

**University of Kentucky**  
**A Component Unit of the Commonwealth of Kentucky**  
Single Audit Reports and  
Schedule of Expenditures of Federal Awards  
Year Ended June 30, 2014



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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS  
FOR THE YEAR ENDED JUNE 30, 2014

FEDERAL GRANTOR/PASS-THROUGH GRANTOR/PROGRAM OR CLUSTER TITLE	PASS-THROUGH ENTITY IDENTIFYING NUMBER	CFDA NUMBER	FEDERAL EXPENDITURES
<b>RESEARCH AND DEVELOPMENT CLUSTER</b>			
Agricultural Research Basic and Applied Research		10.001	\$ 867,600
US Department of Agriculture Contract	09-CS-11080226-013	10.09-CS-11080226-013	2,589
US Department of Agriculture Contract	09-CS-11132422-229	10.09-CS-11132422-229	2,300
US Department of Agriculture Contract	10-JV-11330152-119	10.10-JV-11330152-119	25,161
US Department of Agriculture Contract	10-PA-11080222-029	10.10-PA-11080222-029	1,084
US Department of Agriculture Contract	12-CS-11221637-133	10.12-CS-11221637-133	103,329
Federal-State Marketing Improvement Program		10.156	50,139
Through Kentucky Specialty Grains LLC	USDA092013-1	10.170	1,847
Through Kentucky Specialty Grains LLC	USDA092013-2	10.170	2,639
Through Kentucky Department of Agriculture	PON2 035 1100001313	10.170	50
Through Kentucky Department of Agriculture	PON2 035 1100001315	10.170	1,378
Through Kentucky Department of Agriculture	PON2 035 1200000903	10.170	22,027
Through Kentucky Department of Agriculture	PON2 035 1200000905	10.170	5,680
Through Kentucky Department of Agriculture	PON2 035 1200000906	10.170	5,252
Through Kentucky Department of Agriculture	PON2 035 1200000907	10.170	45,312
Through Kentucky Department of Agriculture	PON2 035 1300001314	10.170	38,932
Through Kentucky Department of Agriculture	PON2 035 1400000841	10.170	10,560
Through Iowa State University	416-30-18A	10.170	75,286
Through Brigham Young University	12-0352	10.170	59,314
Through Iowa State University	416-30-05A	10.200	37,787
Grants for Agricultural Research Special Research Grants		10.200	38,058
Cooperative Forestry Research		10.202	577,247
Payments to Agricultural Experiment Stations Under Hatch Act		10.203	6,528,883
Grants for Agricultural Research Competitive Research Grants		10.206	(11,919)
Animal Health and Disease Research		10.207	61,714
Through University of Georgia	RD309-122/4940686	10.215	9,771
Through University of Georgia	RD309-122/4941256	10.215	96,471
Through University of Georgia	RD309-122/4943666	10.215	1,907
Through University of Georgia	RD309-122/4944796	10.215	5,212
Through American Livestock Breeds Conservancy	RD309-117/4893656	10.215	18,939
Through Kentucky State University	2010-38821-21522	10.216	76
Through Purdue University	8000058251-AG	10.217	13,236
Biotechnology Risk Assessment Research		10.219	139,570
Through University of Tennessee	72891	10.302	1,571
Through Washington State University	112890_G002645	10.303	5,055
Through Washington State University	121310_G003264	10.303	27,212
Through University of Florida	UF12235	10.304	17,623
International Science and Education Grants		10.305	646
Through Rutgers University	4826 S1758552 4351510414	10.307	38,716
Through Iowa State University	416-30-06B	10.307	(17,411)
Through West Virginia University	11-708-UKFR	10.310	138,908
Through University of Connecticut	KFS #5614250	10.310	41,008
Through University of California Davis	201015718-14	10.310	72,674
Through Regents of the University of California	S-000480	10.310	7,464
Through Pennsylvania State University	4382-UK-USDA-0017	10.310	43,045
Through Louisiana State University	87288	10.310	1,865
Through Kansas State University	S13166	10.310	67,137
Agriculture and Food Research Initiative (AFR)		10.310	2,903,233
Biomass Research and Development Initiative Competitive Grants		10.312	604,511
Through North Carolina State University	2013-1814-01	10.320	40,090
Through University of Massachusetts	12-006968	10.500	(89)
Through University of Arkansas	21660-21	10.500	4,704
Through Michigan State University	RC103176H	10.500	53,358
Cooperative Extension Service		10.500	530,412
Through Binational Agricultural Research and Development Fund	US-4318-10 R	10.600	30,864
Forestry Research		10.652	75,545
Through Slow the Spread Foundation	12-01-02	10.664	(45)
Through National Fish and Wildlife Foundation	1905.14.041345	10.664	2,578
Forest Health Protection		10.680	56,115
