subtotal University of California San Francisco | | | 159,642 |
| SUBTOTAL 93.837 | | | 6,902,360 |
| National Heart Lung and Blood Institute | 1 P01 HL080100-05 | 93.838 | 211,689 |
| National Heart Lung and Blood Institute | 5 P01 HL080100-05 | 93.838 | 700,109 |
| National Heart Lung and Blood Institute | 5 R01 HL058686-08 | 93.838 | 72,387 |
| National Heart Lung and Blood Institute | 5 R01 HL080609-04 | 93.838 | 258,692 |
| National Heart Lung and Blood Institute | 5 R01 HL081525-06 | 93.838 | 154,913 |
| National Heart Lung and Blood Institute | 5 R01 HL088989-04 | 93.838 | 381,513 |
| National Heart Lung and Blood Institute | 5R01HL062053-12 | 93.838 | 317,935 |
| National Heart Lung and Blood Institute | 7 R01 HL080429-04 | 93.838 | 424,370 |
| Subtotal National Heart Lung and Blood Institute | | | 2,521,608 |
| SUBTOTAL 93.838 | | | 2,521,608 |
| National Heart Lung and Blood Institute | 5R01HL056652-13 | 93.839 | 248,338 |
| National Heart Lung and Blood Institute | 5R01HL091893-03 | 93.839 | 385,176 |
| Subtotal National Heart Lung and Blood Institute | | | 633,514 |
| University of North Carolina | 5-34426 | 93.839 | 336,109 |
| University of North Carolina | P 01 HL080166-03 | 93.839 | 1,694 |
| Subtotal University of North Carolina | | | 337,803 |
| SUBTOTAL 93.839 | | | 971,317 |
| Childrens Hospital Medical Center | 104165/PO310075275 | 93.846 | 20,163 |
| Subtotal Childrens Hospital Medical Center | | | 20,163 |
| Johns Hopkins University | 2001073065 | 93.846 | 31,682 |
| Subtotal Johns Hopkins University | | | 31,682 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|--------------------------|---------------------------|-------------------------|
| National Institute Arthritis Musculoskeletal and Skin Diseases | 1R01AR055246-03 | 93.846 | 924 |
| National Institute Arthritis Musculoskeletal and Skin Diseases | 1R01AR060701-01 | 93.846 | 155,567 |
| National Institute Arthritis Musculoskeletal and Skin Diseases | 1R21AR053967-01A2 | 93.846 | 175,056 |
| National Institute Arthritis Musculoskeletal and Skin Diseases | 2R01AR049010-07 | 93.846 | 370,002 |
| National Institute Arthritis Musculoskeletal and Skin Diseases | 3R01AR045617-10 | 93.846 | 333,314 |
| National Institute Arthritis Musculoskeletal and Skin Diseases | 3R01AR055974-02S1 | 93.846 | 395,851 |
| National Institute Arthritis Musculoskeletal and Skin Diseases | 5R01AR055246-04 | 93.846 | 302,952 |
| National Institute Arthritis Musculoskeletal and Skin Diseases | 5R03AR052904-04 | 93.846 | 3,550 |
| National Institute Arthritis Musculoskeletal and Skin Diseases | 5R03AR053641-03 | 93.846 | 3,379 |
| Subtotal National Institute Arthritis Musculoskeletal and Skin Diseases | | | 1,740,595 |
| Ohio State University | 5R01AR055563-03 | 93.846 | 15,176 |
| Subtotal Ohio State University | | | 15,176 |
| University of Iowa | PO 1000885508 | 93.846 | 22,097 |
| Subtotal University of Iowa | | | 22,097 |
| SUBTOTAL 93.846 | | | 1,829,713 |
| National Institute Diabetes and Digestive and Kidney Diseases | 1R01DK080874-01A2 | 93.847 | 432,149 |
| National Institute Diabetes and Digestive and Kidney Diseases | 1R01DK081555-02 | 93.847 | 278,001 |
| National Institute Diabetes and Digestive and Kidney Diseases | 1R01DK083761-01A2 | 93.847 | 169,468 |
| National Institute Diabetes and Digestive and Kidney Diseases | 1R01DK090460-01 | 93.847 | 130,859 |
| National Institute Diabetes and Digestive and Kidney Diseases | 1R03DK08166-02 | 93.847 | 16,218 |
| National Institute Diabetes and Digestive and Kidney Diseases | 2R01DK071349-06 | 93.847 | 388,639 |
| National Institute Diabetes and Digestive and Kidney Diseases | 5 R01 DK067581-05 REVISE | 93.847 | 74,401 |
| National Institute Diabetes and Digestive and Kidney Diseases | 5 R01 DK071349-5 | 93.847 | 14 |
| National Institute Diabetes and Digestive and Kidney Diseases | 5 R01 DK077632-04 | 93.847 | (12,227) |
| National Institute Diabetes and Digestive and Kidney Diseases | 5 R21 AG028816-02 | 93.847 | 21,128 |
| National Institute Diabetes and Digestive and Kidney Diseases | 5K01DK078648-03 | 93.847 | 36,935 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---|-------------------------|---------------------------|-------------------------|
| National Institute Diabetes and Digestive and Kidney Diseases | 5R01 DK077632-04 | 93.847 | 6,760 |
| National Institute Diabetes and Digestive and Kidney Diseases | 5R01DK048498-17 | 93.847 | 295,837 |
| National Institute Diabetes and Digestive and Kidney Diseases | 5R01DK056132-09 | 93.847 | 158,424 |
| National Institute Diabetes and Digestive and Kidney Diseases | 5R01DK071976-06 | 93.847 | 259,929 |
| National Institute Diabetes and Digestive and Kidney Diseases | 5R01DK074816-04 | 93.847 | 260,277 |
| National Institute Diabetes and Digestive and Kidney Diseases | 5R01DK080327-03 | 93.847 | 292,368 |
| National Institute Diabetes and Digestive and Kidney Diseases | 5R01DK080770-03 | 93.847 | 263,298 |
| National Institute Diabetes and Digestive and Kidney Diseases | 5R01DK080901-03 | 93.847 | 217,509 |
| National Institute Diabetes and Digestive and Kidney Diseases | 5R01DK081324-03 | 93.847 | 660,232 |
| National Institute Diabetes and Digestive and Kidney Diseases | 5R01DK082419-03 | 93.847 | 371,109 |
| National Institute Diabetes and Digestive and Kidney Diseases | 5R01DK082426-02 | 93.847 | 106,198 |
| National Institute Diabetes and Digestive and Kidney Diseases | 5R01DK089178-02 | 93.847 | 256,948 |
| National Institute Diabetes and Digestive and Kidney Diseases | 5R37DK039176-23 | 93.847 | 376,000 |
| National Institute Diabetes and Digestive and Kidney Diseases | 7R01DK048498-15 | 93.847 | (6,016) |
| Subtotal National Institute Diabetes and Digestive and Kidney Diseases | | | 5,054,458 |
| Southern Illinois University | 520339 | 93.847 | 85,222 |
| Subtotal Southern Illinois University | | | 85,222 |
| University of South Florida | HHSN267200800019C | 93.847 | 1,686 |
| Subtotal University of South Florida | | | 1,686 |
| University of Texas Medical Branch | UOSPC-0000000523 | 93.847 | 179,258 |
| Subtotal University of Texas Medical Branch | | | 179,258 |
| SUBTOTAL 93.847 | | | 5,320,624 |
| National Institute Diabetes and Kidney | 5 R01 DK046923-11 | 93.848 | (1,561) |
| National Institute Diabetes and Kidney | 5R21DK079293-02 | 93.848 | 41,836 |
| Subtotal National Institute Diabetes and Kidney | | | 40,275 |
| SUBTOTAL 93.848 | | | 40,275 |
| Childrens Hospital of Philadelphia | 320754-09-09 950648RSUB | 93.849 | (199) |
| Subtotal Childrens Hospital of Philadelphia | | | (199) |
| Emory University | 5-40260-G1 | 93.849 | 16,062 |
| Subtotal Emory University | | | 16,062 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---|--------------------------|---------------------------|-------------------------|
| SUBTOTAL 93.849 | | | 15,863 |
| Brigham and Womens Hospital | 156611 | 93.853 | (7,619) |
| Subtotal Brigham and Womens Hospital | | | (7,619) |
| Columbia University | U01 NS043975 | 93.853 | 41 |
| Subtotal Columbia University | | | 41 |
| Massachusetts General Hospital | 2003A009773/214300 | 93.853 | 53,562 |
| Subtotal Massachusetts General Hospital | | | 53,562 |
| Mayo Clinic | SWISS | 93.853 | 500 |
| Subtotal Mayo Clinic | | | 500 |
| Medical College of Georgia | 21234-1 | 93.853 | 17,917 |
| Subtotal Medical College of Georgia | | | 17,917 |
| National Institute of Mental Health | 5 R01 MH078228-04 | 93.853 | 255,883 |
| Subtotal National Institute of Mental Health | | | 255,883 |
| National Institute of Neurological Disorders and Stroke | 1 R01 NS045087-01A2 | 93.853 | (789) |
| National Institute of Neurological Disorders and Stroke | 1 U10 NS058967-03 | 93.853 | 198,186 |
| National Institute of Neurological Disorders and Stroke | 1R01NS062993-01A2 | 93.853 | 189,219 |
| National Institute of Neurological Disorders and Stroke | 1R01NS070899-01 | 93.853 | 241,231 |
| National Institute of Neurological Disorders and Stroke | 1R21NS066250-01A1 | 93.853 | 275,313 |
| National Institute of Neurological Disorders and Stroke | 2 R01 NS040334-09 | 93.853 | 320,978 |
| National Institute of Neurological Disorders and Stroke | 2 R56 NS038041-05A1 REVI | 93.853 | 21,601 |
| National Institute of Neurological Disorders and Stroke | 2P30NS051220-06 | 93.853 | 371,876 |
| National Institute of Neurological Disorders and Stroke | 3R01NS039774-07S1 | 93.853 | 509 |
| National Institute of Neurological Disorders and Stroke | 3R01NS040334-08S2 | 93.853 | 17,158 |
| National Institute of Neurological Disorders and Stroke | 3R01NS049126-05S1 | 93.853 | 12,485 |
| National Institute of Neurological Disorders and Stroke | 3R01NS39774-07S1 | 93.853 | 41,590 |
| National Institute of Neurological Disorders and Stroke | 3U10NS053368-05S1 | 93.853 | 15,162 |
| National Institute of Neurological Disorders and Stroke | 5 R01 NS039041 - 10 | 93.853 | 95,861 |
| National Institute of Neurological Disorders and Stroke | 5 R01 NS040334-08 | 93.853 | (11,414) |
| National Institute of Neurological Disorders and Stroke | 5 R01 NS046517-04 REVISE | 93.853 | 26,678 |

UNIVERSITY OF KENTUCKY

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011**

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---|---------------------|------------------------------------|---------------------------------|
| National Institute of Neurological Disorders and Stroke | 5 R01 NS048191-05 | 93.853 | 2,826 |
| National Institute of Neurological Disorders and Stroke | 5 R01 NS049126-05 | 93.853 | 50,276 |
| National Institute of Neurological Disorders and Stroke | 5 R01 NS049901-05 | 93.853 | 224,790 |
| National Institute of Neurological Disorders and Stroke | 5 R01 NS050242-05 | 93.853 | 381,374 |
| National Institute of Neurological Disorders and Stroke | 5 R01 NS053905-05 | 93.853 | 209,987 |
| National Institute of Neurological Disorders and Stroke | 5 R01 NS058382-05 | 93.853 | 233,732 |
| National Institute of Neurological Disorders and Stroke | 5 R01 NS39254-10 | 93.853 | 20,799 |
| National Institute of Neurological Disorders and Stroke | 5 U10 NS058967-03 | 93.853 | 61,308 |
| National Institute of Neurological Disorders and Stroke | 5P01NS058484-03 | 93.853 | (8,755) |
| National Institute of Neurological Disorders and Stroke | 5P01NS058484-04 | 93.853 | 706,162 |
| National Institute of Neurological Disorders and Stroke | 5P01NS058484-05 | 93.853 | 58,112 |
| National Institute of Neurological Disorders and Stroke | 5P30NS051220-05 | 93.853 | 92,331 |
| National Institute of Neurological Disorders and Stroke | 5P50NS039787-10 | 93.853 | 296,823 |
| National Institute of Neurological Disorders and Stroke | 5R00NS061803-05 | 93.853 | 241,029 |
| National Institute of Neurological Disorders and Stroke | 5R01NS045103-06 | 93.853 | 253,346 |
| National Institute of Neurological Disorders and Stroke | 5R01NS045954-08 | 93.853 | 409,599 |
| National Institute of Neurological Disorders and Stroke | 5R01NS050311-05 REV | 93.853 | 85,203 |
| National Institute of Neurological Disorders and Stroke | 5R01NS053470-05 | 93.853 | 261,517 |
| National Institute of Neurological Disorders and Stroke | 5R01NS055228-04 | 93.853 | 196,606 |
| National Institute of Neurological Disorders and Stroke | 5R01NS05528-04 | 93.853 | 83,367 |
| National Institute of Neurological Disorders and Stroke | 5R01NS060784-03 | 93.853 | 338,579 |
| National Institute of Neurological Disorders and Stroke | 5R01NS061933-04 | 93.853 | 440,374 |
| National Institute of Neurological Disorders and Stroke | 5R01NS062993-02 | 93.853 | 163,460 |
| National Institute of Neurological Disorders and Stroke | 5R01NS065052-02 | 93.853 | 283,212 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---|----------------------|---------------------------|-------------------------|
| National Institute of Neurological Disorders and Stroke | 5R21NS060924-02 | 93.853 | 51,599 |
| National Institute of Neurological Disorders and Stroke | 5U01NS066915-02 REV | 93.853 | 314,308 |
| National Institute of Neurological Disorders and Stroke | 5U10NS053368-04 | 93.853 | 1,343 |
| National Institute of Neurological Disorders and Stroke | 5U10NS053368-05 | 93.853 | 67,209 |
| National Institute of Neurological Disorders and Stroke | 7R56NS045954-07 | 93.853 | (30) |
| Subtotal National Institute of Neurological Disorders and Stroke | | | 7,336,130 |
| Texas A&M Research Foundation | S080082 447732 03001 | 93.853 | 154,019 |
| Subtotal Texas A&M Research Foundation | | | 154,019 |
| University of British Columbia | F09-04888 | 93.853 | 75,163 |
| Subtotal University of British Columbia | | | 75,163 |
| University of California San Francisco | 558055-24034 | 93.853 | 4,084 |
| Subtotal University of California San Francisco | | | 4,084 |
| University of Louisville | 03-0414 | 93.853 | (10,052) |
| Subtotal University of Louisville | | | (10,052) |
| University of Michigan | 3000691501-RPT | 93.853 | 56,858 |
| University of Michigan | 3000770498-ALIAS | 93.853 | 35,709 |
| University of Michigan | 3001300372-PIII | 93.853 | 29,341 |
| University of Michigan | 5000002954 | 93.853 | 320 |
| Subtotal University of Michigan | | | 122,228 |
| University of North Carolina | DOBBS092010 | 93.853 | (62) |
| Subtotal University of North Carolina | | | (62) |
| University of Texas Health Science | 116444/115709 | 93.853 | 68,626 |
| Subtotal University of Texas Health Science | | | 68,626 |
| Yale University | A07542 (M07A00656) | 93.853 | 1,447 |
| Yale University | A07593 (M10A10562) | 93.853 | 23,923 |
| Yale University | A07868 (M07A00656) | 93.853 | 30,231 |
| Yale University | A08082 (M10A10562) | 93.853 | 19,078 |
| Subtotal Yale University | | | 74,679 |
| SUBTOTAL 93.853 | | | 8,145,099 |
| National Institute of Allergy and Infectious Diseases | 1 R21 AI074783-02 | 93.855 | (1,140) |
| National Institute of Allergy and Infectious Diseases | 1R03AI092170-01 | 93.855 | 28,490 |
| National Institute of Allergy and Infectious Diseases | 1R21AI082143-01 | 93.855 | 168,339 |
| National Institute of Allergy and Infectious Diseases | 1R21AI092074-01 | 93.855 | 93,343 |
| National Institute of Allergy and Infectious Diseases | 5 R01 AI049367-09 | 93.855 | (1,438) |
| National Institute of Allergy and Infectious Diseases | 5 R01 AI067434-04 | 93.855 | 664,820 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|--------------------------|---------------------------|-------------------------|
| National Institute of Allergy and Infectious Diseases | 5 R01 AI068119-03 | 93.855 | 443,057 |
| National Institute of Allergy and Infectious Diseases | 5R01AI025098-22 | 93.855 | 363,916 |
| National Institute of Allergy and Infectious Diseases | 5R01AI033481-17 | 93.855 | 273,261 |
| National Institute of Allergy and Infectious Diseases | 5R01AI049367-09 | 93.855 | 276,063 |
| National Institute of Allergy and Infectious Diseases | 5R01AI051517-08 | 93.855 | 309,554 |
| National Institute of Allergy and Infectious Diseases | 5R01AI067869-05 | 93.855 | 514,391 |
| National Institute of Allergy and Infectious Diseases | 5R03AI076714-02 | 93.855 | 12,863 |
| National Institute of Allergy and Infectious Diseases | 5R03AI079442-02 | 93.855 | 48,701 |
| National Institute of Allergy and Infectious Diseases | 5R21AI070769-02 | 93.855 | 34,225 |
| National Institute of Allergy and Infectious Diseases | 5R21AI076956-02 | 93.855 | (17,125) |
| National Institute of Allergy and Infectious Diseases | 5R21AI078111-02 | 93.855 | 54,644 |
| National Institute of Allergy and Infectious Diseases | 5R21AI088605-02 | 93.855 | 174,719 |
| Subtotal National Institute of Allergy and Infectious Diseases | | | 3,440,683 |
| University of Chicago | 37045 | 93.855 | 141,431 |
| Subtotal University of Chicago | | | 141,431 |
| University of North Carolina | 5-50450 5R01 AI058842-05 | 93.855 | 11,168 |
| University of North Carolina | UNC-CH5-31181 | 93.855 | 22,206 |
| University of North Carolina | W004484 | 93.855 | 95,860 |
| Subtotal University of North Carolina | | | 129,234 |
| University of Pittsburgh | 0011210, 115316-1 | 93.855 | 341,958 |
| Subtotal University of Pittsburgh | | | 341,958 |
| University of Texas Health Science Center at San Antonio | 0007207C | 93.855 | 510,988 |
| Subtotal University of Texas Health Science Center at San Antonio | | | 510,988 |
| Washington University | WU-09-57, PO NO.2904914N | 93.855 | 229,678 |
| Subtotal Washington University | | | 229,678 |
| SUBTOTAL 93.855 | | | 4,793,972 |
| National Institute of Allergy and Infectious Diseases | 5R01AI044254-10 | 93.856 | 566,964 |
| Subtotal National Institute of Allergy and Infectious Diseases | | | 566,964 |
| Syntiron LLC | NIH032008 | 93.856 | (4,465) |
| Subtotal Syntiron LLC | | | (4,465) |
| Washington University | 29634S/WU-002-109 | 93.856 | 2,615 |
| Subtotal Washington University | | | 2,615 |
| SUBTOTAL 93.856 | | | 565,114 |
| AM Biotechnologies LLC | RNASBIR-2 | 93.859 | 47,062 |
| Subtotal AM Biotechnologies LLC | | | 47,062 |
| Childrens Hospital Medical Center | CHMD 03-017 | 93.859 | (3,769) |
| Subtotal Childrens Hospital Medical Center | | | (3,769) |
| National Institute of General Medical Sciences | 1R01GM086888-04 | 93.859 | 218,298 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|--------------------------|---------------------------|-------------------------|
| National Institute of General Medical Sciences | 1R01GM089684-02 | 93.859 | 290,975 |
| National Institute of General Medical Sciences | 1R01GM094155-01 | 93.859 | 233,060 |
| National Institute of General Medical Sciences | 5 R01 GM070559-07 | 93.859 | 183,032 |
| National Institute of General Medical Sciences | 5 R01 GM070662-06S1 | 93.859 | 30,003 |
| National Institute of General Medical Sciences | 5 R01 GM075248-04 | 93.859 | 92,783 |
| National Institute of General Medical Sciences | 5 R01 GM085231-04 | 93.859 | 211,022 |
| National Institute of General Medical Sciences | 5 RO1 GM070559-05 | 93.859 | (9,346) |
| National Institute of General Medical Sciences | 5 RO1 GM072756-04 | 93.859 | 112,411 |
| National Institute of General Medical Sciences | 5R01GM036065-22 | 93.859 | 2,600 |
| National Institute of General Medical Sciences | 5R01GM047915-15 | 93.859 | 45,979 |
| National Institute of General Medical Sciences | 5R01GM050388-17 | 93.859 | 338,671 |
| National Institute of General Medical Sciences | 5R01GM066152-08 REV | 93.859 | 362,133 |
| National Institute of General Medical Sciences | 5R01GM079684-04 | 93.859 | 359,483 |
| National Institute of General Medical Sciences | 5R01GM083187-03 | 93.859 | 271,943 |
| National Institute of General Medical Sciences | 5R01GM086888-04 | 93.859 | 200,756 |
| Subtotal National Institute of General Medical Sciences | | | 2,943,803 |
| Ohio State University | 60012443 / R01GM027925 | 93.859 | 28,645 |
| Subtotal Ohio State University | | | 28,645 |
| ParaTechs Corp | 1 R43 GM090342-01A1 | 93.859 | 6,569 |
| ParaTechs Corp | 2 R42 GM075628-02 | 93.859 | 8,004 |
| Subtotal ParaTechs Corp | | | 14,573 |
| University of Minnesota | 7R01GM069753-06 | 93.859 | 57,007 |
| Subtotal University of Minnesota | | | 57,007 |
| SUBTOTAL 93.859 | | | 3,087,321 |
| National Institute of General Medical Sciences | 5 R01 GM042476-17 | 93.862 | 5,717 |
| Subtotal National Institute of General Medical Sciences | | | 5,717 |
| SUBTOTAL 93.862 | | | 5,717 |
| Medical University of South Carolina | MUSC11-011 | 93.865 | 11,732 |
| Subtotal Medical University of South Carolina | | | 11,732 |
| National Institute of Child Health and Human Development | 1 R03 HD066012-01 | 93.865 | 71,546 |
| National Institute of Child Health and Human Development | 1R01HD061617-01A2 REVISE | 93.865 | 201,866 |
| National Institute of Child Health and Human Development | 1R01HD061996-01 | 93.865 | 281,718 |
| National Institute of Child Health and Human Development | 1R21HD062833-01 | 93.865 | 134,746 |
| National Institute of Child Health and Human Development | 1R21HD068844-01 | 93.865 | 5,878 |
| National Institute of Child Health and Human Development | 5 R01 HD052694-04 | 93.865 | 116,383 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|--------------------------|---------------------------|-------------------------|
| National Institute of Child Health and Human Development | 5K23HD050581-05 | 93.865 | 106,324 |
| National Institute of Child Health and Human Development | 5R01HD052724-04 | 93.865 | 24,709 |
| National Institute of Child Health and Human Development | 5R01HD056002-04 | 93.865 | 252,399 |
| National Institute of Child Health and Human Development | 5R01HD057446-02 | 93.865 | 303,825 |
| National Institute of Child Health and Human Development | 5R01HD058299-28 | 93.865 | 165,398 |
| National Institute of Child Health and Human Development | 5R01HD060915-03 | 93.865 | 237,890 |
| National Institute of Child Health and Human Development | 5R03HD058850-02 | 93.865 | 14,043 |
| Subtotal National Institute of Child Health and Human Development | | | 1,916,725 |
| National Institute on Aging | 5R01HD064993-03 | 93.865 | 487,723 |
| Subtotal National Institute on Aging | | | 487,723 |
| SUBTOTAL 93.865 | | | 2,416,180 |
| Arizona State University | 08-913 | 93.866 | 100,467 |
| Subtotal Arizona State University | | | 100,467 |
| Medical University of South Carolina | 5 P01 AG023630-04 | 93.866 | (27) |
| Subtotal Medical University of South Carolina | | | (27) |
| NACC/University of Washington | 5 U01 AG016976-12/697083 | 93.866 | 23,433 |
| NACC/University of Washington | 5U01AG016976/697083 | 93.866 | 6,175 |
| Subtotal NACC/University of Washington | | | 29,608 |
| National Institute on Aging | 1 R01 AG025159-3 | 93.866 | 126,734 |
| National Institute on Aging | 1 R01 AG027258-04 | 93.866 | 237,531 |
| National Institute on Aging | 1 R01AG037868-01A1 | 93.866 | 81,995 |
| National Institute on Aging | 1 R03 AG026530-01A1 | 93.866 | (18) |
| National Institute on Aging | 1R01AG033036-02 | 93.866 | 279,807 |
| National Institute on Aging | 1R03AG029304-02 | 93.866 | 61,998 |
| National Institute on Aging | 1R03AG038909-01A1 | 93.866 | 4,771 |
| National Institute on Aging | 1R21AG034453-02 | 93.866 | 184,712 |
| National Institute on Aging | 1R36AG038547-01 | 93.866 | 30,176 |
| National Institute on Aging | 2R01AG004542-22A1 | 93.866 | 137,843 |
| National Institute on Aging | 2R56AG019223-06 | 93.866 | 40,951 |
| National Institute on Aging | 3 P01 AG010836-14S1 | 93.866 | 3,781 |
| National Institute on Aging | 5 P01 AG010836-15 | 93.866 | (27,964) |
| National Institute on Aging | 5 R01 AG021981-05 | 93.866 | (393) |
| National Institute on Aging | 5 R01 AG028925-5 | 93.866 | 316,305 |
| National Institute on Aging | 5 R01AG022859-05 | 93.866 | 164 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|---------------------|---------------------------|-------------------------|
| National Institute on Aging | 5 R01AG027219-05 | 93.866 | 180,237 |
| National Institute on Aging | 5 R21 AG026521-02 | 93.866 | (1,238) |
| National Institute on Aging | 5 R21 AG029268-02 | 93.866 | (6,751) |
| National Institute on Aging | 5P01AG005119-23 | 93.866 | 1,715,536 |
| National Institute on Aging | 5P01AG010836-15 | 93.866 | 21,017 |
| National Institute on Aging | 5P30AG028383-05 | 93.866 | 316,107 |
| National Institute on Aging | 5P30AG028383-4 | 93.866 | 4,317 |
| National Institute on Aging | 5P30AG028383-5 | 93.866 | 933,317 |
| National Institute on Aging | 5R01 AG024950-05 | 93.866 | (1,692) |
| National Institute on Aging | 5R01AG013418-14 | 93.866 | 299,076 |
| National Institute on Aging | 5R01AG019241-09 | 93.866 | 1,339,682 |
| National Institute on Aging | 5R01AG020941-07 | 93.866 | 286,992 |
| National Institute on Aging | 5R01AG024377-06 REV | 93.866 | 251,966 |
| National Institute on Aging | 5R01AG025047-10 | 93.866 | 8,667 |
| National Institute on Aging | 5R01AG025403-05 | 93.866 | (1,882) |
| National Institute on Aging | 5R01AG026147-04 | 93.866 | 7,444 |
| National Institute on Aging | 5R01AG026307-05 | 93.866 | 495,819 |
| National Institute on Aging | 5R01AG026711-05 | 93.866 | 286,782 |
| National Institute on Aging | 5R01AG027297-05 | 93.866 | 202,063 |
| National Institute on Aging | 5R01AG031764-03 | 93.866 | 1,032,293 |
| National Institute on Aging | 5R01AG033649-03 | 93.866 | 275,056 |
| National Institute on Aging | 5R01AG034605-02 | 93.866 | 610,485 |
| National Institute on Aging | 5R03AG032099-02 | 93.866 | 60,390 |
| National Institute on Aging | 5R21AG031876-02 | 93.866 | 42,218 |
| National Institute on Aging | 5R21AG032550-03 | 93.866 | 19,642 |
| National Institute on Aging | 5R21AG032567-02 | 93.866 | 48,822 |
| National Institute on Aging | 5R21AG036875-02 | 93.866 | 189,018 |
| National Institute on Aging | 5R37AG010885-21 | 93.866 | 363,274 |
| National Institute on Aging | 7R01AG025273-05 | 93.866 | 164,087 |
| National Institute on Aging | 7R01AG025908-06 | 93.866 | 55,568 |
| Subtotal National Institute on Aging | | | 10,676,705 |
| National Institutes of Health | 23-01-ADNI | 93.866 | 92,489 |
| Subtotal National Institutes of Health | | | 92,489 |
| Scout Diagnostics, LLC | 2R24AG030239-02A2 | 93.866 | 71,263 |
| Subtotal Scout Diagnostics, LLC | | | 71,263 |
| Seattle Institute for Biomedical and Clinical Research | BW22-KENTUCKY | 93.866 | 26,700 |
| Seattle Institute for Biomedical and Clinical Research | BW22-KENTUCKY 2 | 93.866 | 11,105 |
| Subtotal Seattle Institute for Biomedical and Clinical Research | | | 37,805 |
| University of California San Diego | ADC-027 U01 AG10483 | 93.866 | 22,382 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|--------------------------|---------------------------|-------------------------|
| University of California San Diego | ADC-030 | 93.866 | 20,232 |
| University of California San Diego | ADC-035 | 93.866 | 107 |
| University of California San Diego | ADC-039 | 93.866 | 14,690 |
| University of California San Diego | CSSA 23-UKL-IGIV M-00-AD | 93.866 | 82,396 |
| Subtotal University of California San Diego | | | 139,807 |
| University of Illinois | 1P01AG030128-01A2 | 93.866 | 132,507 |
| Subtotal University of Illinois | | | 132,507 |
| University of Maryland | SR00001586 | 93.866 | 16,652 |
| Subtotal University of Maryland | | | 16,652 |
| University of Pittsburgh | 0010382 | 93.866 | 86,613 |
| Subtotal University of Pittsburgh | | | 86,613 |
| SUBTOTAL 93.866 | | | 11,383,889 |
| National Eye Institute | 3R01EY012998-08A1S1 | 93.867 | 74,692 |
| National Eye Institute | 3R01EY018350-04S1 | 93.867 | 620,585 |
| National Eye Institute | 5 R01 EY015422-04 | 93.867 | (745) |
| National Eye Institute | 5R01 EY018836-04 | 93.867 | 247,572 |
| National Eye Institute | 5R01EY012998-10 | 93.867 | 315,254 |
| National Eye Institute | 5R01EY016782-04 | 93.867 | 39,916 |
| National Eye Institute | 5R01EY020672-02 | 93.867 | 250,427 |
| National Eye Institute | 5R21EY018112-02 | 93.867 | 129,382 |
| National Eye Institute | 5R21EY018952-02 | 93.867 | 3,072 |
| National Eye Institute | 5R21EY019778-02 | 93.867 | 97,101 |
| Subtotal National Eye Institute | | | 1,777,256 |
| University of Wisconsin | 04-8151 | 93.867 | 20,981 |
| Subtotal University of Wisconsin | | | 20,981 |
| SUBTOTAL 93.867 | | | 1,798,237 |
| Health Resources and Services Administration | 5 D54HP00005-08-00 | 93.884 | 47,416 |
| Subtotal Health Resources and Services Administration | | | 47,416 |
| SUBTOTAL 93.884 | | | 47,416 |
| Health Resources and Services Administration | 1 D1ARH16062-01-00 | 93.888 | 217,085 |
| Health Resources and Services Administration | D1ECS16767-01-00 | 93.888 | 33,074 |
| Subtotal Health Resources and Services Administration | | | 250,159 |
| SUBTOTAL 93.888 | | | 250,159 |
| Trover Clinic Foundation | HRSA032011 | 93.912 | 78,282 |
| Subtotal Trover Clinic Foundation | | | 78,282 |
| SUBTOTAL 93.912 | | | 78,282 |
| CHARM Inc | CDC0410 | 93.943 | 6,234 |
| CHARM Inc | CDC0411 | 93.943 | 10,005 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---|----------------------|---------------------------|-------------------------|
| Subtotal CHARM Inc | | | 16,239 |
| SUBTOTAL 93.943 | | | 16,239 |
| National Cancer Institute | 5 R01 CA102102-03 | 93.951 | 13,520 |
| Subtotal National Cancer Institute | | | 13,520 |
| SUBTOTAL 93.951 | | | 13,520 |
| Kentucky Cabinet for Health and Family Services | PO2 728 1100000176 1 | 93.994 | 5,454 |
| Subtotal Kentucky Cabinet for Health and Family Services | | | 5,454 |
| Kentucky Department for Public Health | PO2 7281000004112 4 | 93.994 | 4,959 |
| Subtotal Kentucky Department for Public Health | | | 4,959 |
| SUBTOTAL 93.994 | | | 10,413 |
| Colorado State University | G-4584-1 | 93.G-4584-1 | (1,348) |
| Subtotal Colorado State University | | | (1,348) |
| SUBTOTAL 93.G-4584-1 | | | (1,348) |
| Acambis Inc | H-249-004 | 93.H-249-004 | 2,448 |
| Subtotal Acambis Inc | | | 2,448 |
| SUBTOTAL 93.H-249-004 | | | 2,448 |
| National Cancer Institute | HHSN2611200700019C | 93.HHSN2611200700019C | 168,356 |
| Subtotal National Cancer Institute | | | 168,356 |
| SUBTOTAL 93.HHSN2611200700019C | | | 168,356 |
| National Institute of Allergy and Infectious Diseases | HHSN266200500045P | 93.HHSN266200500045P | 1,912,923 |
| Subtotal National Institute of Allergy and Infectious Diseases | | | 1,912,923 |
| SUBTOTAL 93.HHSN266200500045P | | | 1,912,923 |
| National Library of Medicine | HHSN276201000725P | 93.HHSN276201000725P | 20,162 |
| Subtotal National Library of Medicine | | | 20,162 |
| SUBTOTAL 93.HHSN276201000725P | | | 20,162 |
| National Institute for Pharmaceutical Technology | KY0001 | 93.KY0001 | 29,138 |
| Subtotal National Institute for Pharmaceutical Technology | | | 29,138 |
| SUBTOTAL 93.KY0001 | | | 29,138 |
| National Cancer Institute | N01-PC-54403 | 93.N01-PC-54403 | 305,946 |
| Subtotal National Cancer Institute | | | 305,946 |
| SUBTOTAL 93.N01-PC-54403 | | | 305,946 |
| National Childhood Cancer Foundation | NCI071508 | 93.NCI071508 | 101,895 |
| Subtotal National Childhood Cancer Foundation | | | 101,895 |
| SUBTOTAL 93.NCI071508 | | | 101,895 |
| Bavarian Nordic | POX-MVA-008 | 93.POX-MVA-008 | (187) |
| Subtotal Bavarian Nordic | | | (187) |
| SUBTOTAL 93.POX-MVA-008 | | | (187) |
| Bavarian Nordic | POX-MVA-010 | 93.POX-MVA-010 | 40,235 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|--------------|---------------------------|-------------------------|
| Subtotal Bavarian Nordic | | | 40,235 |
| SUBTOTAL 93.POX-MVA-010 | | | 40,235 |
| Bavarian Nordic | POX-MVA-024 | 93.POX-MVA-024 | 92,244 |
| Subtotal Bavarian Nordic | | | 92,244 |
| SUBTOTAL 93.POX-MVA-024 | | | 92,244 |
| Duke University Medical Center | SPS 102970 | 93.SPS 102970 | 16,191 |
| Subtotal Duke University Medical Center | | | 16,191 |
| SUBTOTAL 93.SPS 102970 | | | 16,191 |
| Department of Health and Human Services | | | 122,601,513 |
| Department of Homeland Security | | | |
| Kentucky Transportation Cabinet | RS NO. 17 | 97.001 | 219,226 |
| Subtotal Kentucky Transportation Cabinet | | | 219,226 |
| SUBTOTAL 97.001 | | | 219,226 |
| National Institute for Hometown Security | 01-07-UK | 97.01-07-UK | 73,122 |
| Subtotal National Institute for Hometown Security | | | 73,122 |
| SUBTOTAL 97.01-07-UK | | | 73,122 |
| National Institute for Hometown Security | 01-10-UK | 97.01-10-UK | 329,958 |
| Subtotal National Institute for Hometown Security | | | 329,958 |
| SUBTOTAL 97.01-10-UK | | | 329,958 |
| National Institute for Hometown Security | 02-10-UK | 97.02-10-UK | 71,358 |
| Subtotal National Institute for Hometown Security | | | 71,358 |
| SUBTOTAL 97.02-10-UK | | | 71,358 |
| National Institute for Hometown Security | 03-09-UK | 97.03-09-UK | 52,675 |
| Subtotal National Institute for Hometown Security | | | 52,675 |
| SUBTOTAL 97.03-09-UK | | | 52,675 |
| National Institute for Hometown Security | 04-09-UK | 97.04-09-UK | 540,145 |
| Subtotal National Institute for Hometown Security | | | 540,145 |
| SUBTOTAL 97.04-09-UK | | | 540,145 |
| University of Minnesota | P001955409 | 97.061 | 98,253 |
| University of Minnesota | X9106025108 | 97.061 | 41,614 |
| Subtotal University of Minnesota | | | 139,867 |
| University of Tennessee | 13909-001-01 | 97.061 | 9,805 |
| Subtotal University of Tennessee | | | 9,805 |
| SUBTOTAL 97.061 | | | 149,672 |
| National Institute for Hometown Security | 08-07-UK | 97.08-07-UK | 42,003 |
| Subtotal National Institute for Hometown Security | | | 42,003 |
| SUBTOTAL 97.08-07-UK | | | 42,003 |
| National Institute for Hometown Security | 08-09-UK | 97.08-09-UK | 79,047 |