Norman E Borlaug International Agricultural Science and Technology Fellowship		10.777	5,520
Soil and Water Conservation		10.902	(3)
Soil Survey		10.903	12,808
Through University of Tennessee	8500025673	10.912	17,500
Environmental Quality Incentives Program		10.912	105,374
<b>SUBTOTAL DEPARTMENT OF AGRICULTURE</b>			<b>13,725,419</b>
Through Kentucky Highlands Investment Corp	EDA 04-79-06480	11.307	54,858
ARRA-Measurement and Engineering Research and Standards		11.609	40,199
<b>SUBTOTAL DEPARTMENT OF COMMERCE</b>			<b>95,057</b>
Through Enomalies LLC	ONR022014	12.300	17,765
Basic and Applied Scientific Research		12.300	709,531
Through Oak Ridge National Laboratory	4000100727	12.4000100727	2,341
Through Lockheed Martin	41X0316121	12.41X0316121	355,441
Military Medical Research and Development		12.420	935,905
Through Denver Research Institute	005-03	12.420	203,596
Through Tulane University	TUL-590-09/10	12.431	514,243
Basic Scientific Research		12.431	268,831
Through Materials Sciences Corp	5652-SD21-122	12.5652-SD21-122	118,511
Through Materials Sciences Corp	6658-SD26	12.6658-SD26	29,493
Mathematical Sciences Grants Program		12.901	81,745
Through VSee Lab	DOD092009	12.910	22,331
Research and Technology Development		12.910	274,281
Through ObjectVideo Inc	FA8650-12-C-7212	12.FA8650-12-C-7212	81,874
Through Physical Sciences Inc	N00014-13-P-1008	12.N00014-13-P-1008	23,982
Through Spectrum Magnetics LLC	N0001413P1009	12.N0001413P1009	7,635
Through Materials Sciences Corp	PO 2690-DG13	12.PO 2690-DG13	16,309
Through Charles River Analytics Inc	SC1208901	12.SC1208901	90,195
Department of Defense Contract	W9124D-13-P-0349	12.W9124D-13-P-0349	102,976
Department of Defense Contract	W9124D-13-P-0357	12.W9124D-13-P-0357	60,167
Department of Defense Contract	W912P5-14-P-0014	12.W912P5-14-P-0014	17,972
Through Wake Forest University	WFUHS 10183	12.WFUHS 10183	43,824
Through Wake Forest University	WFUHS 330974	12.WFUHS 330974	251,179
<b>SUBTOTAL DEPARTMENT OF DEFENSE</b>			<b>4,230,127</b>
Central Intelligence Agency	2011-11070800001	13.2011-11070800001	68,057
<b>SUBTOTAL CENTRAL INTELLIGENCE AGENCY</b>			<b>68,057</b>
Through Louisville Metro Housing Authority	HUD042013	14.866	60,000
Through Louisville Metro Housing Authority	HUD042014	14.866	17,915
<b>SUBTOTAL DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT</b>			<b>77,915</b>
Through University of Southern Mississippi	USM-GR03958-001	15.021	14,111
Wildland Fire Research and Studies Program		15.232	70,849
Through University of Wyoming	1001541-DAVIS	15.252	272,128
Science and Technology Projects Related to Coal Mining and Reclamation		15.255	61,745
Through Kentucky Tourism Arts and Heritage Cabinet	PON2 660 1200003129	15.611	(138)
Through Kentucky Tourism Arts and Heritage Cabinet	PON2 660 1300002835	15.611	55,000
Through Kentucky Tourism Arts and Heritage Cabinet	PON2 660 1300002872	15.611	93,000
Through Kentucky Tourism Arts and Heritage Cabinet	PON2 660 1300002995	15.611	136,615
Assistance to State Water Resources Research Institutes		15.805	57,371
Earthquake Hazards Reduction Program		15.807	2,695
Through University of Southern California	Y82308	15.808	10,915
U.S. Geological Survey Research and Data Collection		15.808	178,693
National Cooperative Geologic Mapping Program		15.810	210,302
Technical Preservation Services		15.915	48,366
Through Mammoth Cave National Park	H5530060064	15.H5530060064	48
<b>SUBTOTAL DEPARTMENT OF THE INTERIOR</b>			<b>1,211,700</b>

FEDERAL GRANTOR/PASS-THROUGH GRANTOR/PROGRAM OR CLUSTER TITLE	PASS-THROUGH ENTITY	CFDA NUMBER	FEDERAL EXPENDITURES
National Institute of Justice Research Evaluation and Development Project Grants		16.560	\$ 163,292
Through Kentucky Court of Justice	PO2 025 0600004985	16.585	63
Department of Justice Contract	A20TD3041	16.A20TD3041	(106)
Department of Justice Contract	A30TD6067	16.A30TD6067	25,051
<b>SUBTOTAL DEPARTMENT OF JUSTICE</b>			<b>188,300</b>
Through Kentucky Tourism Arts and Heritage Cabinet	M-05463785	17.261	1,186
<b>SUBTOTAL DEPARTMENT OF LABOR</b>			<b>1,186</b>
Through Louisiana Department of Transportation and Development	12-4PF / 30000542	20.200	11,505
Through Louisiana Department of Transportation and Development	14-3PF / 30001422	20.200	9,109
Through State of Alaska Department of Transportation and Public Facilities	025-3-1-020	20.205	491
Through Kentucky Transportation Cabinet	FRT 157	20.205	40,879