UNIVERSITY OF KENTUCKY

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011**

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|---------------------|------------------------------------|---------------------------------|
| Subtotal National Institute for Hometown Security | | | 79,047 |
| SUBTOTAL 97.08-09-UK | | | 79,047 |
| University of Louisville | 090446Z01 | 97.090446Z01 | 247,228 |
| Subtotal University of Louisville | | | 247,228 |
| SUBTOTAL 97.090446Z01 | | | 247,228 |
| National Institute for Hometown Security | 09-07-UK | 97.09-07-UK | 147,750 |
| Subtotal National Institute for Hometown Security | | | 147,750 |
| SUBTOTAL 97.09-07-UK | | | 147,750 |
| National Institute for Hometown Security | 10-07-UK | 97.10-07-UK | 213,988 |
| Subtotal National Institute for Hometown Security | | | 213,988 |
| SUBTOTAL 97.10-07-UK | | | 213,988 |
| National Institute for Hometown Security | 11-07-UK | 97.11-07-UK | 173,383 |
| Subtotal National Institute for Hometown Security | | | 173,383 |
| SUBTOTAL 97.11-07-UK | | | 173,383 |
| National Institute for Hometown Security | 12-07-UK | 97.12-07-UK | 1,711,681 |
| Subtotal National Institute for Hometown Security | | | 1,711,681 |
| SUBTOTAL 97.12-07-UK | | | 1,711,681 |
| National Institute for Hometown Security | 16-07-UK | 97.16-07-UK | 264,608 |
| Subtotal National Institute for Hometown Security | | | 264,608 |
| SUBTOTAL 97.16-07-UK | | | 264,608 |
| National Institute for Hometown Security | 17-07-UK | 97.17-07-UK | 309,470 |
| Subtotal National Institute for Hometown Security | | | 309,470 |
| SUBTOTAL 97.17-07-UK | | | 309,470 |
| University of Louisville | ULRF 08-0292-01 | 97.ULRF 08-0292-01 | 98,251 |
| Subtotal University of Louisville | | | 98,251 |
| SUBTOTAL 97.ULRF 08-0292-01 | | | 98,251 |
| Western Kentucky University | WKURF 547103-10-001 | 97.WKURF 547103-10-001 | 111,433 |
| Subtotal Western Kentucky University | | | 111,433 |
| SUBTOTAL 97.WKURF 547103-10-001 | | | 111,433 |
| Department of Homeland Security | | | 4,834,998 |
| RESEARCH AND DEVELOPMENT | | | 184,615,263 |
| STUDENT FINANCIAL AID | | | |
| Department of Education | | | |
| Department of Education | P007A101611 | 84.007 | 490,969 |
| Subtotal Department of Education | | | 490,969 |
| SUBTOTAL 84.007 | | | 490,969 |
| Department of Education | P033A091611 | 84.033 | 19,155 |
| Department of Education | P033A101611 | 84.033 | 1,362,235 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|------------------------------|---------------------------|-------------------------|
| Subtotal Department of Education | | | 1,381,390 |
| SUBTOTAL 84.033 | | | 1,381,390 |
| US DEPARTMENT OF EDUCATION | Federal Perkins Loan Program | 84.038 | 21,379,958 |
| Subtotal US DEPARTMENT OF EDUCATION | | | 21,379,958 |
| SUBTOTAL 84.038 | | | 21,379,958 |
| Department of Education | P063P050150 | 84.063 | 1,289 |
| Department of Education | P063P090150 | 84.063 | 107,272 |
| Department of Education | P063P100150 | 84.063 | 19,976,852 |
| Department of Education | P379T110150 | 84.063 | 42,500 |
| Subtotal Department of Education | | | 20,127,913 |
| SUBTOTAL 84.063 | | | 20,127,913 |
| Health Resources and Services Administration | Federal Direct Student Loan | 84.268 | 129,617,158 |
| Subtotal Health Resources and Services Administration | | | 129,617,158 |
| SUBTOTAL 84.268 | | | 129,617,158 |
| Department of Education | P375A100150 | 84.375 | 1,154,864 |
| Subtotal Department of Education | | | 1,154,864 |
| SUBTOTAL 84.375 | | | 1,154,864 |
| Department of Education | P376S09150 | 84.376 | 4,000 |
| Department of Education | P376S10150 | 84.376 | 660,901 |
| Subtotal Department of Education | | | 664,901 |
| SUBTOTAL 84.376 | | | 664,901 |
| Department of Education | | | 174,817,153 |
| Department of Health and Human Services | | | |
| Health Resources and Services Administration | Health Professions-Medicine | 93.342 | 1,528,296 |
| Health Resources and Services Administration | Health Professions-Pharmacy | 93.342 | 741,643 |
| Health Resources and Services Administration | Health Professions-Dentistry | 93.342 | 1,964,618 |
| Subtotal Health Resources and Services Administration | | | 4,234,557 |
| SUBTOTAL 93.342 | | | 4,234,557 |
| Health Resources and Services Administration | 1T08HP18716-01-00 | 93.925 | 290,680 |
| Subtotal Health Resources and Services Administration | | | 290,680 |
| SUBTOTAL 93.925 | | | 290,680 |
| Department of Health and Human Services | | | 4,525,237 |
| STUDENT FINANCIAL AID | | | 179,342,390 |
| OTHER FEDERAL ASSISTANCE | | | |
| Department of Agriculture | | | |
| USDA, ARS, Forage-Animal Production Research | 58-6440-1-113 | 10.001 | 28,535 |
| USDA, ARS, Forage-Animal Production Research | 58-6440-1-558 | 10.001 | 7,328 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|---|---------------------------|-------------------------|
| Subtotal | USDA, ARS, Forage-Animal Production Research | | 35,863 |
| | SUBTOTAL 10.001 | | 35,863 |
| Animal and Plant Health Inspection Service | 09-8321-0377-CA | 10.025 | (113) |
| Animal and Plant Health Inspection Service | 10-8221-0377-CA | 10.025 | 140,777 |
| Animal and Plant Health Inspection Service | 10-8221-0592-CA | 10.025 | 24,717 |
| Animal and Plant Health Inspection Service | 10-8221-0718-CA | 10.025 | 1,581 |
| Animal and Plant Health Inspection Service | 10-8221-0719-CA | 10.025 | 4,261 |
| Animal and Plant Health Inspection Service | 10-8321-0577-CA | 10.025 | 403,693 |
| Animal and Plant Health Inspection Service | 10-9419-0158-CA | 10.025 | 55,000 |
| Animal and Plant Health Inspection Service | 11-8221-0377-CA | 10.025 | 57,343 |
| Animal and Plant Health Inspection Service | 11-8221-0577-CA | 10.025 | 383,513 |
| Subtotal | Animal and Plant Health Inspection Service | | 1,070,772 |
| Kentucky Department of Agriculture | PO2 035 100000703 1 | 10.025 | 11 |
| Kentucky Department of Agriculture | PON2 035 100003733 2 | 10.025 | 16,800 |
| Subtotal | Kentucky Department of Agriculture | | 16,811 |
| | SUBTOTAL 10.025 | | 1,087,583 |
| Agricultural Marketing Service | 12-25-G-1110 | 10.156 | 29,758 |
| Subtotal | Agricultural Marketing Service | | 29,758 |
| | SUBTOTAL 10.156 | | 29,758 |
| Kentucky Department of Agriculture | PO2 035 1000005460 1 | 10.170 | 20,444 |
| Kentucky Department of Agriculture | PON2 035 1100001314 3 | 10.170 | 410 |
| Subtotal | Kentucky Department of Agriculture | | 20,854 |
| | SUBTOTAL 10.170 | | 20,854 |
| Cornell University | 59371-9097/09-3444419842 | 10.200 | 16,010 |
| Cornell University | 61353-9318 | 10.200 | 23,268 |
| Subtotal | Cornell University | | 39,278 |
| National Institute of Food and Agriculture | 2010-34431-20837 | 10.200 | 103,125 |
| Subtotal | National Institute of Food and Agriculture | | 103,125 |
| University of Florida | 1000019130 | 10.200 | 2,000 |
| Subtotal | University of Florida | | 2,000 |
| | SUBTOTAL 10.200 | | 144,403 |
| Kentucky Energy and Environment Cabinet | PO2 128 0900023358 3 | 10.202 | 72,489 |
| Subtotal | Kentucky Energy and Environment Cabinet | | 72,489 |
| | SUBTOTAL 10.202 | | 72,489 |
| University of Georgia | RD309-101/3503778 | 10.215 | 925 |
| University of Georgia | RD309-101/4688418 | 10.215 | 8,752 |
| University of Georgia | RD309-105/4690288 | 10.215 | 11,239 |
| University of Georgia | RD309-109/4786426 | 10.215 | 5,790 |
| University of Georgia | RE675-155/4690308 | 10.215 | 19,990 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|--------------------------|---------------------------|-------------------------|
| University of Georgia | RE675-155/4690478 | 10.215 | 587 |
| University of Georgia | RE675-161/4694458 | 10.215 | 1,113 |
| Subtotal University of Georgia | | | 48,396 |
| SUBTOTAL 10.215 | | | 48,396 |
| Cooperative State Research and Education and Extension Service | 2008-38411-19080 | 10.217 | (8,188) |
| Subtotal Cooperative State Research and Education and Extension Service | | | (8,188) |
| Iowa State University | 416-17-02 | 10.217 | 19,408 |
| Subtotal Iowa State University | | | 19,408 |
| SUBTOTAL 10.217 | | | 11,220 |
| Texas A&M University | 451010 | 10.303 | 17,504 |
| Subtotal Texas A&M University | | | 17,504 |
| SUBTOTAL 10.303 | | | 17,504 |
| Purdue University | 598-0472-01 | 10.304 | 9,588 |
| Purdue University | 8000035690-AG | 10.304 | 56,810 |
| Subtotal Purdue University | | | 66,398 |
| SUBTOTAL 10.304 | | | 66,398 |
| National Institute of Food and Agriculture | 2010-51160-21063 | 10.305 | 14,369 |
| Subtotal National Institute of Food and Agriculture | | | 14,369 |
| SUBTOTAL 10.305 | | | 14,369 |
| Cooperative State Research and Education and Extension Service | 2010-65105-20549 | 10.310 | 1,000 |
| Subtotal Cooperative State Research and Education and Extension Service | | | 1,000 |
| National Institute of Food and Agriculture | 2010-85211-20518 | 10.310 | (2,632) |
| Subtotal National Institute of Food and Agriculture | | | (2,632) |
| Purdue University | 8000041337-AG 2010-38411 | 10.310 | 14,133 |
| Subtotal Purdue University | | | 14,133 |
| SUBTOTAL 10.310 | | | 12,501 |
| Cooperative State Research and Education and Extension Service | 2009-49400-05944 | 10.311 | 71,311 |
| Subtotal Cooperative State Research and Education and Extension Service | | | 71,311 |
| SUBTOTAL 10.311 | | | 71,311 |
| Rural Housing Service | RCDI-52307 | 10.446 | 72,455 |
| Subtotal Rural Housing Service | | | 72,455 |
| SUBTOTAL 10.446 | | | 72,455 |
| Cooperative State Research and Education and Extension Service | 2007-41520-03784 | 10.500 | 5,420 |
| Cooperative State Research and Education and Extension Service | 2009-41210-05881 | 10.500 | 40,356 |
| Cooperative State Research and Education and Extension Service | 2009-41520-05374 | 10.500 | 85,873 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|-------------------|---------------------------|-------------------------|
| Cooperative State Research and Education and Extension Service | 2009-41520-06055 | 10.500 | 174,519 |
| Cooperative State Research and Education and Extension Service | 2009-41534-05568 | 10.500 | 73,615 |
| Subtotal Cooperative State Research and Education and Extension Service | | | 379,783 |
| Kansas State University | NO S08033 | 10.500 | 100,544 |
| Kansas State University | NO S10153 | 10.500 | 28,058 |
| Kansas State University | S11141 | 10.500 | 37,910 |
| Subtotal Kansas State University | | | 166,512 |
| Michigan State University | 61-4001A | 10.500 | 24,345 |
| Subtotal Michigan State University | | | 24,345 |
| National Four H Council | 2008-45201-04715 | 10.500 | 22,735 |
| Subtotal National Four H Council | | | 22,735 |
| National Institute of Food and Agriculture | 2007-41520-03784 | 10.500 | 118,059 |
| National Institute of Food and Agriculture | 2009-41520-05373 | 10.500 | 33,490 |
| National Institute of Food and Agriculture | 200941520-05374 | 10.500 | 74,576 |
| National Institute of Food and Agriculture | 2010-41210-21669 | 10.500 | 57,653 |
| National Institute of Food and Agriculture | 2010-41534-21661 | 10.500 | 9,560 |
| National Institute of Food and Agriculture | 2010-41590-20753 | 10.500 | 153,220 |
| Subtotal National Institute of Food and Agriculture | | | 446,558 |
| New Mexico State University | Q01223 | 10.500 | 16 |
| Subtotal New Mexico State University | | | 16 |
| Purdue University | 8000041654 | 10.500 | 54,756 |
| Subtotal Purdue University | | | 54,756 |
| Texas A&M University | 622234 | 10.500 | 17,575 |
| Texas A&M University | 622236 | 10.500 | 13,880 |
| Texas A&M University | 622249 | 10.500 | 27,278 |
| Texas A&M University | 622251 | 10.500 | 4,621 |
| Subtotal Texas A&M University | | | 63,354 |
| University of Arkansas | 21660-02 | 10.500 | 46,045 |
| Subtotal University of Arkansas | | | 46,045 |
| University of Georgia | RE675-161/4786296 | 10.500 | 19,592 |
| University of Georgia | RE675-161/4786446 | 10.500 | 2,459 |
| Subtotal University of Georgia | | | 22,051 |
| University of Nebraska | 25-6365-0023-004 | 10.500 | 359,441 |
| University of Nebraska | 25-6365-0023-103 | 10.500 | 18,897 |
| University of Nebraska | 25-6365-0023-109 | 10.500 | 10,422 |
| University of Nebraska | 25-6365-0030-014 | 10.500 | 50,923 |
| University of Nebraska | 26-6365-0001-321 | 10.500 | 16,369 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---|-----------------------|---------------------------|-------------------------|
| University of Nebraska | 26-6365-0001-353 | 10.500 | 1,429 |
| University of Nebraska | 26-6365-001-301 | 10.500 | 363,076 |
| University of Nebraska | 26-6365-0023-105 | 10.500 | 17,863 |
| Subtotal University of Nebraska | | | 838,420 |
| University of Tennessee | 8500010296 | 10.500 | (4,283) |
| Subtotal University of Tennessee | | | (4,283) |
| USDA CSREES (CES) Federal Formula Funds | CES | 10.500 | 11,356,069 |
| Subtotal USDA CSREES (CES) Federal Formula Funds | | | 11,356,069 |
| SUBTOTAL 10.500 | | | 13,416,361 |
| Auburn University | 10-ACES-374584-UK | 10.550 | 5,478 |
| Subtotal Auburn University | | | 5,478 |
| SUBTOTAL 10.550 | | | 5,478 |
| Eastern Kentucky University | 452120-11-147 | 10.561 | 607 |
| Subtotal Eastern Kentucky University | | | 607 |
| Kentucky Cabinet for Health and Family Services | PO2 7361000002338 | 10.561 | (4,839) |
| Kentucky Cabinet for Health and Family Services | PON2 736 1000003601 1 | 10.561 | 317,620 |
| Kentucky Cabinet for Health and Family Services | PON2 736 1100000767 1 | 10.561 | 1,551,316 |
| Subtotal Kentucky Cabinet for Health and Family Services | | | 1,864,097 |
| SUBTOTAL 10.561 | | | 1,864,704 |
| Binational Agricultural Research and Development Fund | IS-3945-06A | 10.600 | 27,019 |
| Subtotal Binational Agricultural Research and Development Fund | | | 27,019 |
| SUBTOTAL 10.600 | | | 27,019 |
| Kentucky Energy and Environment Cabinet | PON2 128 1000002067 1 | 10.664 | 1,959 |
| Kentucky Energy and Environment Cabinet | PON2 128 1000003488 1 | 10.664 | 10,684 |
| Kentucky Energy and Environment Cabinet | PON2 128 1000003545 1 | 10.664 | 4,996 |
| Kentucky Energy and Environment Cabinet | PON2 128 1000003596 1 | 10.664 | 35,816 |
| Kentucky Energy and Environment Cabinet | PON2 128 1000003598 1 | 10.664 | 30,893 |
| Kentucky Energy and Environment Cabinet | PON2 128 1000003600 1 | 10.664 | 9,982 |
| Kentucky Energy and Environment Cabinet | PON2 128 1100001909 1 | 10.664 | 20,079 |
| Subtotal Kentucky Energy and Environment Cabinet | | | 114,409 |
| SUBTOTAL 10.664 | | | 114,409 |
| Rural Development | 20-034-616033693 | 10.769 | 91,384 |
| Subtotal Rural Development | | | 91,384 |
| SUBTOTAL 10.769 | | | 91,384 |
| Rural Development | 20-034-616033693 | 10.868 | 6,226 |
| Subtotal Rural Development | | | 6,226 |
| SUBTOTAL 10.868 | | | 6,226 |
| Natural Resources Conservation Service | NRCS 69-3A75-9-162 | 10.912 | 17,155 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---|-----------------------|---------------------------|-------------------------|
| Subtotal Natural Resources Conservation Service | | | 17,155 |
| SUBTOTAL 10.912 | | | 17,155 |
| Foreign Agricultural Service | 58-3148-0-051 | 10.960 | 1,059 |
| Foreign Agricultural Service | 58-3148-0-197 | 10.960 | 18,116 |
| Subtotal Foreign Agricultural Service | | | 19,175 |
| SUBTOTAL 10.960 | | | 19,175 |
| University of Arizona | Y550114 | 10.961 | 8,966 |
| Subtotal University of Arizona | | | 8,966 |
| SUBTOTAL 10.961 | | | 8,966 |
| Department of Agriculture | 58-3148-8-149 | 10.962 | 2,285 |
| Subtotal Department of Agriculture | | | 2,285 |
| Foreign Agricultural Service | 58-3148-0-093 | 10.962 | 99,187 |
| Foreign Agricultural Service | 58-3148-0-118 | 10.962 | 45,543 |
| Foreign Agricultural Service | 58-3148-5-037 | 10.962 | 1,300 |
| Foreign Agricultural Service | 58-3148-9-057 | 10.962 | 9,640 |
| Foreign Agricultural Service | 58-3148-9-213 | 10.962 | 1,937 |
| Subtotal Foreign Agricultural Service | | | 157,607 |
| SUBTOTAL 10.962 | | | 159,892 |
| Department of Agriculture | AG-3198-B-10-0028 | 10.AG-3198-B-10-0028 | 43,931 |
| Subtotal Department of Agriculture | | | 43,931 |
| SUBTOTAL 10.AG-3198-B-10-0028 | | | 43,931 |
| Altarum Institute | SC-10-013 | 10.SC-10-013 | 2,830 |
| Subtotal Altarum Institute | | | 2,830 |
| SUBTOTAL 10.SC-10-013 | | | 2,830 |
| Department of Agriculture | | | 17,482,634 |
| Department of Commerce | | | |
| Economic Development Administration | 04-66-06261-01 | 11.303 | 138,861 |
| Subtotal Economic Development Administration | | | 138,861 |
| SUBTOTAL 11.303 | | | 138,861 |
| Department of Commerce | 21-01-N10019 | 11.550 | 12,602 |
| Subtotal Department of Commerce | | | 12,602 |
| SUBTOTAL 11.550 | | | 12,602 |
| ARRA-National Institute of Standards and Technology | 60NANB10D033 | 11.618 | 3,587,763 |
| Subtotal ARRA-National Institute of Standards and Technology | | | 3,587,763 |
| SUBTOTAL 11.618 | | | 3,587,763 |
| Department of Commerce | | | 3,739,226 |
| Department of Defense | | | |
| Kentucky Department of Military Affairs | PON2 095 1000004089 1 | 12.401 | 65,256 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---|------------------|---------------------------|-------------------------|
| Subtotal Kentucky Department of Military Affairs | | | 65,256 |
| SUBTOTAL 12.401 | | | 65,256 |
| US Army Medical Research Acquisition Activity | W81XWH-11-1-0078 | 12.420 | 14,955 |
| Subtotal US Army Medical Research Acquisition Activity | | | 14,955 |
| SUBTOTAL 12.420 | | | 14,955 |
| US Army Research Office | W911NF-07-1-0377 | 12.431 | 321 |
| US Army Research Office | W911NF-09-1-0400 | 12.431 | (52) |
| Subtotal US Army Research Office | | | 269 |
| SUBTOTAL 12.431 | | | 269 |
| National Security Agency | H98230-11-1-0051 | 12.900 | 23,212 |
| Subtotal National Security Agency | | | 23,212 |
| SUBTOTAL 12.900 | | | 23,212 |
| National Security Agency | H98230-10-1-0133 | 12.901 | 45,499 |
| Subtotal National Security Agency | | | 45,499 |
| SUBTOTAL 12.901 | | | 45,499 |
| Department of Defense | | | 149,191 |
| Department of Housing and Urban Development | | | |
| University of Louisville | ULRF 09-1561 | 14.878 | 16,782 |
| Subtotal University of Louisville | | | 16,782 |
| SUBTOTAL 14.878 | | | 16,782 |
| Department of Housing and Urban Development | KYRIP0015-10 | 14.KYRIP0015-10 | 1,017 |
| Subtotal Department of Housing and Urban Development | | | 1,017 |
| SUBTOTAL 14.KYRIP0015-10 | | | 1,017 |
| Department of Housing and Urban Development | | | 17,799 |
| Department of the Interior | | | |
| US Geological Survey | G10AC00599 | 15.808 | 50,156 |
| Subtotal US Geological Survey | | | 50,156 |
| SUBTOTAL 15.808 | | | 50,156 |
| US Geological Survey | 08HQGR0131 | 15.814 | (2,179) |
| US Geological Survey | G10AC00465 | 15.814 | 10,220 |
| US Geological Survey | G10AP00132 | 15.814 | 15,511 |
| Subtotal US Geological Survey | | | 23,552 |
| SUBTOTAL 15.814 | | | 23,552 |
| Department of the Interior | | | 73,708 |
| Department of Justice | | | |
| Mountain Comprehensive Care Center | 2010-RN-BX-0004 | 16.2010-RN-BX-0004 | 12,001 |
| Subtotal Mountain Comprehensive Care Center | | | 12,001 |
| SUBTOTAL 16.2010-RN-BX-0004 | | | 12,001 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---|----------------------|---------------------------|-------------------------|
| Department of Justice | 2004-WA-AX-0020 | 16.525 | 30,499 |
| Subtotal Department of Justice | | | 30,499 |
| SUBTOTAL 16.525 | | | 30,499 |
| Kentucky Association of Sexual Assault Programs | KASAP 072012 | 16.529 | 2,271 |
| Subtotal Kentucky Association of Sexual Assault Programs | | | 2,271 |
| SUBTOTAL 16.529 | | | 2,271 |
| Department of Justice | 2008-DD-BX-0330 | 16.580 | 78,126 |
| Subtotal Department of Justice | | | 78,126 |
| Kentucky Cabinet for Health and Family Services | PO2 723 1000001003 | 16.580 | 9,608 |
| Kentucky Cabinet for Health and Family Services | PO2 723 1000002888 1 | 16.580 | 4,878 |
| Subtotal Kentucky Cabinet for Health and Family Services | | | 14,486 |
| SUBTOTAL 16.580 | | | 92,612 |
| National Four H Council | 2010-OJJDP-MNTR-22 | 16.726 | 22,750 |
| Subtotal National Four H Council | | | 22,750 |
| SUBTOTAL 16.726 | | | 22,750 |
| ARRA-Kentucky Justice and Public Safety Cabinet | VOCA ARRA-2009-UK | 16.801 | 23,332 |
| Subtotal ARRA-Kentucky Justice and Public Safety Cabinet | | | 23,332 |
| SUBTOTAL 16.801 | | | 23,332 |
| Bureau of Prisons | DJBLEXJF510001 | 16.DJBLEXJF510001 | 61 |
| Subtotal Bureau of Prisons | | | 61 |
| SUBTOTAL 16.DJBLEXJF510001 | | | 61 |
| Bureau of Prisons | DJBP0109JF510001 | 16.DJBP0109JF510001 | 7,795 |
| Subtotal Bureau of Prisons | | | 7,795 |
| SUBTOTAL 16.DJBP0109JF510001 | | | 7,795 |
| Department of Justice | | | 191,321 |
| Department of Labor | | | |
| ARRA-Kentucky Council on Postsecondary Ed | PO2 415 1100002385 1 | 17.260 | 72,259 |
| Subtotal ARRA-Kentucky Council on Postsecondary Ed | | | 72,259 |
| SUBTOTAL 17.260 | | | 72,259 |
| Department of Labor | | | 72,259 |
| Department of Transportation | | | |
| Kentucky Transportation Cabinet | FRT 185 | 20.205 | 8,622 |
| Kentucky Transportation Cabinet | FRT NO. 171 | 20.205 | 96,868 |
| Kentucky Transportation Cabinet | PO2 628 0800020505 | 20.205 | (16,741) |
| Kentucky Transportation Cabinet | TA 26 | 20.205 | 9,756 |
| Kentucky Transportation Cabinet | TA 29 | 20.205 | 9,324 |
| Kentucky Transportation Cabinet | TASK 3 | 20.205 | 48,975 |
| Subtotal Kentucky Transportation Cabinet | | | 156,804 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---|--------------------------|---------------------------|-------------------------|
| Northwestern University | PROJ0000173 | 20.205 | 54,560 |
| Northwestern University | SP0000273-PROJ0000173 | 20.205 | 88,105 |
| Subtotal Northwestern University | | | 142,665 |
| SUBTOTAL 20.205 | | | 299,469 |
| Kentucky Transportation Cabinet | 1200 C35 D625 00 FHO2 03 | 20.215 | 44,379 |
| Kentucky Transportation Cabinet | 82548 01N | 20.215 | 26,566 |
| Kentucky Transportation Cabinet | 8418301N | 20.215 | 54,422 |
| Kentucky Transportation Cabinet | LTAP2-4 | 20.215 | 38,026 |
| Subtotal Kentucky Transportation Cabinet | | | 163,393 |
| SUBTOTAL 20.215 | | | 163,393 |
| Kentucky Transportation Cabinet | 466459 | 20.515 | (4,380) |
| Kentucky Transportation Cabinet | KYSPR 10-392 | 20.515 | 49,953 |
| Subtotal Kentucky Transportation Cabinet | | | 45,573 |
| SUBTOTAL 20.515 | | | 45,573 |
| University of Tennessee | OR10728-001.05 | 20.OR10728-001.05 | 42,387 |
| Subtotal University of Tennessee | | | 42,387 |
| SUBTOTAL 20.OR10728-001.05 | | | 42,387 |
| Department of Transportation | | | 550,822 |
| Appalachian Regional Commission | | | |
| Appalachian Regional Commission | CO-16882-2011 | 23.002 | 10,000 |
| Subtotal Appalachian Regional Commission | | | 10,000 |
| SUBTOTAL 23.002 | | | 10,000 |
| Appalachian Regional Commission | KY-16403-09 | 23.011 | 52,799 |
| Appalachian Regional Commission | KY-1640-C1-2010 | 23.011 | 160,371 |
| Subtotal Appalachian Regional Commission | | | 213,170 |
| SUBTOTAL 23.011 | | | 213,170 |
| Appalachian Regional Commission | | | 223,170 |
| Office of Personnel Management | | | |
| Center for Disease Control and Prevention | 08IPA899125 | 27.001 | 35,551 |
| Subtotal Center for Disease Control and Prevention | | | 35,551 |
| SUBTOTAL 27.001 | | | 35,551 |
| National Cancer Institute | 11589CEDL | 27.011 | 50,799 |
| Subtotal National Cancer Institute | | | 50,799 |
| National Science Foundation | BCS-1051570-001 | 27.011 | 95,147 |
| Subtotal National Science Foundation | | | 95,147 |
| SUBTOTAL 27.011 | | | 145,946 |
| Office of Personnel Management | | | 181,497 |
| Library of Congress | | | |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|-------------------|---------------------------|-------------------------|
| Illinois State University | RSP08A031.36 | 42.RSP08A031.36 | 9,821 |
| Subtotal Illinois State University | | | 9,821 |
| SUBTOTAL 42.RSP08A031.36 | | | 9,821 |
| Library of Congress | | | 9,821 |
| National Aeronautics & Space Administration | | | |
| Western Kentucky University | WKU 516171-07-43 | 43.001 | 163 |
| Subtotal Western Kentucky University | | | 163 |
| SUBTOTAL 43.001 | | | 163 |
| National Space Grant Foundation | 2009-SYSENG03 | 43.2009-SYSENG03 | (279) |
| Subtotal National Space Grant Foundation | | | (279) |
| SUBTOTAL 43.2009-SYSENG03 | | | (279) |
| Western Kentucky University | WKU 516171-10-148 | 43.WKU 516171-10-148 | 5,446 |
| Subtotal Western Kentucky University | | | 5,446 |
| SUBTOTAL 43.WKU 516171-10-148 | | | 5,446 |
| Western Kentucky University | WKU 516171-10-150 | 43.WKU 516171-10-150 | 4,083 |
| Subtotal Western Kentucky University | | | 4,083 |
| SUBTOTAL 43.WKU 516171-10-150 | | | 4,083 |
| Western Kentucky University | WKU 516171-10-163 | 43.WKU 516171-10-163 | (174) |
| Subtotal Western Kentucky University | | | (174) |
| SUBTOTAL 43.WKU 516171-10-163 | | | (174) |
| Western Kentucky University | WKU 516171-10-169 | 43.WKU 516171-10-169 | 145,585 |
| Subtotal Western Kentucky University | | | 145,585 |
| SUBTOTAL 43.WKU 516171-10-169 | | | 145,585 |
| National Aeronautics & Space Administration | | | 154,824 |
| National Endowment for the Humanities | | | |
| National Endowment for the Arts | 10-3600-7027 | 45.024 | 15,004 |
| Subtotal National Endowment for the Arts | | | 15,004 |
| SUBTOTAL 45.024 | | | 15,004 |
| National Endowment for the Humanities | CH-50277-06 | 45.130 | 252 |
| Subtotal National Endowment for the Humanities | | | 252 |
| SUBTOTAL 45.130 | | | 252 |
| National Endowment for the Humanities | PJ-50007-05 | 45.149 | 176,922 |
| Subtotal National Endowment for the Humanities | | | 176,922 |
| SUBTOTAL 45.149 | | | 176,922 |
| National Endowment for the Humanities | FA-54503-09 | 45.160 | 2 |
| Subtotal National Endowment for the Humanities | | | 2 |
| SUBTOTAL 45.160 | | | 2 |
| National Endowment for the Humanities | RQ-50458-10 | 45.161 | 50,397 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|-----------------------|---------------------------|-------------------------|
| Subtotal National Endowment for the Humanities | | | <u>50,397</u> |
| SUBTOTAL 45.161 | | | <u>50,397</u> |
| Kentucky Department for Libraries and Archives | PO2 555 1000001386 1 | 45.310 | (83) |
| Kentucky Department for Libraries and Archives | PON2 555 1100000027 1 | 45.310 | 6,441 |
| Subtotal Kentucky Department for Libraries and Archives | | | <u>6,358</u> |
| SUBTOTAL 45.310 | | | <u>6,358</u> |
| National Endowment for the Humanities | | | <u>248,935</u> |
| National Science Foundation | | | |
| National Science Foundation | EEC-0851716 | 47.041 | 101,826 |
| National Science Foundation | EEC-1037685 | 47.041 | 10,500 |
| Subtotal National Science Foundation | | | <u>112,326</u> |
| SUBTOTAL 47.041 | | | <u>112,326</u> |
| National Science Foundation | DMS-1040927 | 47.049 | 35,474 |
| Subtotal National Science Foundation | | | <u>35,474</u> |
| SUBTOTAL 47.049 | | | <u>35,474</u> |
| National Science Foundation | EAR-0754153 | 47.050 | 69,496 |
| Subtotal National Science Foundation | | | <u>69,496</u> |
| SUBTOTAL 47.050 | | | <u>69,496</u> |
| Computing Research Association | CIF-B-28 | 47.070 | 103,572 |
| Subtotal Computing Research Association | | | <u>103,572</u> |
| National Science Foundation | CCF-1122298 | 47.070 | 10,000 |
| National Science Foundation | IIS-1107011 | 47.070 | 66,761 |
| Subtotal National Science Foundation | | | <u>76,761</u> |
| SUBTOTAL 47.070 | | | <u>180,333</u> |
| National Science Foundation | DBI-1004931 | 47.074 | 73,107 |
| Subtotal National Science Foundation | | | <u>73,107</u> |
| SUBTOTAL 47.074 | | | <u>73,107</u> |
| National Science Foundation | BCS-1022807 | 47.075 | 7,550 |
| National Science Foundation | BCS-1028828 | 47.075 | 2,259 |
| National Science Foundation | BCS-1029058 | 47.075 | 23,239 |
| National Science Foundation | BCS-1029993 | 47.075 | 9,876 |
| National Science Foundation | BCS-1122343 | 47.075 | 673 |
| National Science Foundation | SES-0849040 | 47.075 | 37,071 |
| Subtotal National Science Foundation | | | <u>80,668</u> |
| SUBTOTAL 47.075 | | | <u>80,668</u> |
| National Science Foundation | DGE-0653710 | 47.076 | 457,775 |
| National Science Foundation | DGE-0750751 | 47.076 | 131,654 |
| National Science Foundation | DUE-0431552 | 47.076 | 209,370 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|---------------------|---------------------------|-------------------------|
| National Science Foundation | DUE-0733790 | 47.076 | 113,863 |
| National Science Foundation | DUE-1022875 | 47.076 | 26,566 |
| National Science Foundation | HRD-0603091 | 47.076 | 506,941 |
| National Science Foundation | REC-0437768 | 47.076 | 534 |
| Subtotal National Science Foundation | | | 1,446,703 |
| Puget Sound Center for Teaching | NSF062009 | 47.076 | 5 |
| Puget Sound Center for Teaching | PSCTLT-SCHEFF-08 | 47.076 | 1,182 |
| Subtotal Puget Sound Center for Teaching | | | 1,187 |
| University of Tennessee | OR1102-001.05 | 47.076 | 6,549 |
| Subtotal University of Tennessee | | | 6,549 |
| SUBTOTAL 47.076 | | | 1,454,439 |
| National Science Foundation | ARC-1025899 | 47.078 | 1,352 |
| Subtotal National Science Foundation | | | 1,352 |
| SUBTOTAL 47.078 | | | 1,352 |
| ARRA-National Science Foundation | EEC-0851708 | 47.082 | 106,568 |
| ARRA-National Science Foundation | EF-0850237 | 47.082 | 23,800 |
| Subtotal ARRA-National Science Foundation | | | 130,368 |
| SUBTOTAL 47.082 | | | 130,368 |
| National Science Foundation | BCS-0920370 | 47.BCS-0920370 | 119 |
| Subtotal National Science Foundation | | | 119 |
| SUBTOTAL 47.BCS-0920370 | | | 119 |
| National Science Foundation | EPS-1036917 | 47.EPS-1036917 | 89,586 |
| Subtotal National Science Foundation | | | 89,586 |
| SUBTOTAL 47.EPS-1036917 | | | 89,586 |
| National Science Foundation | | | 2,227,268 |
| Small Business Administration | | | |
| US Small Business Administration Office of Financial | SBAHQ-06-I-0097 | 59.000 | (4,507) |
| US Small Business Administration Office of Financial | SBAHQ-08-I-0081 | 59.000 | 215,357 |
| Subtotal US Small Business Administration Office of Financial | | | 210,850 |
| SUBTOTAL 59.000 | | | 210,850 |
| US Small Business Administration Office of Financial | 10-603001-Z-0018-29 | 59.037 | 583,806 |
| US Small Business Administration Office of Financial | 1-603001-Z-0018-30 | 59.037 | 877,160 |
| US Small Business Administration Office of Financial | 3-603001-Z-0018-22 | 59.037 | 12,858 |
| US Small Business Administration Office of Financial | 9-603001-Z-0018-29 | 59.037 | 36,766 |
| US Small Business Administration Office of Financial | SBAHQ-08-B-0015 | 59.037 | 79,760 |
| US Small Business Administration Office of Financial | SBAHQ-10-B-0016 | 59.037 | 60,762 |
| Subtotal US Small Business Administration Office of Financial | | | 1,651,112 |
| SUBTOTAL 59.037 | | | 1,651,112 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---|-----------------------|---------------------------|-------------------------|
| Small Business Administration | | | 1,861,962 |
| Environmental Protection Agency | | | |
| Kentucky Cabinet for Health and Family Services | PON2 728 1000004109 1 | 66.032 | 22,686 |
| Subtotal Kentucky Cabinet for Health and Family Services | | | 22,686 |
| Kentucky Department for Public Health | PO2 728 0900023433 1 | 66.032 | (326) |
| Subtotal Kentucky Department for Public Health | | | (326) |
| SUBTOTAL 66.032 | | | 22,360 |
| Kentucky Energy and Environment Cabinet | PO2 128 1000002308 1 | 66.460 | 31,349 |
| Kentucky Energy and Environment Cabinet | PON2 128 1100000652 1 | 66.460 | 26,735 |
| Kentucky Energy and Environment Cabinet | PON2 128 1100000957 1 | 66.460 | 13,919 |
| Subtotal Kentucky Energy and Environment Cabinet | | | 72,003 |
| University of Louisville | G03-1016-03 | 66.460 | 37 |
| Subtotal University of Louisville | | | 37 |
| SUBTOTAL 66.460 | | | 72,040 |
| Kentucky Department of Agriculture | PON2 035 1000003657 5 | 66.605 | 22,572 |
| Subtotal Kentucky Department of Agriculture | | | 22,572 |
| SUBTOTAL 66.605 | | | 22,572 |
| Environmental Protection Agency | X8-95436909-0 | 66.716 | 50,685 |
| Subtotal Environmental Protection Agency | | | 50,685 |
| SUBTOTAL 66.716 | | | 50,685 |
| Environmental Protection Agency | | | 167,657 |
| Department of Energy | | | |
| Kentucky Energy and Environment Cabinet | PO2 127 1000000881 1 | 81.041 | (59) |
| Kentucky Energy and Environment Cabinet | PON2 127 1000003776 1 | 81.041 | 110,793 |
| Subtotal Kentucky Energy and Environment Cabinet | | | 110,734 |
| Kentucky Natural Resources and Environmental Protection Cabinet | M-05353744 | 81.041 | (30) |
| Subtotal Kentucky Natural Resources and Environmental Protection Cabinet | | | (30) |
| SUBTOTAL 81.041 | | | 110,704 |
| ARRA-Arizona Geological Survey | KY-EE0002850 | 81.086 | 185,502 |
| Subtotal ARRA-Arizona Geological Survey | | | 185,502 |
| SUBTOTAL 81.086 | | | 185,502 |
| ARRA-Department of Energy | DE-OE0000409 | 81.122 | 490,027 |
| Subtotal ARRA-Department of Energy | | | 490,027 |
| SUBTOTAL 81.122 | | | 490,027 |
| ARRA-Kentucky Energy and Environment Cabinet | PON2 127 1100001255 1 | 81.PON2 127 1100001255 1 | 120,070 |
| Subtotal ARRA-Kentucky Energy and Environment Cabinet | | | 120,070 |
| SUBTOTAL 81.PON2 127 1100001255 1 | | | 120,070 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---|-----------------------|---------------------------|-------------------------|
| Department of Energy | | | 906,303 |
| Department of Education | | | |
| Kentucky Department of Education | PO2 540 0900024719 | 84.010 | 27,005 |
| Subtotal Kentucky Department of Education | | | 27,005 |
| SUBTOTAL 84.010 | | | 27,005 |
| Department of Education | P016A070023 | 84.016 | (4,099) |
| Subtotal Department of Education | | | (4,099) |
| SUBTOTAL 84.016 | | | (4,099) |
| Department of Education | PON2 540 1000003772 1 | 84.027 | 233,535 |
| Subtotal Department of Education | | | 233,535 |
| Iowa Department of Education | 39807 | 84.027 | (67) |
| Subtotal Iowa Department of Education | | | (67) |
| Kentucky Department of Education | M-06170406 | 84.027 | (40) |
| Kentucky Department of Education | PO2 540 0900024719 | 84.027 | (1,143) |
| Kentucky Department of Education | PO2 540 0900024723 | 84.027 | 217 |
| Kentucky Department of Education | PO2 540 1000000070 1 | 84.027 | (588) |
| Kentucky Department of Education | PO2 540 1000000851 | 84.027 | 12,870 |
| Kentucky Department of Education | PO2 540 1000005625 1 | 84.027 | 105,388 |
| Kentucky Department of Education | PON2 540 1000003653 2 | 84.027 | 287,104 |
| Kentucky Department of Education | PON2 540 1100000598 1 | 84.027 | 41,352 |
| Subtotal Kentucky Department of Education | | | 445,160 |
| SUBTOTAL 84.027 | | | 678,628 |
| Department of Education | P042A051071-09 | 84.042 | 45,136 |
| Department of Education | P042A101248 | 84.042 | 236,057 |
| Subtotal Department of Education | | | 281,193 |
| SUBTOTAL 84.042 | | | 281,193 |
| Kentucky Department of Education | PO2 531 0900023939 1 | 84.048 | (1,242) |
| Kentucky Department of Education | PON2 531 1100000004 1 | 84.048 | 8,831 |
| Subtotal Kentucky Department of Education | | | 7,589 |
| SUBTOTAL 84.048 | | | 7,589 |
| Department of Education | P116M090024 | 84.116 | 35,844 |
| Department of Education | P116P100010 | 84.116 | 5,000 |
| Subtotal Department of Education | | | 40,844 |
| Virginia Polytechnic Institute and State University | 423017-19660 | 84.116 | 5,820 |
| Subtotal Virginia Polytechnic Institute and State University | | | 5,820 |
| SUBTOTAL 84.116 | | | 46,664 |
| Education Cabinet Administrative Services | PO2 531 0800016951 | 84.126 | (1,312) |
| Education Cabinet Administrative Services | PO2 531 0800016955 1 | 84.126 | (383) |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|-----------------------|---------------------------|-------------------------|
| Education Cabinet Administrative Services | PON2 531 1000003595 1 | 84.126 | 145,762 |
| Education Cabinet Administrative Services | PON2 531 1000003599 1 | 84.126 | 308,759 |
| Education Cabinet Administrative Services | PON2 531 1000003880 2 | 84.126 | 140,906 |
| Education Cabinet Administrative Services | PON2 531 1100001124 1 | 84.126 | 9,554 |
| Subtotal Education Cabinet Administrative Services | | | 603,286 |
| Kentucky Office for the Blind | PO2 531 0900023834 | 84.126 | (470) |
| Kentucky Office for the Blind | PON2 531 1000004024 1 | 84.126 | 17,924 |
| Subtotal Kentucky Office for the Blind | | | 17,454 |
| SUBTOTAL 84.126 | | | 620,740 |
| Education Cabinet Administrative Services | PO2 531 0800016951 | 84.128 | 5,851 |
| Education Cabinet Administrative Services | PON2 531 1000003589 1 | 84.128 | 179,922 |
| Subtotal Education Cabinet Administrative Services | | | 185,773 |
| SUBTOTAL 84.128 | | | 185,773 |
| Department of Education | H129B100003 | 84.129 | 143,354 |
| Department of Education | H129E050004 | 84.129 | 11,286 |
| Department of Education | H129E050006-08 | 84.129 | 167,769 |
| Department of Education | H129W050008-08 | 84.129 | 24,901 |
| Department of Education | H129W090005 | 84.129 | 206,419 |
| Subtotal Department of Education | | | 553,729 |
| SUBTOTAL 84.129 | | | 553,729 |
| Kentucky Department of Education | PO2 540 0900024391 | 84.173 | (40) |
| Kentucky Department of Education | PO2 540 0900024392 | 84.173 | (729) |
| Kentucky Department of Education | PON2 540 1000003592 1 | 84.173 | 13,363 |
| Subtotal Kentucky Department of Education | | | 12,594 |
| SUBTOTAL 84.173 | | | 12,594 |
| Kentucky Department of Education | PON2 540 1000003586 1 | 84.180 | 204,564 |
| Subtotal Kentucky Department of Education | | | 204,564 |
| SUBTOTAL 84.180 | | | 204,564 |
| ARRA-Kentucky Department for Public Health | PON2 728 1000004103 2 | 84.181 | 9,013 |
| Subtotal ARRA-Kentucky Department for Public Health | | | 9,013 |
| SUBTOTAL 84.181 | | | 9,013 |
| Reach Out and Read Inc | U215U100003 | 84.215 | 21,762 |
| Subtotal Reach Out and Read Inc | | | 21,762 |
| SUBTOTAL 84.215 | | | 21,762 |
| Kentucky Department of Education | PO 540 1000000763 1 | 84.323 | (1,093) |
| Kentucky Department of Education | PO2 540 1000000851 | 84.323 | 32,694 |
| Kentucky Department of Education | PO2 540 1000003947 1 | 84.323 | 9,208 |
| Kentucky Department of Education | PON2 540 1100000099 | 84.323 | 32,262 |
| Kentucky Department of Education | PON2 540 1100000598 1 | 84.323 | 240,981 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|-----------------------|---------------------------|-------------------------|
| Kentucky Department of Education | PON2 540 1100000908 3 | 84.323 | 64,195 |
| Subtotal Kentucky Department of Education | | | 378,247 |
| University of Tennessee | 14492-001-02 | 84.323 | 54,034 |
| Subtotal University of Tennessee | | | 54,034 |
| SUBTOTAL 84.323 | | | 432,281 |
| Department of Education | R324A090179 | 84.324 | 629,486 |
| Subtotal Department of Education | | | 629,486 |
| SUBTOTAL 84.324 | | | 629,486 |
| Department of Education | H325K090120 | 84.325 | 99,913 |
| Department of Education | H325K090319-11 | 84.325 | 183,328 |
| Subtotal Department of Education | | | 283,241 |
| Kent State University | 441161-P8060935 | 84.325 | 32,813 |
| Subtotal Kent State University | | | 32,813 |
| Special Education and Rehabilitation | H325D050087-08 | 84.325 | 38,173 |
| Special Education and Rehabilitation | H325D080083 | 84.325 | 192,068 |
| Subtotal Special Education and Rehabilitation | | | 230,241 |
| University of North Carolina | 5-54471 H325J070007 | 84.325 | 40,202 |
| Subtotal University of North Carolina | | | 40,202 |
| SUBTOTAL 84.325 | | | 586,497 |
| Department of Education | H326R040004-08 | 84.326 | (16,167) |
| Department of Education | H326R090005 | 84.326 | 1,268,558 |
| Department of Education | H326R090005A | 84.326 | 74 |
| Subtotal Department of Education | | | 1,252,465 |
| Kentucky Department of Education | PO2 540 10000016271 | 84.326 | 36,291 |
| Kentucky Department of Education | PON2 540 1100000745 1 | 84.326 | 106,730 |
| Subtotal Kentucky Department of Education | | | 143,021 |
| University of North Carolina | 20050422-06-UKR | 84.326 | (253) |
| Subtotal University of North Carolina | | | (253) |
| SUBTOTAL 84.326 | | | 1,395,233 |
| Department of Education | H327A070090 | 84.327 | 19,310 |
| Subtotal Department of Education | | | 19,310 |
| University of Oregon | 223071E | 84.327 | 10,293 |
| Subtotal University of Oregon | | | 10,293 |
| SUBTOTAL 84.327 | | | 29,603 |
| Kentucky Department of Education | PO2 540 1000004227 | 84.330 | (2,304) |
| Subtotal Kentucky Department of Education | | | (2,304) |
| SUBTOTAL 84.330 | | | (2,304) |
| Kentucky Department of Education | PO2 540 1000001195 1 | 84.336 | 83,550 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---|-----------------------|---------------------------|-------------------------|
| Kentucky Department of Education | P02 540 1000001196 1 | 84.336 | 64,942 |
| Subtotal Kentucky Department of Education | | | 148,492 |
| SUBTOTAL 84.336 | | | 148,492 |
| VSA Arts | 10-133 | 84.351 | 22,761 |
| Subtotal VSA Arts | | | 22,761 |
| SUBTOTAL 84.351 | | | 22,761 |
| Kentucky Department of Education | P02 540 0900023730 1 | 84.357 | 38,677 |
| Subtotal Kentucky Department of Education | | | 38,677 |
| SUBTOTAL 84.357 | | | 38,677 |
| Kentucky Department of Education | P0N2 540 1000003555 1 | 84.366 | 299,586 |
| Kentucky Department of Education | P02 540 0900024284 1 | 84.366 | 53,462 |
| Kentucky Department of Education | P02 540 0900024291 1 | 84.366 | 87,532 |
| Kentucky Department of Education | P0N2 540 1100000606 1 | 84.366 | 113,188 |