Through Kentucky Transportation Cabinet	FRT 158	20.205	29,252
Through Kentucky Transportation Cabinet	FRT 159	20.205	23,270
Through Kentucky Transportation Cabinet	FRT 160	20.205	30,253
Through Kentucky Transportation Cabinet	FRT 179	20.205	28,685
Through Kentucky Transportation Cabinet	FRT 183	20.205	(1,459)
Through Kentucky Transportation Cabinet	FRT 184	20.205	1,632
Through Kentucky Transportation Cabinet	FRT 190	20.205	18,004
Through Kentucky Transportation Cabinet	FRT 191	20.205	73,750
Through Kentucky Transportation Cabinet	FRT 194	20.205	24,514
Through Kentucky Transportation Cabinet	FRT 198	20.205	54,223
Through Kentucky Transportation Cabinet	FRT 199	20.205	66,172
Through Kentucky Transportation Cabinet	FRT 200	20.205	68,437
Through Kentucky Transportation Cabinet	FRT 201	20.205	75,354
Through Kentucky Transportation Cabinet	FRT 2013	20.205	135,038
Through Kentucky Transportation Cabinet	KHIT 58	20.205	24,578
Through Kentucky Transportation Cabinet	LA 11-JLH	20.205	3,139
Through Kentucky Transportation Cabinet	LA 15-DBD	20.205	5,479
Through Kentucky Transportation Cabinet	LA 16-DBD	20.205	40,495
Through Kentucky Transportation Cabinet	LA 25-JLH	20.205	6,868
Through Kentucky Transportation Cabinet	LA 26-JLH	20.205	12,411
Through Kentucky Transportation Cabinet	LA 27-JLH	20.205	4,609
Through Kentucky Transportation Cabinet	LA 28-DBD	20.205	8,391
Through Kentucky Transportation Cabinet	LA 30-JLH	20.205	4,703
Through Kentucky Transportation Cabinet	LA 32-JLH	20.205	12,401
Through Kentucky Transportation Cabinet	LA 37-JLH	20.205	9,045
Through Kentucky Transportation Cabinet	LA 38-JPL	20.205	8,453
Through Kentucky Transportation Cabinet	LA 3-CRS	20.205	29,501
Through Kentucky Transportation Cabinet	TA 2	20.205	8,012
Through Kentucky Transportation Cabinet	TA 45	20.205	24,486
Through ARRA-Kentucky Transportation Cabinet	LA 36-JLH	20.205	17,041
Through Kentucky Transportation Cabinet	RSF 20	20.217	60,068
Through Kentucky Transportation Cabinet	RSF 27	20.217	(653)
Through Kentucky Transportation Cabinet	RSF 30	20.217	153,429
Through Kentucky Transportation Cabinet	RSF 33	20.217	51,162
Through Kentucky Transportation Cabinet	RSF 36	20.217	112,583
Through Kentucky Transportation Cabinet	RSF 38	20.217	76,096
Through Kentucky Transportation Cabinet	RSF 39	20.217	69,337
Through Kentucky Transportation Cabinet	RSF 41	20.217	51,147
Through Kentucky Transportation Cabinet	RSF 43	20.217	10,980
Through Kentucky Transportation Cabinet	RSF 35	20.231	259,192
Through National Waterways Foundation	DOT0313	20.515	134,683
Through Kentucky Transportation Cabinet	KCTRP 2012-2013	20.515	3
Through Kentucky Transportation Cabinet	KYSPR 11-426	20.515	779
Through Kentucky Transportation Cabinet	KYSPR 11-427	20.515	64
Through Kentucky Transportation Cabinet	KYSPR 12-429	20.515	3,089
Through Kentucky Transportation Cabinet	KYSPR 12-431	20.515	398
Through Kentucky Transportation Cabinet	KYSPR 12-434	20.515	9
Through Kentucky Transportation Cabinet	KYSPR 12-435	20.515	36
Through Kentucky Transportation Cabinet	KYSPR 12-437	20.515	33
Through Kentucky Transportation Cabinet	KYSPR 12-438	20.515	27
Through Kentucky Transportation Cabinet	KYSPR 12-445	20.515	22
Through Kentucky Transportation Cabinet	KYSPR 12-447	20.515	3,989
Through Kentucky Transportation Cabinet	KYSPR 12-449	20.515	1,598
Through Kentucky Transportation Cabinet	KYSPR 13-452	20.515	1,533
Through Kentucky Transportation Cabinet	KYSPR 13-456	20.515	1,518
Through Kentucky Transportation Cabinet	KYSPR 13-457	20.515	560
Through Kentucky Transportation Cabinet	KYSPR 13-458	20.515	1,290
Through Kentucky Transportation Cabinet	KYSPR 13-461	20.515	2,364
Through Kentucky Transportation Cabinet	KYSPR 13-463	20.515	1,923
Through Kentucky Transportation Cabinet	KYSPR 13-464	20.515	819
Through Kentucky Transportation Cabinet	KYSPR 13-467	20.515	1,472
Through Kentucky Transportation Cabinet	KYSPR 13-51	20.515	181
Through Kentucky Transportation Cabinet	KYSPR 13-56	20.515	3,648
Through Kentucky Transportation Cabinet	KYSPR 85-107	20.515	1,275
Through Kentucky Transportation Cabinet	PLANNING STUDY 20	20.515	10,472
Through Kentucky Transportation Cabinet	PLANNING STUDY 21	20.515	37,197
Through Kentucky Transportation Cabinet	PLANNING STUDY 22	20.515	23,172
Through Kentucky Transportation Cabinet	PLANNING STUDY 23	20.515	13,818
Through Kentucky Transportation Cabinet	PLANNING STUDY 24	20.515	10,623
Through Kentucky Transportation Cabinet	RSS 16	20.515	103,006
Through Kentucky Transportation Cabinet	SPR 09-379	20.515	19,012
Through Kentucky Transportation Cabinet	SPR 10-395	20.515	4,603
Through Kentucky Transportation Cabinet	SPR 10-408	20.515	17,318
Through Kentucky Transportation Cabinet	SPR 11-416	20.515	10,997
Through Kentucky Transportation Cabinet	SPR 11-423	20.515	13,589
Through Kentucky Transportation Cabinet	SPR 12-429	20.515	21,111
Through Kentucky Transportation Cabinet	SPR 12-431	20.515	20,895
Through Kentucky Transportation Cabinet	SPR 12-435	20.515	78,117
Through Kentucky Transportation Cabinet	SPR 12-436	20.515	11,748
Through Kentucky Transportation Cabinet	SPR 12-437	20.515	71,855
Through Kentucky Transportation Cabinet	SPR 12-445	20.515	39,059
Through Kentucky Transportation Cabinet	SPR 12-447	20.515	49,923
Through Kentucky Transportation Cabinet	SPR 13-452	20.515	19,150
Through Kentucky Transportation Cabinet	SPR 13-453	20.515	34,998
Through Kentucky Transportation Cabinet	SPR 13-454	20.515	74,953
Through Kentucky Transportation Cabinet	SPR 13-455	20.515	41,040
Through Kentucky Transportation Cabinet	SPR 13-456	20.515	49,990
Through Kentucky Transportation Cabinet	SPR 13-458	20.515	64,978
Through Kentucky Transportation Cabinet	SPR 13-459	20.515	21,244
Through Kentucky Transportation Cabinet	SPR 13-460	20.515	60,146
Through Kentucky Transportation Cabinet	SPR 13-461	20.515	71,302
Through Kentucky Transportation Cabinet	SPR 13-462	20.515	60,354
Through Kentucky Transportation Cabinet	SPR 13-463	20.515	43,228
Through Kentucky Transportation Cabinet	SPR 13-464	20.515	17,195
Through Kentucky Transportation Cabinet	SPR 13-465	20.515	59,074
Through Kentucky Transportation Cabinet	SPR 13-466	20.515	48,416
Through Kentucky Transportation Cabinet	SPR 13-467	20.515	16,381
Through Kentucky Transportation Cabinet	SPR 14-468	20.515	30,074
Through Kentucky Transportation Cabinet	SPR 14-469	20.515	57,733
Through Kentucky Transportation Cabinet	SPR 14-470	20.515	50,580
Through Kentucky Transportation Cabinet	SPR 14-471	20.515	59,998
Through Kentucky Transportation Cabinet	SPR 14-472	20.515	4,450
Through Kentucky Transportation Cabinet	SPR 14-473	20.515	56,666
Through Kentucky Transportation Cabinet	SPR 14-474	20.515	44,242
Through Kentucky Transportation Cabinet	SPR 14-475	20.515	70,113

FEDERAL GRANTOR/PASS-THROUGH GRANTOR/PROGRAM OR CLUSTER TITLE	PASS-THROUGH ENTITY IDENTIFYING NUMBER	CFDA NUMBER	FEDERAL EXPENDITURES
Through Kentucky Transportation Cabinet	SPR 14-476	20.515	\$ 49,898
Through Kentucky Transportation Cabinet	SPR 14-477	20.515	47,212
Through Kentucky Transportation Cabinet	SPR 14-478	20.515	62,808
Through Kentucky Transportation Cabinet	SPR 14-479	20.515	48,543
Through Kentucky Transportation Cabinet	SPR 14-480	20.515	64,982
Through Kentucky Transportation Cabinet	SPR 14-481	20.515	64,951
Through Kentucky Transportation Cabinet	SPR 14-482	20.515	42,666
Through Kentucky Transportation Cabinet	SPR 14-483	20.515	84,911
Through Kentucky Transportation Cabinet	SPR 14-484	20.515	22,200
Through Kentucky Transportation Cabinet	SPR 14-485	20.515	30,427
Through Kentucky Transportation Cabinet	SPR 14-486	20.515	68,229
Through Kentucky Transportation Cabinet	SPR 14-487	20.515	16,733
Through Kentucky Transportation Cabinet	SPR 14-488	20.515	39,995
Through Kentucky Transportation Cabinet	SPR 14-489	20.515	64,672
Through Kentucky Transportation Cabinet	SPR 14-490	20.515	47,999
Through Kentucky Transportation Cabinet	SPR 14-491	20.515	41,365
Through Kentucky Transportation Cabinet	SPR 14-492	20.515	32,012
Through Kentucky Transportation Cabinet	SPR 14-51	20.515	80,933
Through Kentucky Transportation Cabinet	SPR 14-56	20.515	155,909
Through Kentucky Transportation Cabinet	SPR 14-57	20.515	34,779
Through Kentucky Transportation Cabinet	SPR 14-58	20.515	103,082
Through Kentucky Transportation Cabinet	SPR 2013-2014	20.515	16
Through Kentucky Transportation Cabinet	SPR 85-107	20.515	120,132
Through Kentucky Transportation Cabinet	K9-13-04	20.600	53,818
Through Kentucky Transportation Cabinet	K9-13-05	20.600	27,834
Through Kentucky Transportation Cabinet	PO2 625 1300001491	20.600	38,027
Through Kentucky Transportation Cabinet	PO2 625 1300001592	20.600	19,896
Through Kentucky Transportation Cabinet	PO2 625 1300001594	20.600	22,251