| Kentucky Department of Education | P0N2 540 1100001147 1 | 84.366 | 81,971 |
| Kentucky Department of Education | P0N2 540 1100001148 1 | 84.366 | 90,129 |
| Kentucky Department of Education | P0N2 5401100000592 1 | 84.366 | 116,254 |
| Subtotal Kentucky Department of Education | | | 842,122 |
| SUBTOTAL 84.366 | | | 842,122 |
| Kentucky Council on Postsecondary Ed | P02 415 0900022295 1 | 84.367 | 35,874 |
| Kentucky Council on Postsecondary Ed | P02 415 0900022399 1 | 84.367 | 31,473 |
| Kentucky Council on Postsecondary Ed | P02 415 0900022400 1 | 84.367 | 21,024 |
| Kentucky Council on Postsecondary Ed | P02 415 1000003520 1 | 84.367 | 81,696 |
| Kentucky Council on Postsecondary Ed | P02 415 1000003523 1 | 84.367 | 88,134 |
| Kentucky Council on Postsecondary Ed | P02 415 1100002499 1 | 84.367 | 47,215 |
| Kentucky Council on Postsecondary Ed | P02 415 1100002516 1 | 84.367 | 16,030 |
| Subtotal Kentucky Council on Postsecondary Ed | | | 321,446 |
| SUBTOTAL 84.367 | | | 321,446 |
| Department of Education | H373X070003 | 84.373 | 588,744 |
| Subtotal Department of Education | | | 588,744 |
| University of Guam | 53K610472N53233 | 84.373 | 75,713 |
| Subtotal University of Guam | | | 75,713 |
| University of Minnesota | A001945803 | 84.373 | 424,752 |
| Subtotal University of Minnesota | | | 424,752 |
| SUBTOTAL 84.373 | | | 1,089,209 |
| Kentucky Department of Education | P0N2 540 1100001057 1 | 84.377 | 30,052 |
| Subtotal Kentucky Department of Education | | | 30,052 |
| SUBTOTAL 84.377 | | | 30,052 |
| ARRA-Kentucky Workforce Development Cabinet | P0N2 531 1000003157 | 84.390 | 59,912 |
| Subtotal ARRA-Kentucky Workforce Development Cabinet | | | 59,912 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|--------------------------|---------------------------|-------------------------|
| SUBTOTAL 84.390 | | | 59,912 |
| ARRA-Kentucky Council on Postsecondary Education | SFSF GOVT STIMULUS 10-11 | 84.394 | 17,223,683 |
| Subtotal ARRA-Kentucky Council on Postsecondary Education | | | 17,223,683 |
| SUBTOTAL 84.394 | | | 17,223,683 |
| ARRA-Ohio State University | 60029197 / PO RF01229388 | 84.396 | 78,606 |
| Subtotal ARRA-Ohio State University | | | 78,606 |
| SUBTOTAL 84.396 | | | 78,606 |
| Department of Education | P407A100005 | 84.407 | 179,855 |
| Subtotal Department of Education | | | 179,855 |
| SUBTOTAL 84.407 | | | 179,855 |
| University of California Berkely | 92-KY01 | 84.928 | 8,552 |
| Subtotal University of California Berkely | | | 8,552 |
| SUBTOTAL 84.928 | | | 8,552 |
| Kentucky Department of Education | PON254011000006431 | 84.PON254011000006431 | 1,211 |
| Subtotal Kentucky Department of Education | | | 1,211 |
| SUBTOTAL 84.PON254011000006431 | | | 1,211 |
| Department of Education | | | 25,760,529 |
| Department of Health and Human Services | | | |
| National Committee for the Prevention of Elder Abuse | 90AM3145/01 | 93.048 | 20,471 |
| Subtotal National Committee for the Prevention of Elder Abuse | | | 20,471 |
| SUBTOTAL 93.048 | | | 20,471 |
| Kentucky Department for Public Health | PON2 728 1000003991 3 | 93.092 | 4,004 |
| Subtotal Kentucky Department for Public Health | | | 4,004 |
| SUBTOTAL 93.092 | | | 4,004 |
| University of Louisville | AHEC 2010-2011 | 93.107 | 232,308 |
| University of Louisville | HRSA092009 | 93.107 | 57,065 |
| Subtotal University of Louisville | | | 289,373 |
| SUBTOTAL 93.107 | | | 289,373 |
| Kentucky Department for Public Health | PO2 728 1000004112 3 | 93.110 | 74,189 |
| Subtotal Kentucky Department for Public Health | | | 74,189 |
| The Commission for Children with Special Health Care Needs | P02 767 09000254251 | 93.110 | (1,533) |
| Subtotal The Commission for Children with Special Health Care Needs | | | (1,533) |
| University of Arizona | Y553522 | 93.110 | 21,068 |
| Subtotal University of Arizona | | | 21,068 |
| University of North Carolina | 5-52965 | 93.110 | 11,871 |
| Subtotal University of North Carolina | | | 11,871 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---|------------------------|---------------------------|-------------------------|
| SUBTOTAL 93.110 | | | 105,595 |
| Ace Info Solutions Incorporated | 1113-002-EMP | 93.1113-002-EMP | 4,900 |
| Subtotal Ace Info Solutions Incorporated | | | 4,900 |
| SUBTOTAL 93.1113-002-EMP | | | 4,900 |
| National Institute of Environmental Health Sciences | 1R13ES019778-01 | 93.113 | 4,000 |
| National Institute of Environmental Health Sciences | 2T32ES007266-21 | 93.113 | 172,066 |
| National Institute of Environmental Health Sciences | 5 R25 ES016248-02 | 93.113 | 21,226 |
| National Institute of Environmental Health Sciences | 5 T32 ES007266-18 | 93.113 | (2) |
| National Institute of Environmental Health Sciences | 5 T32 ES007266-19 | 93.113 | (2) |
| National Institute of Environmental Health Sciences | 5 T32 ES007266-20 | 93.113 | (11) |
| Subtotal National Institute of Environmental Health Sciences | | | 197,277 |
| SUBTOTAL 93.113 | | | 197,277 |
| Kentucky Community and Technical College System | HRSA072010 | 93.127 | 26,961 |
| Subtotal Kentucky Community and Technical College System | | | 26,961 |
| SUBTOTAL 93.127 | | | 26,961 |
| Kentucky Cabinet for Health and Family Services | PO2 728 1000003968 1 | 93.130 | 24,978 |
| Subtotal Kentucky Cabinet for Health and Family Services | | | 24,978 |
| SUBTOTAL 93.130 | | | 24,978 |
| National Center for Chronic Diseases | 1U48DP001932-01 | 93.135 | 113,019 |
| National Center for Chronic Diseases | 1U48DP001932-01S1 | 93.135 | 118,135 |
| National Center for Chronic Diseases | 5U48DP001932-02 | 93.135 | 17,950 |
| National Center for Chronic Diseases | 5U48DP001932-02 REV | 93.135 | 261,609 |
| Subtotal National Center for Chronic Diseases | | | 510,713 |
| University of South Florida | 6416-1012-01-A | 93.135 | 3,177 |
| University of South Florida | 6416-1012-11-A | 93.135 | 18,546 |
| Subtotal University of South Florida | | | 21,723 |
| SUBTOTAL 93.135 | | | 532,436 |
| Center for Disease Control and Prevention | 1U17CE001693-01 | 93.136 | 30,911 |
| Center for Disease Control and Prevention | 5 H28 CE000851-05 | 93.136 | 75,017 |
| Center for Disease Control and Prevention | 5 H28 CE000851-06 | 93.136 | 36,193 |
| Center for Disease Control and Prevention | 5U17CE001693-02 | 93.136 | 173,938 |
| Subtotal Center for Disease Control and Prevention | | | 316,059 |
| Kentucky Association of Sexual Assault Programs | KASAP 072010 | 93.136 | 1,453 |
| Kentucky Association of Sexual Assault Programs | KASAP 072011 | 93.136 | 58,195 |
| Subtotal Kentucky Association of Sexual Assault Programs | | | 59,648 |
| SUBTOTAL 93.136 | | | 375,707 |
| American International Health | H-F4-ZAM-08-P-PTR-ZAMI | 93.145 | 17,789 |
| Subtotal American International Health | | | 17,789 |
| Emory University | S292425 | 93.145 | (235) |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|-----------------------|---------------------------|-------------------------|
| Subtotal Emory University | | | (235) |
| SUBTOTAL 93.145 | | | 17,554 |
| Health Resources and Services Administration | 1 H12HA16522-01-00 | 93.153 | 51,907 |
| Health Resources and Services Administration | 5 H12HA16522-02-00 | 93.153 | 277,909 |
| Subtotal Health Resources and Services Administration | | | 329,816 |
| SUBTOTAL 93.153 | | | 329,816 |
| Health Resources and Services Administration | 2H56HP00125-08-00 | 93.165 | 57,142 |
| Health Resources and Services Administration | 5H56HP00125-07-01 | 93.165 | 79,593 |
| Subtotal Health Resources and Services Administration | | | 136,735 |
| SUBTOTAL 93.165 | | | 136,735 |
| National Institute on Deafness and Other Communications | 1F32DC011427-01 | 93.173 | 41,552 |
| Subtotal National Institute on Deafness and Other Communications | | | 41,552 |
| SUBTOTAL 93.173 | | | 41,552 |
| SciMetrika LLC | 200-2009-31909-UKY | 93.200-2009-31909-UKY | 39,803 |
| Subtotal SciMetrika LLC | | | 39,803 |
| SUBTOTAL 93.200-2009-31909-UKY | | | 39,803 |
| National Center for Complementary and Alternative Medicine | 5 R25 AT00682-05 | 93.213 | (917) |
| Subtotal National Center for Complementary and Alternative Medicine | | | (917) |
| SUBTOTAL 93.213 | | | (917) |
| Kentucky Department for Public Health | PON2 728 1000003991 3 | 93.217 | 4,004 |
| Subtotal Kentucky Department for Public Health | | | 4,004 |
| SUBTOTAL 93.217 | | | 4,004 |
| Health Resources and Services Administration | 5 H80CS06650-04 | 93.224 | 7,086 |
| Health Resources and Services Administration | 5 H80CS06650-05 | 93.224 | 418,811 |
| Health Resources and Services Administration | 5 H80CS06650-06-00 | 93.224 | 586,392 |
| Subtotal Health Resources and Services Administration | | | 1,012,289 |
| SUBTOTAL 93.224 | | | 1,012,289 |
| Battelle Memorial Institute | 226848 | 93.226848 | 34,063 |
| Subtotal Battelle Memorial Institute | | | 34,063 |
| SUBTOTAL 93.226848 | | | 34,063 |
| Kentucky Department for Public Health | PON2 728 1000003991 3 | 93.235 | 4,004 |
| Subtotal Kentucky Department for Public Health | | | 4,004 |
| SUBTOTAL 93.235 | | | 4,004 |
| Kentucky Cabinet for Health and Family Services | PO2 728 1000005001 1 | 93.236 | 394,321 |
| Subtotal Kentucky Cabinet for Health and Family Services | | | 394,321 |
| SUBTOTAL 93.236 | | | 394,321 |
| Health Resources and Services Administration | 2 H54RH00053-11-00 | 93.241 | 405,878 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|-----------------------|---------------------------|-------------------------|
| Health Resources and Services Administration | 2 H54TH00053-11-00 | 93.241 | 44,711 |
| Health Resources and Services Administration | 5 H54RH00053-10-00 | 93.241 | 88,660 |
| Subtotal Health Resources and Services Administration | | | 539,249 |
| SUBTOTAL 93.241 | | | 539,249 |
| National Institute of Mental Health | 5 R25 MH079332-02 | 93.242 | 6,084 |
| Subtotal National Institute of Mental Health | | | 6,084 |
| SUBTOTAL 93.242 | | | 6,084 |
| Kentucky Administrative Office of the Courts | PON2 025 1000000797 3 | 93.243 | 156,757 |
| Subtotal Kentucky Administrative Office of the Courts | | | 156,757 |
| Kentucky Department for Behavioral Health, Development and Intellectual Disabilities | PO2 729 0900024367 1 | 93.243 | (56) |
| Kentucky Department for Behavioral Health, Development and Intellectual Disabilities | PO2 729 1000006422 1 | 93.243 | 56,789 |
| Subtotal Kentucky Department for Behavioral Health, Development and Intellectual Disabilities | | | 56,733 |
| Kentucky River Community Care Inc | SAMHSA032011 | 93.243 | 12,622 |
| Subtotal Kentucky River Community Care Inc | | | 12,622 |
| Morehead State University | 2010-11-072-001 | 93.243 | 6,974 |
| Morehead State University | 2010-11-073-001 | 93.243 | 6,854 |
| Subtotal Morehead State University | | | 13,828 |
| Mountain Comprehensive Care Ce | 1H79TI023188-01 | 93.243 | 27,113 |
| Subtotal Mountain Comprehensive Care Ce | | | 27,113 |
| Pennyroyal Regional Mental Health Mental Retardation Board | 999 | 93.243 | 97,939 |
| Subtotal Pennyroyal Regional Mental Health Mental Retardation Board | | | 97,939 |
| Substance Abuse and Mental Health | 5U79SM058230-03 | 93.243 | 148,227 |
| Substance Abuse and Mental Health | 5U79SM058230-04 | 93.243 | 237,870 |
| Substance Abuse and Mental Health | 5U79SM058441-02 | 93.243 | 15,018 |
| Substance Abuse and Mental Health | 5U79SM058441-03 | 93.243 | 62,564 |
| Subtotal Substance Abuse and Mental Health | | | 463,679 |
| Volunteers of America of Los Angeles | VOALA032510 | 93.243 | 3,642 |
| Subtotal Volunteers of America of Los Angeles | | | 3,642 |
| SUBTOTAL 93.243 | | | 832,313 |
| St Elizabeth Medical Center | HHS072008 | 93.252 | 22,035 |
| Subtotal St Elizabeth Medical Center | | | 22,035 |
| SUBTOTAL 93.252 | | | 22,035 |
| Center for Disease Control and Prevention | 5 T01 OH008846-04 | 93.262 | 57 |
| Center for Disease Control and Prevention | 5 T01 OH008846-05 | 93.262 | 38,187 |
| Subtotal Center for Disease Control and Prevention | | | 38,244 |
| National Institute of Occupational Safety and Health | 2U60OH008483-06 | 93.262 | 267,904 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|----------------------------|---------------------------|-------------------------|
| Subtotal National Institute of Occupational Safety and Health | | | 267,904 |
| SUBTOTAL 93.262 | | | 306,148 |
| Health Resources and Services Administration | Health Professions-Nursing | 93.264 | 215,851 |
| Subtotal Health Resources and Services Administration | | | 215,851 |
| SUBTOTAL 93.264 | | | 215,851 |
| National Institute on Alcohol Abuse and Alcoholism | 1F31AA018584-01 | 93.272 | 7,735 |
| National Institute on Alcohol Abuse and Alcoholism | 1F31AA018588-01 | 93.272 | 5,544 |
| National Institute on Alcohol Abuse and Alcoholism | 1F31AA019853 01 | 93.272 | 13,048 |
| National Institute on Alcohol Abuse and Alcoholism | 5F31AA018584-02 | 93.272 | 20,018 |
| National Institute on Alcohol Abuse and Alcoholism | 5F31AA018588-02 | 93.272 | 20,966 |
| Subtotal National Institute on Alcohol Abuse and Alcoholism | | | 67,311 |
| SUBTOTAL 93.272 | | | 67,311 |
| American Academy of Child and Ad | AACAP020210 | 93.279 | 8,658 |
| Subtotal American Academy of Child and Ad | | | 8,658 |
| National Institute on Drug Abuse | 1F31DA029374-01A1 | 93.279 | 31,037 |
| National Institute on Drug Abuse | 1F31DA030061-01A1 | 93.279 | 1,629 |
| National Institute on Drug Abuse | 2T32DA016176-06 | 93.279 | 2,247 |
| National Institute on Drug Abuse | 5F31DA025424-02 | 93.279 | 1,666 |
| National Institute on Drug Abuse | 5F31DA025424-02S1 | 93.279 | 13,303 |
| National Institute on Drug Abuse | 5T32DA007304-13 | 93.279 | 268,990 |
| National Institute on Drug Abuse | 5T32DA016176-07 | 93.279 | 229,585 |
| National Institute on Drug Abuse | 5T32DA022738-04 | 93.279 | 129,904 |
| National Institute on Drug Abuse | 5T32DA022738-05 | 93.279 | 30,926 |
| Subtotal National Institute on Drug Abuse | | | 709,287 |
| SUBTOTAL 93.279 | | | 717,945 |
| Center for Disease Control and Prevention | 1 U58 DP000810-01 | 93.283 | (581) |
| Center for Disease Control and Prevention | 5 U58 DP000810-02 | 93.283 | 1 |
| Center for Disease Control and Prevention | 5U58DP000810-03 | 93.283 | 1,813 |
| Center for Disease Control and Prevention | 5U58DP000810-04 | 93.283 | 1,237,848 |
| Subtotal Center for Disease Control and Prevention | | | 1,239,081 |
| Kentucky Cabinet for Health and Family Services | PO2 728 1000005387 1 | 93.283 | 16,972 |
| Subtotal Kentucky Cabinet for Health and Family Services | | | 16,972 |
| Kentucky Department for Public Health | PO2 728 1000000413 1 | 93.283 | (1,523) |
| Kentucky Department for Public Health | PON2 728 1000003552 3 | 93.283 | 68,305 |
| Kentucky Department for Public Health | PON2 728 1000003841 1 | 93.283 | 10,351 |
| Kentucky Department for Public Health | PON2 728 1000003991 3 | 93.283 | 4,004 |
| Subtotal Kentucky Department for Public Health | | | 81,137 |
| National Center for Chronic Diseases | 1U55DP003027-01 REV | 93.283 | 76,000 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|--------------------------|---------------------------|-------------------------|
| Subtotal National Center for Chronic Diseases | | | 76,000 |
| Ohio State University | RF01188719 60023719 | 93.283 | 8,739 |
| Subtotal Ohio State University | | | 8,739 |
| Public Health Foundation | CDC1U38HM000518-02 | 93.283 | 123,968 |
| Subtotal Public Health Foundation | | | 123,968 |
| University of North Carolina | 5-54129 | 93.283 | 23,715 |
| Subtotal University of North Carolina | | | 23,715 |
| SUBTOTAL 93.283 | | | 1,569,612 |
| Health Resources and Services Administration | 5 H3HRH00046-09-00 | 93.301 | 332,690 |
| Subtotal Health Resources and Services Administration | | | 332,690 |
| SUBTOTAL 93.301 | | | 332,690 |
| Macro International Co | 35127-8P-6959 | 93.35127-8P-6959 | 13,692 |
| Subtotal Macro International Co | | | 13,692 |
| SUBTOTAL 93.35127-8P-6959 | | | 13,692 |
| Health Resources and Services Administration | 2A10HP00215-11-00 | 93.358 | 50,400 |
| Subtotal Health Resources and Services Administration | | | 50,400 |
| SUBTOTAL 93.358 | | | 50,400 |
| Vanderbilt University Office of Contract and Grant Accting | VUMC35255-R | 93.359 | 120,534 |
| Vanderbilt University Office of Contract and Grant Accting | VUMC35826 | 93.359 | 102,711 |
| Subtotal Vanderbilt University Office of Contract and Grant Accting | | | 223,245 |
| SUBTOTAL 93.359 | | | 223,245 |
| National Center for Research Resources | 1G20RR023941-1A1 | 93.389 | (4,950) |
| National Center for Research Resources | 1UL1RR033173-01 | 93.389 | 62,099 |
| Subtotal National Center for Research Resources | | | 57,149 |
| University of Louisville | OGMB090109A1-RS | 93.389 | 83,937 |
| Subtotal University of Louisville | | | 83,937 |
| SUBTOTAL 93.389 | | | 141,086 |
| National Cancer Institute | 5 R01 CA113932-05 Revise | 93.393 | 214,670 |
| National Cancer Institute | 5U01CA114622-05 REV | 93.393 | 124,798 |
| Subtotal National Cancer Institute | | | 339,468 |
| SUBTOTAL 93.393 | | | 339,468 |
| Center for Disease Control and Prevention | 3 U01 DP000251-03W1 | 93.395 | 15,302 |
| Subtotal Center for Disease Control and Prevention | | | 15,302 |
| The Gynecologic Oncology Group | CA27469-30 | 93.395 | 7,082 |
| The Gynecologic Oncology Group | CA27469-31 | 93.395 | 5,919 |
| The Gynecologic Oncology Group | U10CA27469 | 93.395 | 16,132 |
| Subtotal The Gynecologic Oncology Group | | | 29,133 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---|--------------------------|---------------------------|-------------------------|
| SUBTOTAL 93.395 | | | 44,435 |
| National Cancer Institute | 1U54CA153604-01 | 93.397 | 756,999 |
| Subtotal National Cancer Institute | | | 756,999 |
| SUBTOTAL 93.397 | | | 756,999 |
| National Cancer Institute | 1F31CA139956-01 | 93.398 | 4,481 |
| National Cancer Institute | 1R25CA153954-01 | 93.398 | 44,098 |
| National Cancer Institute | 5F31CA139956-02 | 93.398 | 31,814 |
| Subtotal National Cancer Institute | | | 80,393 |
| SUBTOTAL 93.398 | | | 80,393 |
| National Cancer Institute | 3U01CA114622-05S1 | 93.399 | 2,485 |
| National Cancer Institute | 3U01CA114622-05S2 | 93.399 | 5,119 |
| National Cancer Institute | 3U01CA114622-05S4 Revise | 93.399 | 46,089 |
| National Cancer Institute | 5 U01 CA86096-05 | 93.399 | (632) |
| National Cancer Institute | 5U01CA114622-05 REV | 93.399 | 28,048 |
| Subtotal National Cancer Institute | | | 81,109 |
| SUBTOTAL 93.399 | | | 81,109 |
| ARRA-Health Resources and Services Administration | 5 H5BHP16806-02-00 | 93.402 | 18,557 |
| Subtotal ARRA-Health Resources and Services Administration | | | 18,557 |
| SUBTOTAL 93.402 | | | 18,557 |
| ARRA-Health Resources and Services Administration | 1T0AHP18425-01-00 | 93.407 | 191,999 |
| Subtotal ARRA-Health Resources and Services Administration | | | 191,999 |
| SUBTOTAL 93.407 | | | 191,999 |
| ARRA-Health Resources and Services Administration | 1D76HP20869-01-00 | 93.411 | 259,408 |
| Subtotal ARRA-Health Resources and Services Administration | | | 259,408 |
| SUBTOTAL 93.411 | | | 259,408 |
| Food and Drug Administration | 1U18FD003984-01 | 93.449 | 83,834 |
| Subtotal Food and Drug Administration | | | 83,834 |
| SUBTOTAL 93.449 | | | 83,834 |
| Health Resources and Services Administration | 1 UB6HP20155-01-01 | 93.516 | 240,629 |
| Subtotal Health Resources and Services Administration | | | 240,629 |
| SUBTOTAL 93.516 | | | 240,629 |
| Eastern Kentucky University | 451942-10-190 | 93.556 | (1,650) |
| Eastern Kentucky University | 452148-11-133 | 93.556 | 360,278 |
| Eastern Kentucky University | 452148-11-149 | 93.556 | 53,717 |
| Subtotal Eastern Kentucky University | | | 412,345 |
| Kentucky Cabinet for Health and Family Services | P02 736 0800019975 3 | 93.556 | 3,087 |
| Kentucky Cabinet for Health and Family Services | PON2 736 1000003486 1 | 93.556 | 185,897 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|-----------------------|---------------------------|-------------------------|
| Subtotal Kentucky Cabinet for Health and Family Services | | | 188,984 |
| Kentucky Families and Children Cabinet | M-05203447 | 93.556 | (1,326) |
| Subtotal Kentucky Families and Children Cabinet | | | (1,326) |
| SUBTOTAL 93.556 | | | 600,003 |
| Eastern Kentucky University | 451927-10-196 | 93.558 | 432 |
| Eastern Kentucky University | 452120-11-147 | 93.558 | 353 |
| Subtotal Eastern Kentucky University | | | 785 |
| Kentucky Cabinet for Health and Family Services | P02 736 0800017119 1 | 93.558 | 166 |
| Kentucky Cabinet for Health and Family Services | P02 736 0800017495 5 | 93.558 | (14,953) |
| Kentucky Cabinet for Health and Family Services | PON2 736 1000003630 1 | 93.558 | 231,072 |
| Subtotal Kentucky Cabinet for Health and Family Services | | | 216,285 |
| SUBTOTAL 93.558 | | | 217,070 |
| Kentucky Cabinet for Health and Family Services | PO2 727 0800018354 3 | 93.563 | 134 |
| Subtotal Kentucky Cabinet for Health and Family Services | | | 134 |
| SUBTOTAL 93.563 | | | 134 |
| Kentucky Cabinet for Health and Family Services | PO2 736 0800014778 | 93.575 | (810) |
| Kentucky Cabinet for Health and Family Services | PO2 736 0800015167 1 | 93.575 | (7,574) |
| Kentucky Cabinet for Health and Family Services | PO2 736 0800015971 1 | 93.575 | (195) |
| Kentucky Cabinet for Health and Family Services | PON2 736 1000003546 1 | 93.575 | 17,540 |
| Subtotal Kentucky Cabinet for Health and Family Services | | | 8,961 |
| Kentucky Families and Children Cabinet | M-06123325 | 93.575 | (82,890) |
| Subtotal Kentucky Families and Children Cabinet | | | (82,890) |
| SUBTOTAL 93.575 | | | (73,929) |
| Kentucky Cabinet for Health and Family Services | PON2 736 1000003388 1 | 93.596 | 2,673,819 |
| Kentucky Cabinet for Health and Family Services | PON2 736 1000003401 1 | 93.596 | 2,300,261 |
| Subtotal Kentucky Cabinet for Health and Family Services | | | 4,974,080 |
| SUBTOTAL 93.596 | | | 4,974,080 |
| Kentucky Council on Developmental Disabilities | PO2 729 1000004770 2 | 93.630 | 160,974 |
| Subtotal Kentucky Council on Developmental Disabilities | | | 160,974 |
| Kentucky Developmental Disabilities Planning Council | M-06068863 | 93.630 | (1,625) |
| Subtotal Kentucky Developmental Disabilities Planning Council | | | (1,625) |
| SUBTOTAL 93.630 | | | 159,349 |
| Administration for Children and Families | 90DD0653/02 | 93.632 | 3,615 |
| Administration for Children and Families | 90DD0653/03 | 93.632 | 535,777 |
| Subtotal Administration for Children and Families | | | 539,392 |
| SUBTOTAL 93.632 | | | 539,392 |
| ARRA-Macro International Co | 635243-10S-1572 | 93.635243-10S-1572 | 93,978 |
| Subtotal ARRA-Macro International Co | | | 93,978 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---|-----------------------|---------------------------|-------------------------|
| SUBTOTAL 93.635243-10S-1572 | | | 93,978 |
| Administration for Children and Families | 90YE0071/01 | 93.647 | (1) |
| Subtotal Administration for Children and Families | | | (1) |
| SUBTOTAL 93.647 | | | (1) |
| Kentucky Cabinet for Health and Family Services | P02 736 0800021104 1 | 93.652 | (448) |
| Kentucky Cabinet for Health and Family Services | PON2 736 1000003636 2 | 93.652 | 135,714 |
| Subtotal Kentucky Cabinet for Health and Family Services | | | 135,266 |
| SUBTOTAL 93.652 | | | 135,266 |
| Eastern Kentucky University | 451910-10-156 | 93.658 | (5,042) |
| Eastern Kentucky University | 451912-10-170 | 93.658 | 3,243 |
| Eastern Kentucky University | 451913-10-158 | 93.658 | (11) |
| Eastern Kentucky University | 451916-10-157 | 93.658 | 1,974 |
| Eastern Kentucky University | 451916-10-160 | 93.658 | (163) |
| Eastern Kentucky University | 451916-10-161 | 93.658 | (138) |
| Eastern Kentucky University | 451927-10-196 | 93.658 | 1,682 |
| Eastern Kentucky University | 452120-11-147 | 93.658 | 2,710 |
| Eastern Kentucky University | 452126-11-146 | 93.658 | 198,947 |
| Eastern Kentucky University | 452126-11-148 | 93.658 | 117,964 |
| Eastern Kentucky University | 452126-11-150 | 93.658 | 329,674 |
| Eastern Kentucky University | 452127-11-135 | 93.658 | 392,620 |
| Eastern Kentucky University | 452127-11-191 | 93.658 | 7,011 |
| Eastern Kentucky University | 452129-11-136 | 93.658 | 309,316 |
| Eastern Kentucky University | 452143-11-174 | 93.658 | 94,611 |
| Subtotal Eastern Kentucky University | | | 1,454,398 |
| SUBTOTAL 93.658 | | | 1,454,398 |
| Eastern Kentucky University | 452143-11-174 | 93.669 | 59,457 |
| Subtotal Eastern Kentucky University | | | 59,457 |
| Kentucky Cabinet for Health and Family Services | PON2 736 1000003597 1 | 93.669 | 65,039 |
| Kentucky Cabinet for Health and Family Services | PON2 736 1000003597 2 | 93.669 | 13,385 |
| Subtotal Kentucky Cabinet for Health and Family Services | | | 78,424 |
| SUBTOTAL 93.669 | | | 137,881 |
| Administration for Children and Families | 90CA1730/05 | 93.670 | 692,618 |
| Subtotal Administration for Children and Families | | | 692,618 |
| SUBTOTAL 93.670 | | | 692,618 |
| ARRA-Health Resources and Services Administration | 1 H8ACS11378-01-01 | 93.703 | 281,955 |
| ARRA-Health Resources and Services Administration | 1C81CS14302-01-00 | 93.703 | 14,351 |
| ARRA-Health Resources and Services Administration | 1H8BCS12314-01-00 | 93.703 | (483) |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|-----------------------|---------------------------|-------------------------|
| Subtotal ARRA-Health Resources and Services Administration | | | <u>295,823</u> |
| SUBTOTAL 93.703 | | | <u>295,823</u> |
| ARRA-Administration for Children and Families | 90SN0035/01 | 93.711 | 155,892 |
| Subtotal ARRA-Administration for Children and Families | | | <u>155,892</u> |
| SUBTOTAL 93.711 | | | <u>155,892</u> |
| ARRA-Kentucky Cabinet for Health and Family Services | PON2 736 1000003388 1 | 93.713 | 502,031 |
| ARRA-Kentucky Cabinet for Health and Family Services | PON2 736 1000003401 1 | 93.713 | 1,521,115 |
| ARRA-Kentucky Cabinet for Health and Family Services | PON2 736 1000003546 1 | 93.713 | 317,484 |
| Subtotal ARRA-Kentucky Cabinet for Health and Family Services | | | <u>2,340,630</u> |
| SUBTOTAL 93.713 | | | <u>2,340,630</u> |
| ARRA-Kentucky Cabinet for Health and Family Services | PO2 1000004600 1 | 93.717 | 116,466 |
| Subtotal ARRA-Kentucky Cabinet for Health and Family Services | | | <u>116,466</u> |
| SUBTOTAL 93.717 | | | <u>116,466</u> |
| ARRA-HealthBridge | 90RC0025/01-UK | 93.718 | 94,622 |
| Subtotal ARRA-HealthBridge | | | <u>94,622</u> |
| ARRA-Kentucky Cabinet for Health and Family Services | PO2 746 11000003903 1 | 93.718 | 1,608 |
| Subtotal ARRA-Kentucky Cabinet for Health and Family Services | | | <u>1,608</u> |
| ARRA-Office of the National Coordinator for Health Information | 90RC0058/01 | 93.718 | 1,099,474 |
| Subtotal ARRA-Office of the National Coordinator for Health Information | | | <u>1,099,474</u> |
| SUBTOTAL 93.718 | | | <u>1,195,704</u> |
| ARRA-Kentucky Department for Public Health | PON2 728 1000003704 1 | 93.723 | 76,870 |
| Subtotal ARRA-Kentucky Department for Public Health | | | <u>76,870</u> |
| SUBTOTAL 93.723 | | | <u>76,870</u> |
| Kentucky Cabinet for Health and Family Services | PO2 746 1000004229 1 | 93.767 | 48,377 |
| Subtotal Kentucky Cabinet for Health and Family Services | | | <u>48,377</u> |
| Kentucky Department for Medicaid Services | PO2 746 0800015110 1 | 93.767 | (6,762) |
| Subtotal Kentucky Department for Medicaid Services | | | <u>(6,762)</u> |
| SUBTOTAL 93.767 | | | <u>41,615</u> |
| Eastern Kentucky University | 452120-11-147 | 93.778 | 659 |
| Subtotal Eastern Kentucky University | | | <u>659</u> |
| Kentucky Cabinet for Health and Family Services | PO2 746 0800019804 | 93.778 | 82,890 |
| Kentucky Cabinet for Health and Family Services | PO2 746 1000004148 1 | 93.778 | 203,073 |
| Kentucky Cabinet for Health and Family Services | PON2 729 1000003584 1 | 93.778 | 2,644,314 |
| Subtotal Kentucky Cabinet for Health and Family Services | | | <u>2,930,277</u> |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|----------------------|---------------------------|-------------------------|
| Kentucky Department for Behavioral Health, Development and Intellectual Disabilities | PO2 729 0800014071 1 | 93.778 | (1,378) |
| Subtotal Kentucky Department for Behavioral Health, Development and Intellectual Disabilities | | | (1,378) |
| SUBTOTAL 93.778 | | | 2,929,558 |
| Kentucky Cabinet for Health and Family Services | PO2 746 0800019096 2 | 93.791 | (1,125) |
| Kentucky Cabinet for Health and Family Services | PO2 746 1000004157 1 | 93.791 | 871,006 |
| Subtotal Kentucky Cabinet for Health and Family Services | | | 869,881 |
| SUBTOTAL 93.791 | | | 869,881 |
| National Heart Lung and Blood Institute | 1F30HL099272-01 | 93.837 | 21,650 |
| National Heart Lung and Blood Institute | 1F31HL095302-01 | 93.837 | 16 |
| National Heart Lung and Blood Institute | 2T32HL072743-06A1 | 93.837 | (6,119) |
| National Heart Lung and Blood Institute | 5F30HL099272-02 | 93.837 | 3,258 |
| National Heart Lung and Blood Institute | 5F31HL095302-02 | 93.837 | 13,112 |
| National Heart Lung and Blood Institute | 5F32HL095281-02 | 93.837 | 27,685 |
| National Heart Lung and Blood Institute | 5F32HL095281-03 | 93.837 | 21,763 |
| National Heart Lung and Blood Institute | 5T32HL072743-07 | 93.837 | 134,164 |
| National Heart Lung and Blood Institute | 5T32HL091812-02 | 93.837 | 2,982 |
| National Heart Lung and Blood Institute | 5T32HL091812-03 | 93.837 | 227,999 |
| National Heart Lung and Blood Institute | 5T32HL091812-04 | 93.837 | 4,095 |
| National Heart Lung and Blood Institute | HT32HL072743-08 | 93.837 | 10,118 |
| Subtotal National Heart Lung and Blood Institute | | | 460,723 |
| SUBTOTAL 93.837 | | | 460,723 |
| National Heart Lung and Blood Institute | 5T32HL086341-02 | 93.838 | 64,671 |
| National Heart Lung and Blood Institute | 5T32HL086341-03 | 93.838 | 30,243 |
| National Heart Lung and Blood Institute | 5T32HL086341-04 | 93.838 | 25,097 |
| Subtotal National Heart Lung and Blood Institute | | | 120,011 |
| SUBTOTAL 93.838 | | | 120,011 |
| National Institute Diabetes and Kidney | 1F32DK089717-01A1 | 93.847 | 26,768 |
| National Institute Diabetes and Kidney | 2T32DK007778-11 | 93.847 | 106,966 |
| National Institute Diabetes and Kidney | 5 T32 DK007778-10 | 93.847 | (115) |
| National Institute Diabetes and Kidney | 5T32DK007778-10 | 93.847 | 5,606 |
| Subtotal National Institute Diabetes and Kidney | | | 139,225 |
| SUBTOTAL 93.847 | | | 139,225 |
| National Institute of Neurological Disorders and Stroke | 1F31NS067899-01A1 | 93.853 | 33,018 |
| National Institute of Neurological Disorders and Stroke | 1F31NS071804-01A1 | 93.853 | 10,410 |
| National Institute of Neurological Disorders and Stroke | 5F31NS057928-04 | 93.853 | 11,405 |
| Subtotal National Institute of Neurological Disorders and Stroke | | | 54,833 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---|---------------------|---------------------------|-------------------------|
| SUBTOTAL 93.853 | | | 54,833 |
| National Institute of Allergy and Infectious Diseases | 1F32AI081480-01A1 | 93.855 | (3,639) |
| National Institute of Allergy and Infectious Diseases | 1R13AI091316-01 | 93.855 | 10,000 |
| National Institute of Allergy and Infectious Diseases | 5 F32 AI081480-02 | 93.855 | 20,490 |
| Subtotal National Institute of Allergy and Infectious Diseases | | | 26,851 |
| SUBTOTAL 93.855 | | | 26,851 |
| National Institute of General Medical Sciences | 2R13GM058252-11 | 93.859 | 31,530 |
| National Institute of General Medical Sciences | 5R13GM058252-12 | 93.859 | 286,106 |
| National Institute of General Medical Sciences | 5R25GM083835-04 | 93.859 | 112,837 |
| Subtotal National Institute of General Medical Sciences | | | 430,473 |
| SUBTOTAL 93.859 | | | 430,473 |
| National Institute on Aging | 1F32AG037280-01 | 93.866 | 38,817 |
| National Institute on Aging | 5F32AG037280-02 | 93.866 | 8,814 |
| National Institute on Aging | 5T32AG000242-16 | 93.866 | 57,146 |
| National Institute on Aging | 5T32AG000242-17 | 93.866 | 153,515 |
| Subtotal National Institute on Aging | | | 258,292 |
| SUBTOTAL 93.866 | | | 258,292 |
| National Network of Libraries | 00497516 | 93.879 | 26,702 |
| Subtotal National Network of Libraries | | | 26,702 |
| University of Maryland | S01823 | 93.879 | (177) |
| Subtotal University of Maryland | | | (177) |
| SUBTOTAL 93.879 | | | 26,525 |
| Health Resources and Services Administration | 1 D56 HP05235-01-00 | 93.884 | 1,500 |
| Health Resources and Services Administration | 5 D56HP05235-05-00 | 93.884 | (376) |
| Health Resources and Services Administration | 5D57HP10165-06-00 | 93.884 | 23,410 |
| Health Resources and Services Administration | 5D59HP08625-03-02 | 93.884 | 83,262 |
| Health Resources and Services Administration | 6 D56HP05235-06-03 | 93.884 | 145,268 |
| Health Resources and Services Administration | 6 D58HP08307-03-01 | 93.884 | (148) |
| Health Resources and Services Administration | 6D57HP10165-06-01 | 93.884 | 22,962 |
| Subtotal Health Resources and Services Administration | | | 275,878 |
| SUBTOTAL 93.884 | | | 275,878 |
| Health Resources and Services Administration | C76HF15190 | 93.887 | 505,537 |
| Health Resources and Services Administration | C76HF15191 | 93.887 | 1,492,942 |
| Subtotal Health Resources and Services Administration | | | 1,998,479 |
| SUBTOTAL 93.887 | | | 1,998,479 |
| Health Resources and Services Administration | 1 D1BRH20410-01-00 | 93.888 | 553,144 |
| Health Resources and Services Administration | 1D1ARH20134-01-00 | 93.888 | 920,655 |
| Health Resources and Services Administration | 1H1TMC10680-01-00 | 93.888 | (1,595) |
| Health Resources and Services Administration | 6H1TMC1068001-01-03 | 93.888 | (742) |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|---|-----------------------|---------------------------|-------------------------|
| Subtotal Health Resources and Services Administration | | | 1,471,462 |
| Morehead State University | 2008-09-084-001 | 93.888 | 10,000 |
| Subtotal Morehead State University | | | 10,000 |
| SUBTOTAL 93.888 | | | 1,481,462 |
| Kentucky Department for Public Health | PO2 728 0900023601 1 | 93.889 | 12,356 |
| Kentucky Department for Public Health | PON2 728 1000004025 1 | 93.889 | 211,294 |
| Subtotal Kentucky Department for Public Health | | | 223,650 |
| SUBTOTAL 93.889 | | | 223,650 |
| National Institute of Environmental Health Sciences | 5 T32 ES007266-17 | 93.894 | (5,545) |
| Subtotal National Institute of Environmental Health Sciences | | | (5,545) |
| SUBTOTAL 93.894 | | | (5,545) |
| Morehead State University | 2007-08-044-003 | 93.912 | 10,013 |
| Subtotal Morehead State University | | | 10,013 |
| SUBTOTAL 93.912 | | | 10,013 |
| Health Resources and Services Administration | 5H95RH00110-20-00 | 93.913 | 177,152 |
| Subtotal Health Resources and Services Administration | | | 177,152 |
| SUBTOTAL 93.913 | | | 177,152 |
| Emory University | S450426 | 93.917 | 147,120 |
| Emory University | S450427 | 93.917 | 17,395 |
| Subtotal Emory University | | | 164,515 |
| Kentucky Cabinet for Health and Family Services | PO2 0800014245 2 | 93.917 | 4,676 |
| Kentucky Cabinet for Health and Family Services | PON2 728 1000004168 1 | 93.917 | 615,040 |
| Subtotal Kentucky Cabinet for Health and Family Services | | | 619,716 |
| SUBTOTAL 93.917 | | | 784,231 |
| Health Resources and Services Administration | 2 H76HA00719-09-00 | 93.918 | 445,939 |
| Health Resources and Services Administration | 5H76HA00719-10-00 | 93.918 | 126,325 |
| Subtotal Health Resources and Services Administration | | | 572,264 |
| SUBTOTAL 93.918 | | | 572,264 |
| Health Resources and Services Administration | 1T22HA21134-01-00 | 93.924 | 81,932 |
| Subtotal Health Resources and Services Administration | | | 81,932 |
| SUBTOTAL 93.924 | | | 81,932 |
| Health Resources and Services Administration | 1H97HA15254-01-00 | 93.928 | 41,405 |
| Subtotal Health Resources and Services Administration | | | 41,405 |
| SUBTOTAL 93.928 | | | 41,405 |
| Indiana University | IUB-4645713-UKY | 93.939 | 26,240 |
| Subtotal Indiana University | | | 26,240 |
| SUBTOTAL 93.939 | | | 26,240 |
| Cicatelli Associates Incorporated | U62PS002084-CDC | 93.941 | 32,517 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|-----------------------|---------------------------|-------------------------|
| Subtotal Cicatelli Associates Incorporated | | | 32,517 |
| Kentucky Department for Public Health | PO2 728 1000005398 1 | 93.941 | 31,897 |
| Subtotal Kentucky Department for Public Health | | | 31,897 |
| SUBTOTAL 93.941 | | | 64,414 |
| Kentucky Department for Behavioral Health, Development and Intellectual Disabilities | PO2 729 0900024367 1 | 93.958 | (137) |
| Kentucky Department for Behavioral Health, Development and Intellectual Disabilities | PO2 729 1000006422 1 | 93.958 | 134,575 |
| Subtotal Kentucky Department for Behavioral Health, Development and Intellectual Disabilities | | | 134,438 |
| SUBTOTAL 93.958 | | | 134,438 |
| Kentucky Department for Behavioral Health, Development and Intellectual Disabilities | PO2 729 0900024367 1 | 93.959 | (559) |
| Kentucky Department for Behavioral Health, Development and Intellectual Disabilities | PO2 729 1000006422 1 | 93.959 | 648,518 |
| Kentucky Department for Behavioral Health, Development and Intellectual Disabilities | PO2 729 100006422 1 | 93.959 | 42,438 |
| Subtotal Kentucky Department for Behavioral Health, Development and Intellectual Disabilities | | | 690,397 |
| SUBTOTAL 93.959 | | | 690,397 |
| Health Resources and Services Administration | 6 D31HP08831-03-01 | 93.969 | 134,255 |
| Health Resources and Services Administration | 6 UB4HP19051-01-01 | 93.969 | 394,687 |
| Subtotal Health Resources and Services Administration | | | 528,942 |
| SUBTOTAL 93.969 | | | 528,942 |
| Kentucky Department for Public Health | P02 728 0700006983 1 | 93.988 | (5,274) |
| Kentucky Department for Public Health | P02 728 0700006986 1 | 93.988 | (1,303) |
| Subtotal Kentucky Department for Public Health | | | (6,577) |
| SUBTOTAL 93.988 | | | (6,577) |
| Kentucky Cabinet for Health and Family Services | P02 728 0900023451 1 | 93.994 | (445) |
| Kentucky Cabinet for Health and Family Services | P02 728 0900023451 2 | 93.994 | (174) |
| Kentucky Cabinet for Health and Family Services | P02 728 0900023628 1 | 93.994 | (1,271) |
| Kentucky Cabinet for Health and Family Services | PON2 728 1000004107 1 | 93.994 | 223,222 |
| Kentucky Cabinet for Health and Family Services | PON2 728 1000004120 1 | 93.994 | 351,932 |
| Subtotal Kentucky Cabinet for Health and Family Services | | | 573,264 |
| Kentucky Department for Public Health | PO2 728 0900023465 | 93.994 | (33) |
| Kentucky Department for Public Health | PO2 728 0900023629 | 93.994 | (5,695) |
| Kentucky Department for Public Health | PON2 728 1000003594 1 | 93.994 | 108,936 |
| Kentucky Department for Public Health | PON2 728 1000004134 1 | 93.994 | 50,569 |
| Kentucky Department for Public Health | PON2 728 1000004134 2 | 93.994 | 158,924 |
| Subtotal Kentucky Department for Public Health | | | 312,701 |
| SUBTOTAL 93.994 | | | 885,965 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|-----------------------|---------------------------|-------------------------|
| ARRA-Baylor University Medical Center | AHRQ022011 | 93.AHRQ022011 | 16,280 |
| Subtotal ARRA-Baylor University Medical Center | | | 16,280 |
| SUBTOTAL 93.AHRQ022011 | | | 16,280 |
| Food and Drug Administration | HHSF223200840130C | 93.HHSF223200840130C | 36,305 |
| Subtotal Food and Drug Administration | | | 36,305 |
| SUBTOTAL 93.HHSF223200840130C | | | 36,305 |
| National Cancer Institute | HHSN261201000031C | 93.HHSN261201000031C | 1,207,739 |
| Subtotal National Cancer Institute | | | 1,207,739 |
| SUBTOTAL 93.HHSN261201000031C | | | 1,207,739 |
| National Library of Medicine | HHSN276200800558P | 93.HHSN276200800558P | 3,153 |
| Subtotal National Library of Medicine | | | 3,153 |
| SUBTOTAL 93.HHSN276200800558P | | | 3,153 |
| National Library of Medicine | HHSN276200900638P | 93.HHSN276200900638P | 74 |
| Subtotal National Library of Medicine | | | 74 |
| SUBTOTAL 93.HHSN276200900638P | | | 74 |
| National Cancer Institute | N02-CO-51106 | 93.N02-CO-51106 | (16,942) |
| Subtotal National Cancer Institute | | | (16,942) |
| SUBTOTAL 93.N02-CO-51106 | | | (16,942) |
| Department of Health and Human Services | | | 38,410,378 |
| Corporation for National and Community Service | | | |
| Jumpstart For Young Children Inc | 740200 | 94.006 | (174) |
| Subtotal Jumpstart For Young Children Inc | | | (174) |
| SUBTOTAL 94.006 | | | (174) |
| Corporation for National and Community Service | | | (174) |
| Department of Homeland Security | | | |
| Kentucky Department for Public Health | PO2 728 0900024522 1 | 97.002 | 481 |
| Kentucky Department for Public Health | PO2 728 10000004762 1 | 97.002 | 281,419 |
| Subtotal Kentucky Department for Public Health | | | 281,900 |
| SUBTOTAL 97.002 | | | 281,900 |
| National Institute for Hometown Security | 01-09-UK | 97.01-09-UK | 463,697 |
| Subtotal National Institute for Hometown Security | | | 463,697 |
| SUBTOTAL 97.01-09-UK | | | 463,697 |
| Kentucky Department of Military Affairs | P02 095 1000001757 1 | 97.029 | (4,883) |
| Subtotal Kentucky Department of Military Affairs | | | (4,883) |
| SUBTOTAL 97.029 | | | (4,883) |
| National Institute for Hometown Security | 03-07-UK | 97.03-07-UK | 12,469 |
| Subtotal National Institute for Hometown Security | | | 12,469 |
| SUBTOTAL 97.03-07-UK | | | 12,469 |