Through Kentucky Transportation Cabinet	PO2 625 1300001598	20.600	27,934
Through Kentucky Transportation Cabinet	PO2 625 1400000505	20.600	13,822
Through Kentucky Transportation Cabinet	PO2 625 1400002032	20.600	26,686
Through Kentucky Transportation Cabinet	PON2 605 1200003570	20.600	39
Through Kentucky Transportation Cabinet	PON2 605 1400001938	20.600	3,837
Through Kentucky Transportation Cabinet	RSF 14	20.600	917
Through Kentucky Transportation Cabinet	RSF 32	20.600	3,091
Through Kentucky Transportation Cabinet	K9-14-06	20.610	59,020
Through Kentucky Transportation Cabinet	PO2 625 1400001012	20.610	28,269
Through Kentucky Transportation Cabinet	PO2 625 1400001013	20.610	52,879
Through Kentucky Transportation Cabinet	PO2 625 1400001015	20.610	21,544
Through Kentucky Transportation Cabinet	PO2 625 1400002605	20.610	46,869
Through University of Tennessee	13-KY-E1	20.701	430
Through University of Illinois	2012-02061-01	20.701	115,904
Through Marshall University Research Corporation	2012-087/212134	20.701	830,727
Through Marshall University Research Corporation	2013-134/212134	20.701	138,222
Through Texas A&M University	99-S120211	20.99-S120211	32,583
Through National Academy of Sciences	HR 14-30	20.HR 14-30	38,031
Through Kentucky Transportation Cabinet	LA 22-CRS	20.LA 22-CRS	8,519
Through Kentucky Transportation Cabinet	LA 24-MSN	20.LA 24-MSN	110,895
Through Kentucky Transportation Cabinet	LA 31-ABA	20.LA 31-ABA	6,359
<b>SUBTOTAL DEPARTMENT OF TRANSPORTATION</b>			<b>6,579,052</b>
Through Kentucky Tourism Arts and Heritage Cabinet	PO2 850 1300003496	23.002	2,028
Appalachian Research Technical Assistance and Demonstration		23.011	268,246
<b>SUBTOTAL APPALACHIAN REGIONAL COMMISSION</b>			<b>270,274</b>
Through Western Kentucky University	WKURF 516204-08-01	43.001	1,936
Through University of Georgia	RR185-448/4944486	43.001	10,080
Science		43.001	618,429
Aeronautics		43.002	49,945
Education		43.008	820,642
National Aeronautics Space Commission Contract	1401954	43.1401954	29,449
Through Western Kentucky University	596304-10-001	43.596304-10-001	16
Through Space Telescope Science Institute	HST-AR-12639.01-A	43.HST-AR-12639.01-A	27,234
Through Space Telescope Science Institute	HST-AR-13245.01-A	43.HST-AR-13245.01-A	48,750
Through Space Telescope Science Institute	HST-GO-12060.80-A	43.HST-GO-12060.80-A	3,588
Through Space Telescope Science Institute	HST-GO-12309.02-A	43.HST-GO-12309.02-A	1,893
Through Space Telescope Science Institute	HST-GO-12560.01-A	43.HST-GO-12560.01-A	21,440
National Aeronautics Space Commission Contract	NNX10AL96H	43.NNX10AL96H	200,343
National Aeronautics Space Commission Contract	NNX10AN01A	43.NNX10AN01A	239,029
National Aeronautics Space Commission Contract	NNX10AV39A	43.NNX10AV39A	45,297
National Aeronautics Space Commission Contract	NNX14AC93A	43.NNX14AC93A	16,253
Through PC Krause and Associates Inc	PCK_UKY13CC80C_001	43.PCK_UKY13CC80C_001	5,165
<b>SUBTOTAL NATIONAL AERONAUTICS &amp; SPACE ADMINISTRATION</b>			<b>2,139,489</b>
Promotion of the Humanities Fellowships and Stipends		45.160	3
<b>SUBTOTAL NATIONAL ENDOWMENT FOR THE HUMANITIES</b>			<b>3</b>
Through Tribo Flow Separations LLC	IIP-1345473	47.041	47,771
Engineering Grants		47.041	1,390,698
Through University of Louisville	ULRF 11-0817-02	47.049	92,464
Through University of Colorado	1550869	47.049	56,967
Through NACC/University of Washington	PHY-1337542	47.049	3,415
Mathematical and Physical Sciences		47.049	3,177,635
Geosciences		47.050	212,760
Through Consortium for Ocean Leadership	BA-112	47.050	4,406
Through Indiana University	BL-4529718-UK	47.070	192,848
Computer and Information Science and Engineering		47.070	1,242,647
Through BBN Technologies	9500009448	47.070	71,737
Through BBN Technologies	9500012007	47.070	9,302
Through BBN Technologies	9500012008	47.070	34,052
Through BBN Technologies	9500012129	47.070	101,608
Through University of Delaware	27181	47.074	19,687
Through Kansas State University	S12051	47.074	38,818
Through Duke University	14-NSF-1049	47.074	11,608
Through Duke University	EF-0830093	47.074	73,329
Through Council on Undergraduate Research	Q01	47.074	20,596
Biological Sciences		47.074	2,211,183
Social Behavioral and Economic Sciences		47.075	355,158
Through Ohio State University	60027792-01	47.075	37,205
Through University of Louisville	ULRF08-0891-04	47.076	89,110
Through Tennessee Technological University	1022934	47.076	34,374
Through Purdue University	4101-56007	47.076	5,494
Through Puget Sound Center for Teaching	PSCTLT-SCHEFF-08	47.076	5,958
Through Pennsylvania State University	5015-UKRF-NSF-6347	47.076	14,098
Education and Human Resources		47.076	721,956
Office of International and Integrative Activities		47.079	93,691
Office of Cyberinfrastructure		47.080	540,022
Office of Experimental Program to Stimulate Competitive Research		47.081	1,587,902
Through Clemson University	1573-206-2008707	47.081	4,956
ARRA-Trans-NSF Recovery Act Research Support		47.082	299,612
Through ARRA-BBN Technologies	PO # 9500010143	47.082	7,037
National Science Foundation	IIP-1444022	47.IIP-1444022	5,000
<b>SUBTOTAL NATIONAL SCIENCE FOUNDATION</b>			<b>12,815,104</b>

FEDERAL GRANTOR/PASS-THROUGH GRANTOR/PROGRAM OR CLUSTER TITLE	PASS-THROUGH ENTITY IDENTIFYING NUMBER	CFDA NUMBER	FEDERAL EXPENDITURES
Through American Rivers	EPA022013	66.050	\$ 112,407
Through Kentucky Tourism Arts and Heritage Cabinet	PON2 129 1200002602	66.460	(2,338)
Science To Achieve Results (STAR) Research Program		66.509	496,060
Office of Research and Development Consolidated Research/Training/Fellowships		66.511	94,969
P3 Award: National Student Design Competition for Sustainability		66.516	4,370
Environmental Protection Agency Contract	EP-12-D-000386	66.EP-12-D-000386	830
Through Physical Sciences Inc	SC60900-6429-001	66.SC60900-6429-001	19,151
<b>SUBTOTAL ENVIRONMENTAL PROTECTION AGENCY</b>			<b>725,449</b>
Through University of Southern California	Y82308	81.003	42,653
Through Battelle Energy Alliance LLC	0129388	81.0129388	334,949
Through University of Chicago	FP048826	81.049	42,674
Office of Science Financial Assistance Program		81.049	1,651,638
Through Green Technology Limited Company	DE-SC0006378	81.049	33,711
Through Cornell University	SUBAWARD #: 63079-9708	81.049	180,318
Through South Dakota State University	3TD152	81.079	35,422
Through West Virginia University	10-733-UKRF	81.087	318,946
Through South Dakota State University	3TE153	81.087	10,982
Through Virginia Polytechnic Institute and State University	429307-19660	81.089	121,726
Through Novozymes North America Inc	DE-FE0007741	81.089	156,278
Fossil Energy Research and Development		81.089	6,073,579
Through CoalTek Inc	DE-FE0005293	81.089	37,912
Through Center for Advanced Separation	DE-FE0000699	81.089	4,912
Environmental Restoration		81.092	356,043
Through Kentucky Cabinet for Health and Family Services	PO2 728 1200004525	81.104	123,697
Stewardship Science Grant Program		81.112	125,509
Through University of Houston	R-10-0030	81.117	16,802
Through ARRA-University of Illinois	2010-00636-01 A2349	81.132	102,427
Through University of California Berkeley	00007863	81.135	139,316
Through Texas AgriLife Research	06-570792	81.135	122,825
Through Texas AgriLife Research	570792	81.135	2,908
Through ITN Energy Systems Inc	DE-AR0000260	81.135	157,558
Through ARRA-Pennsylvania State University	4199-UK-DOE-0092	81.135	(88)
ARRA-Advanced Research Projects Agency - Energy		81.135	(562)
Through Battelle Memorial Institute	349541	81.349541	61,525
Through Oak Ridge National Laboratory	4000103052	81.4000103052	20,325
Through Oak Ridge National Laboratory	4000103637	81.4000103637	59,617
Through Oak Ridge National Laboratory	4000120600	81.4000120600	994
Through Oak Ridge National Laboratory	4000130203	81.4000130203	13,909
Department of Energy Contract	DE-NT0005988	81.DE-NT0005988	271,763
Through Argonne National Laboratory	OF33642/DEAC0206CH11357	81.OF33642/DEAC0206CH11357	8,694
Through General Motors Research	TCS68344 PR3731RY 001	81.TCS68344 PR3731RY 001	51,038
Through Battelle Memorial Institute	US001-0000378113	81.US001-0000378113	24,678
<b>SUBTOTAL DEPARTMENT OF ENERGY</b>			<b>10,704,678</b>
National Institute on Disability and Rehabilitation Research		84.133	100,133
Through Gallaudet University	0000021918	84.133	20,729
Education Research Development and Dissemination		84.305	361,351
Through Duke University	10-ED-1097	84.305	54,660
Through University of South Carolina	12-2108 PO#42179	84.324	41,809
Research in Special Education		84.324	233,033
Through American Printing House for the Blind	DOE022014	84.327	933
Through Kentucky Department of Education	M-04125856	84.357	57
Through Georgetown College	DOE062013	84.365	67,797
Through Georgetown College	DOE072012	84.365	18
Through Georgetown College	T365Z120064	84.365	15,748