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

| | Sponsor ID | Federal CFDA Number | Federal Expenditures |
|--|----------------------|---------------------------|-------------------------|
| Kentucky Department of Military Affairs | P02 095 1100002411 1 | 97.039 | 47,307 |
| Kentucky Department of Military Affairs | PO2 095 1000001588 1 | 97.039 | (15,392) |
| Kentucky Department of Military Affairs | PO2 095 1000001757 1 | 97.039 | (43,026) |
| Kentucky Department of Military Affairs | PO2 095 1100002412 1 | 97.039 | 171,797 |
| Subtotal Kentucky Department of Military Affairs | | | 160,686 |
| SUBTOTAL 97.039 | | | 160,686 |
| Federal Emergency Management Agency | EMW-2008-FP-02139 | 97.044 | 25,849 |
| Federal Emergency Management Agency | EMW-2009-FP-00536 | 97.044 | 39,245 |
| Subtotal Federal Emergency Management Agency | | | 65,094 |
| SUBTOTAL 97.044 | | | 65,094 |
| Kentucky Department of Military Affairs | P02 095 1000001757 1 | 97.047 | (3,323) |
| Subtotal Kentucky Department of Military Affairs | | | (3,323) |
| SUBTOTAL 97.047 | | | (3,323) |
| National Institute for Hometown Security | 12-09-UK | 97.12-09-UK | 100,055 |
| Subtotal National Institute for Hometown Security | | | 100,055 |
| SUBTOTAL 97.12-09-UK | | | 100,055 |
| Department of Homeland Security | | | 1,075,695 |
| US Agency for International Development | | | |
| Agency for International Development | 497-G-00-06-00019-00 | 98.005 | 3,514 |
| Subtotal Agency for International Development | | | 3,514 |
| SUBTOTAL 98.005 | | | 3,514 |
| Academy for Educational Development | 4273-UKY-01 | 98.4273-UKY-01 | 224,146 |
| Subtotal Academy for Educational Development | | | 224,146 |
| SUBTOTAL 98.4273-UKY-01 | | | 224,146 |
| US Agency for International Development | | | 227,660 |
| OTHER FEDERAL ASSISTANCE | | | 93,732,485 |
| GRAND TOTAL | | | \$ 457,690,138 |

University of Kentucky
A Component Unit of the Commonwealth of Kentucky
Notes to Schedule of Expenditures of Federal Awards
June 30, 2011

Note 1: Basis of Presentation

The accompanying Schedule of Expenditures of Federal Awards (Schedule) of the University of Kentucky (University) has been prepared in the format as set forth in the U.S. Office of Management and Budget (OMB) Circular A-133, *Audits of States, Local Governments and Non-Profit Organizations*. Therefore, some amounts presented in this Schedule may differ from amounts presented in, or used in the preparation of, the basic financial statements. The purpose of the Schedule is to present a consolidated summary of those expenditures of the University for the year ended June 30, 2011, which has been financed by the U.S. Government (Federal awards). For purposes of the Schedule, Federal awards include all Federal assistance and procurement relationships entered into directly and indirectly between the University and the Federal government and sub-awards from nonfederal organizations made under federally sponsored agreements.