<b>SUBTOTAL DEPARTMENT OF EDUCATION</b>			<b>896,268</b>
Through Ohio State University	60042250 PO RF01347515	93.077	24,452
Through Kentucky River Community Care Inc	ACF021114	93.087	51,457
Through Kentucky River Community Care Inc	ACF022013	93.087	14,029
Through National Institute for Pharmaceutical Technology	NIPTE-U01-KY-001-2012	93.103	2,784
Through National Institute for Pharmaceutical Technology	NIPTE-U01-KY-002-2012	93.103	20,631
Food and Drug Administration Research		93.103	464,495
Through Boulder Nonlinear Systems Inc	DHHS2013	93.103	77,726
Through University of North Carolina	5-37946	93.110	114,086
Through Wayne State University	WSU12085	93.113	47,499
Through Scout Diagnostics LLC	NIEHS112012	93.113	17,461
Environmental Health		93.113	1,821,927
Through Columbia University	4(GG005166)	93.113	(1,368)
Oral Diseases and Disorders Research		93.121	34,386
Through Health Decision Technologies LLC	NIDCR032014	93.121	90,488
Through Four Tigers LLC	NIDCR012014	93.121	67,121
Through Bluegrass Advanced Materials LLC	NIH 112013	93.121	64,743
Injury Prevention and Control Research and State and Community Based Programs		93.136	360,881
Through Macro International Co	13EDSK0105	93.136EDSK0105	20,762
NIEHS Superfund Hazardous Substances Basic Research and Education		93.143	768,400
Through Brown University	00000649	93.143	44,768
Through University of North Carolina	5-32779	93.172	194,931
Through University of Michigan	3001396589	93.173	59,552
Research Related to Deafness and Communication Disorders		93.173	698,333
Through Indiana University	BL-4633516-UK PO#1232663	93.173	22,103
Through Childrens Hospital Medical Center	130990 / PO#3100355367	93.173	97,511
Through Childrens Hospital Medical Center	PO#3100287147 130990	93.173	41,409
Department of Health and Human Services Contract	200-2009-30678	93.200-2009-30678	238,715
Department of Health and Human Services Contract	200-2011-40628	93.200-2011-40628	196,663
Department of Health and Human Services Contract	200-2013-56808	93.200-2013-56808	112,229
Department of Health and Human Services Contract	200-2013-M-55678	93.200-2013-M-55678	33,353
Through St Louis University	208141/039	93.208141/039	335
Through University of Virginia	GC12121 144545	93.211	9,938
Research and Training in Complementary and Alternative Medic		93.213	17,501
Through Ohio State University	60035714 / R01 AT004922	93.213	25,118
Through Naprogenix AgTeCC	NAPROGENIX-UKRF 10-01-10	93.213	19,762
National Center on Sleep Disorders Research		93.233	779,910
Through University of Notre Dame	201705	93.242	38,598
Through The Research Institute at Nationwide Children's Hospital	663412, PO WX00223037	93.242	8,249
Mental Health Research Grants		93.242	677,007
Through Kentucky Cabinet for Health and Family Services	PO2 729 1300005602	93.243	49,485
Through Kentucky Cabinet for Health and Family Services	PON2 729 1200002821	93.243	119,968
Through HOPE Center of Lexington	CSAT-MEN	93.243	24,543
Through Catholic Social Services of the Diocese	5H79SM059210-03	93.243	6,804
Department of Health and Human Services Contract	254-2012-M-53283	93.254-2012-M-53283	13,138
Occupational Safety and Health Program		93.262	1,460,263
Through University of Tennessee	9000010391/9000008357	93.273	9,284
Through University of Mississippi Medical Center	66100080712-UKY01	93.273	26,697
Through University of Georgia	RR274-346/3503288	93.273	14,280
Through Northern Kentucky University	NKU-UKRF-4000644-01	93.273	21,151
Through Naprogenix AgTeCC	2R44AA018266-03A1	93.273	81,273
Through Naprogenix AgTeCC	NAPROGENIX-UKRF 10-1-08	93.273	5,379
Alcohol Research Programs		93.273	2,365,254
Through Kentucky Justice and Public Safety Cabinet	PON2 527 1300001431	93.275	89,300
Through University of South Carolina	14-2449 PO# 81368	93.279	74,595
Through University of Louisville	ULRF 10-0085	93.279	23,833
Through University of Georgia	RR274-332/3506508	93.279	14,020
Through University of Georgia	RR274-381/4785666	93.279	20,033
Through University of Georgia	RR274-403/4895506	93.279	15,667
Through University of Cincinnati	COEUS # 7073	93.279	47,361
Through University of Cincinnati	COEUS # 7073-022	93.279	387,250
Through University of Arkansas	39672	93.279	50,050

FEDERAL GRANTOR/PASS-THROUGH GRANTOR/PROGRAM OR CLUSTER TITLE	PASS-THROUGH ENTITY IDENTIFYING NUMBER	CFDA NUMBER	FEDERAL EXPENDITURES