The accounting principles followed by the University and used in preparing the Schedule are as follows:

- The Schedule includes amounts expended by the University and its affiliated corporation, the University of Kentucky Research Foundation, Inc.
- Deductions (expenditures) for direct costs are recognized as incurred using the accrual method of accounting and the cost accounting principles contained in OMB Circular A-21, *Cost Principles for Educational Institutions*. Under those cost principles, certain types of expenditures are not allowable or are limited as to reimbursement. Moreover, expenditures include a portion of costs associated with general University activities (facilities and administrative costs) which are allocated to awards under negotiated formulas commonly referred to as indirect cost rates.

University of Kentucky
A Component Unit of the Commonwealth of Kentucky
Notes to Schedule of Expenditures of Federal Awards
June 30, 2011

Note 2: Subrecipients

Of the federal expenditures presented in the schedule, the University provided federal awards to subrecipients as follows:

| CFDA Description | CFDA Number | Fiscal 2011 Expenditures |
|--|-------------|-----------------------------|
| Agricultural Research Basic and Applied Research | 10.001 | \$ 18,840 |
| Grants for Agricultural Research, Special Research Grants | 10.200 | 111,992 |
| Cooperative Forestry Research | 10.202 | 8,000 |
| Grants for Agricultural Research Competitive Research Grants | 10.206 | 88,480 |
| Biotechnology Risk Assessment Research | 10.219 | 4,823 |
| Homeland Security Agricultural | 10.304 | 9,720 |
| Agriculture and Food Research Initiative (AFR) | 10.310 | 56,363 |
| Beginning Farmer and Rancher Development Program | 10.311 | 9,869 |
| Biomass Research and Development Initiative | 10.312 | 48,837 |
| Cooperative Extension Service | 10.500 | 489,618 |
| Forestry Research | 10.652 | 7,525 |
| Rural Business Enterprise Grants | 10.769 | 8,000 |
| Cochran Fellowship Program -International Training-Foreign | 10.962 | 1,000 |
| US Department of Agriculture Contract | 10.XXX | 20,788 |
| Measurement and Engineering Research and Standards | 11.609 | 25,277 |
| Basic Scientific Research- Combating Weapons of Mass Destruction | 12.351 | 39,100 |
| Military Medical Research and Development | 12.420 | 50,600 |
| Basic Scientific Research | 12.431 | 27,167 |
| Mathematical Sciences Grants Program | 12.901 | 17,133 |
| Department of Defense Contract | 12.XXX | 566,123 |
| Assistance to State Water Resources Research Institutes | 15.805 | 11,418 |
| Department of the Interior Contract | 15.XXX | 18,830 |
| Program for Study of Eastern Europe and the Independent States | 19.300 | 12,218 |
| Highway Planning and Construction | 20.205 | 113,700 |
| Department of Transportation Contract | 20.XXX | 92,060 |
| Aerospace Education Services Program | 43.001 | 24,181 |
| Technology Transfer | 43.002 | 365,066 |
| National Aeronautics Space Commission Contract | 43.XXX | 148,296 |
| Engineering Grants | 47.041 | 39,820 |
| Mathematical and Physical Sciences | 47.049 | 566,870 |
| Computer and Information Science and Engineering | 47.070 | -26,353 |
| Social, Behavioral, and Economic Sciences | 47.075 | 5,250 |
| Education and Human Resources | 47.076 | 348,865 |
| Office of Experimental Program to Stimulate Competitive Research | 47.081 | 1,087,402 |
| TRANS-NSF Recovery Act Research Support | 47.082 | 421,870 |
| Congressionally Mandated Projects | 59.000 | 84,468 |
| Small Business Development Center | 59.037 | 690,914 |
| Science To Achieve Results (STAR) Research Program | 66.509 | 82,017 |

University of Kentucky
A Component Unit of the Commonwealth of Kentucky
Notes to Schedule of Expenditures of Federal Awards
June 30, 2011

| CFDA Description | CFDA Number | Fiscal 2011 Expenditures |
|---|-------------|-----------------------------|
| Office of Science Financial Assistance Program | 81.049 | 633,742 |
| Fossil Energy Research and Development | 81.089 | 1,189,408 |
| Environmental Restoration | 81.092 | 75,600 |
| Energy Efficiency and Renewable Energy Information Dissemina | 81.117 | 177,664 |
| Department of Energy Contract | 81.XXX | 92,751 |
| Adult Education State Grant Program | 84.002 | 41,400 |
| Career and Technical Education - Basic Grants to States | 84.048 | 16,119 |
| Fund for the Improvement of Postsecondary Education | 84.116 | 26,829 |
| Rehabilitation Services Service Projects | 84.128 | 6,374 |
| Rehabilitation Long-Term Training | 84.129 | 2,000 |
| Technology Applications for Individuals with Disabilities | 84.180 | 5,310 |
| Special Education - State Personnel Development | 84.323 | 18,360 |
| Research in Special Education | 84.324 | 274,873 |
| Special Education Personnel Preparation to Improve Services | 84.325 | 52,390 |
| Special Education Technical Assistance and Dissemination | 84.326 | 302,027 |
| Special Education Technology and Media Services for Individuals | 84.327 | 101,179 |
| Teacher Quality Enhancement Grants | 84.336 | 25,236 |
| Reading First State Grants | 84.357 | 49,399 |
| Mathematics and Science Partnerships | 84.366 | 62,078 |
| Improving Teacher Quality State Grants | 84.367 | 133,536 |
| Special Education Technical Assistance on State Data Collections | 84.373 | 584,932 |
| Transition Programs for Students with Intellectual Disabilities | 84.407 | 11,020 |
| Model State-Supported Area Health Education Centers | 93.107 | 227,627 |
| Centers for Research and Demonstration for Health Promotion | 93.135 | 96,853 |
| Injury Prevention and Control Research and State and Community | 93.136 | 126,758 |
| NIEHS Superfund Hazardous Substances Basic Research and Education | 93.143 | 122,432 |
| Grants To States for Loan Repayment Program | 93.165 | 136,735 |
| Research and Training in Complementary and Alternative Medicine | 93.213 | 2,835 |
| Policy Research and Evaluation Grants | 93.239 | 209,247 |
| State Rural Hospital Flexibility Program | 93.241 | 240,633 |
| Mental Health Research Grants | 93.242 | 56,600 |
| Substance Abuse and Mental Health Services Projects of Regional | 93.243 | 18,354 |
| Occupational Safety and Health Program | 93.262 | 173,790 |
| Alcohol Research Programs | 93.273 | 57,038 |
| Drug Abuse and Addiction Research Programs | 93.279 | 714,386 |
| Centers for Disease Control and Prevention Investigations | 93.283 | 35,100 |
| Small Rural Hospital Improvement Grant Program | 93.301 | 289,296 |
| National Center for Research Resources | 93.389 | 192,382 |
| Cancer Cause and Prevention Research | 93.393 | 426,670 |
| Cancer Treatment Research | 93.395 | 127,648 |
| Cancer Centers Support Grants | 93.397 | 848,280 |
| Cancer Control | 93.399 | 79,255 |

University of Kentucky
A Component Unit of the Commonwealth of Kentucky
Notes to Schedule of Expenditures of Federal Awards
June 30, 2011

| CFDA Description | CFDA Number | Fiscal 2011 Expenditures |
|--|-------------|-----------------------------|
| ARRA - State Loan Repayment Program | 93.402 | 18,557 |
| Affordable Care Act (ACA) Public Health Training Centers Program | 93.516 | 54 |
| Temporary Assistance for Needy Families | 93.558 | 649,805 |
| Child Care Mandatory and Matching Funds of the Child Care | 93.596 | 2,102,074 |
| Child Abuse and Neglect Discretionary Activities | 93.670 | 292,984 |
| ARRA-Non-Construction Grants | 93.701 | 2,950,793 |
| ARRA - Child Care and Development Block Grant | 93.713 | 1,438,924 |
| ARRA - Health Information Technology Regional Extension Center | 93.718 | 3,090 |
| Money Follows the Person Rebalancing Demonstration | 93.791 | 41,442 |
| Heart and Vascular Diseases Research | 93.837 | 116,615 |
| Arthritis, Musculoskeletal and Skin Diseases Research | 93.846 | 35,518 |
| Diabetes, Endocrinology and Metabolism Research | 93.847 | 37,778 |
| Extramural Research Programs in the Neurosciences and Neurological | 93.853 | 231,853 |
| Allergy, Immunology and Transplantation Research | 93.855 | 312,112 |
| Biomedical Research and Research Training | 93.859 | 17,267 |
| Child Health and Human Development Extramural Research | 93.865 | 77,168 |
| Aging Research | 93.866 | 2,442,543 |
| Grants for Training in Primary Care Medicine and Dentistry | 93.884 | 24,500 |
| Specially Selected Health Projects | 93.888 | 143,531 |
| National Bioterrorism Hospital Preparedness Program | 93.889 | 110,139 |
| Geriatric Education Centers | 93.969 | 397,662 |
| Department of Health and Human Services Contract | 93.XXX | 1,962,103 |
| Research Projects | 97.002 | 100,908 |
| Department of Homeland Security Contract | 97.XXX | 2,065,602 |
| TOTAL FEDERAL FUNDS PROVIDED TO SUBRECIPIENTS | | <u>\$ 29,333,315</u> |

Note 3: Noncash Financial Assistance

The following loans received by students and outstanding loan balances are included in the Schedule in accordance with OMB Circular A-133, *Audits of States, Local Government and Non-Profit Organizations*.

University of Kentucky
A Component Unit of the Commonwealth of Kentucky
Notes to Schedule of Expenditures of Federal Awards
June 30, 2011

Loans received by students for the year ended June 30, 2011, were as follows:

| | <u>CFDA #</u> | <u>Total</u> |
|------------------------------------|---------------|---------------------|
| Federal Perkins Loan Program | 84.038 | \$ 2,822,602 |
| Health Professions Primary Care | 93.342 | <u>509,735</u> |
| Total noncash financial assistance | | <u>\$ 3,332,337</u> |

Outstanding loan balances at June 30, 2011, were as follows:

| | <u>CFDA #</u> | <u>Total</u> |
|---------------------------------|---------------|----------------------|
| Federal Perkins Loan Program | 84.038 | \$ 18,557,356 |
| Health Professions Primary Care | 93.342 | <u>3,724,822</u> |
| Total student loans outstanding | | <u>\$ 22,282,178</u> |

**Independent Accountants' Report on Internal Control Over Financial Reporting
and on Compliance and Other Matters Based on an Audit of the Financial
Statements Performed in Accordance with
Government Audit Standards**

Board of Trustees
University of Kentucky
Lexington, Kentucky

We have audited the financial statements of the University of Kentucky (University) a component unit of the Commonwealth of Kentucky, as of and for the year ended June 30, 2011, and have issued our report thereon dated September 29, 2011. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. The financial statements of the Kentucky Medical Services Foundation, Inc. (KMSF), a component unit included in the financial statements of the University, were not audited in accordance with *Governmental Auditing Standards*. Other accountants audited the financial statements of KMSF, as described in our report on the University's financial statements. This report does not include the results of the other accountants' testing of internal control over financial reporting or compliance and other matters that are reported on separately by those accountants.

Internal Control Over Financial Reporting

In planning and performing our audit, we considered the University's internal control over financial reporting as a basis for designing our auditing procedures for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the University's internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of the University's internal control over financial reporting.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect and correct misstatements on a timely basis. A material weakness is a deficiency, or a combination of deficiencies, in internal control such that there is a reasonable possibility that a material misstatement of the University's financial statements will not be prevented or detected and corrected on a timely basis.

Our consideration of internal control over financial reporting was for the limited purpose described in the first paragraph of this section and would not necessarily identify all deficiencies in internal control that might be deficiencies, significant deficiencies or material weaknesses. We did not identify any deficiencies in internal control over financial reporting that we consider to be material weaknesses as defined above.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the University's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

We noted certain matters that we reported to the University's management in a separate letter dated September 29, 2011.

This report is intended solely for the information and use of the board of trustees, management and others within the University and federal awarding agencies and pass-through entities and should not be used by anyone other than these specified parties.

BKD, LLP

September 29, 2011

Independent Accountants' Report on Compliance With Requirements That Could Have a Direct and Material Effect on Each Major Program and on Internal Control Over Compliance in Accordance with OMB Circular A-133 and Schedule of Expenditures of Federal Awards

Board of Trustees
University of Kentucky
Lexington, Kentucky

Compliance

We have audited the compliance of the University of Kentucky (University) with the types of compliance requirements described in the U.S. Office of Management and Budget (OMB) Circular A-133 *Compliance Supplement* that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2011. The University's major federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs. Compliance with the requirements of laws, regulations, contracts and grants applicable to each of its major federal programs is the responsibility of the University's management. Our responsibility is to express an opinion on the compliance of the University based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America, the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States and OMB Circular A-133, *Audits of States, Local Governments and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the University's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination on the University's compliance with those requirements.

In our opinion, the University complied, in all material respects, with the compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2011.

Internal Control Over Compliance

The management of the University is responsible for establishing and maintaining effective internal control over compliance with the requirements of laws, regulations, contracts and grants applicable to federal programs. In planning and performing our audit, we considered the University's internal control over compliance with the requirements that could have a direct and material effect on a major federal program in order to determine our auditing procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the University's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. A material weakness in internal control over compliance is a deficiency, or combination of deficiencies, in internal control over compliance such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be deficiencies, significant deficiencies or material weaknesses. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses, as defined above.

Schedule of Expenditures of Federal Awards

We have audited the basic financial statements of the University as of and for the year ended June 30, 2011, and have issued our report thereon dated September 29, 2011, which contained a reference to the report of other accountants. Our audit was performed for the purpose of forming an opinion on the basic financial statements taken as a whole. The accompanying Schedule of Expenditures of Federal Awards is presented for purposes of additional analysis as required by OMB Circular A-133 and is not a required part of the basic financial statements. Such information has been subjected to the auditing procedures applied in the audit of the basic financial statements and, in our opinion, is fairly stated, in all material respects, in relation to the basic financial statements taken as a whole.

This report is intended solely for the information and use of the governing body, management, others within the University, federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

BKD, LLP

September 29, 2011

University of Kentucky
A Component Unit of the Commonwealth of Kentucky
Schedule of Findings and Questioned Costs
Year Ended June 30, 2011

Summary of Auditor's Results

1. The opinion(s) expressed in the independent accountants' report was (were):
(Check each description that applies)
 Unqualified Qualified Adverse Disclaimed

2. The Independent Accountants' Report on Internal Control Over Financial Reporting disclosed:

| | | |
|------------------------------|------------------------------|--|
| Significant deficiency(ies)? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| Material weakness(es)? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |

3. Noncompliance considered material to the financial statements was disclosed by the audit?
 Yes No

4. The Independent Accountants' Report on Internal Control Over Compliance With Requirements That Could Have a Direct and Material Effect on Major Federal Awards Programs disclosed:

| | | |
|------------------------------|------------------------------|--|
| Significant deficiency(ies)? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| Material weakness(es)? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |

5. The opinion(s) expressed in the Independent Accountants' Report on Compliance With Requirements That Could Have a Direct and Material Effect on Major Federal Awards was (were):
(Check each description that applies. If any other than unqualified apply, also list the name of each major program by the type of opinion applicable to that program.)
 Unqualified Qualified Adverse Disclaimed

6. The audit disclosed findings required to be reported by OMB Circular A-133?
 Yes No

University of Kentucky
A Component Unit of the Commonwealth of Kentucky
Schedule of Findings and Questioned Costs (Continued)
Year Ended June 30, 2011

7. The University's major programs were:

| Cluster/Program | CFDA Number |
|---|------------------------------|
| Research and Development | Various |
| ARRA- Child Care and Development Fund Cluster | 93.575, 93.596 and 93.713 |
| ARRA- State Fiscal Stabilization Fund (SFSF) | 84.394 |
| ARRA- NIST Construction Grant Program | 11.618 |

8. The threshold used to distinguish between Type A and Type B programs as those terms are defined in OMB Circular A-133 was \$3,000,000.

9. The University qualified as a low-risk auditee as that term is defined in OMB Circular A-133? Yes No

University of Kentucky
A Component Unit of the Commonwealth of Kentucky
Schedule of Findings and Questioned Costs (Continued)
Year Ended June 30, 2011

Findings Required to be Reported by *Government Auditing Standards*

| Reference Number | Finding | Questioned Costs |
|-----------------------------|----------------|-----------------------------|
|-----------------------------|----------------|-----------------------------|

No matters are reportable.

Findings Required to be Reported by OMB Circular A-133

| Reference Number | Finding | Questioned Costs |
|-----------------------------|----------------|-----------------------------|
|-----------------------------|----------------|-----------------------------|

No matters are reportable.

University of Kentucky
A Component Unit of the Commonwealth of Kentucky
Summary Schedule of Prior Audit Findings
Year Ended June 30, 2010

| Reference Number | Summary of Finding | Status |
|-----------------------------|---------------------------|---------------|
| | None. | |