Through New York University School of Medicine	11-00991/PROJECT # 26550	93.279	\$ 16,880
Through New York University School of Medicine	11-00991; #265504	93.279	19,448
Through Health Decision Technologies LLC	8533-1, 112390-00001-03	93.279	20,476
Drug Abuse and Addiction Research Programs		93.279	11,062,431
Through Boston University	4500000109/4500001301	93.279	97,481
Through AntiOp Inc	NIH052013	93.279	147,657
Through AntiOp Inc	NIH052014	93.279	40,942
Through AllTranz	NIH102012	93.279	(22,772)
Through Alcomed Inc	NIH052012	93.279	40,099
Through Kentucky Cabinet for Health and Family Services	PON2 728 1200002278	93.283	108,939
Discovery and Applied Research for Technological Innovations		93.286	523,999
Through University of North Texas Health Science Center	RN0080-2013-003	93.307	12,180
Minority Health and Health Disparities Research		93.307	734,277
Through Case Western Reserve	RES507038	93.307	55,241
Through University of Michigan	3000691501-RPT	93.310	2,329
Through University of Louisville	ULRF 13-0593	93.310	15,980
Trans-NIH Research Support		93.310	1,777,437
National Center for Advancing Translational Sciences		93.350	3,788,581
Through University of Missouri	C00039341-1	93.361	(7)
Through University of Missouri	C00043419-1	93.361	8,069
Nursing Research		93.361	889,324
Through NACC/University of Washington	737298	93.361	5,629
National Center for Research Resources		93.389	104,521
Through Miami University	HERSHBERGER-NIH-UKRF	93.389	30,564
Through University of Miami	ACCT 662484, PO MR82711	93.393	9,832
Through University of Massachusetts	6114214/RFS900200	93.393	12,709
Cancer Cause and Prevention Research		93.393	1,507,266
Cancer Detection and Diagnosis Research		93.394	784,034
Through University of South Florida	6119-1222-30-AY	93.395	13,299
Through University of South Florida	6145-1028-00-C	93.395	42,065
Through The Gynecologic Oncology Group	27469-060	93.395	112,324
Through St. Jude Childrens Research Hospital	111495030-7481426	93.395	(307)
Through St. Jude Childrens Research Hospital	111495040-7538417	93.395	3,544
Through Southwest Oncology Group	CA32102	93.395	5,203
Through NSABP Foundation	TIND 182	93.395	22,499
Through National Childhood Cancer Foundation	020742	93.395	1,000
Through Exathern LLC	NIH072011	93.395	7,222
Through Childrens Hospital of Philadelphia	FP00013013_SUB101_01	93.395	33,280
Through Childrens Hospital of Philadelphia	FP00013013_SUB477_01	93.395	7,383
Through Childrens Hospital of Philadelphia	FP00013087_SUB100_01	93.395	22,826
Through Childrens Hospital of Philadelphia	FP00013744_SUB171_01	93.395	(2,874)
Cancer Treatment Research		93.395	1,444,619
Through American College of Radiology	0526	93.395	27,078
Cancer Biology Research		93.396	2,590,536
Cancer Centers Support Grants		93.397	1,147,763
Cancer Research Manpower		93.398	185,424
Through Washington University	WU-13-126 PO2917010W	93.399	4,904
Through Southwest Oncology Group	CA37429	93.399	29
Cancer Control		93.399	76,475
Through Marshall Miller & Associates	200-2012-52497	93.521	75,847
Through University of North Texas Health Science Center	RF7032-2013-002	93.531	9,170
Through Kentucky Cabinet for Health and Family Services	PON2 736 1200002220	93.558	5,211,524
Through Eastern Kentucky University	452664-14-140	93.558	34,923
Department of Health and Human Services Contract	5R01AI078756-06	93.5R01AI078756-06	104,224
Through Northwestern University	60028841 UKY	93.60028841 UKY	52,206
Strong Start for Mothers and Newborns		93.611	516,225
Through Kentucky Council on Developmental Disabilities	PON2 729 1200001693	93.630	148,628
Through ARRA-University of Pittsburgh	0015124 PROJECT # 116763	93.701	1,947
ARRA-Non-Construction Grants		93.701	191,498
Through ARRA-University of Texas Health Science Center at San Antonio	00075121	93.728	7,950
Through National Opinion Research Center	7570.UKRF.01	93.7570.UKRF.01	13,198
Through Kentucky Cabinet for Health and Family Services	PO2 746 1400003710	93.767	82,925
Through W-Z Biotech LLC	NIH032013	93.837	39,457
Through W-Z Biotech LLC	NIH052014	93.837	6,213
Through W-Z Biotech LLC	NIH122011	93.837	(16)
Through New York University School of Medicine	10-01073	93.837	8,752
Through Duke Clinical Research Institute	NIH082012	93.837	31,982
Through Carl T. Hayden Medical Research Foundation	R01HL094775	93.837	1,102
Cardiovascular Diseases Research		93.837	4,979,003
Through Brigham and Women's Hospital	107223	93.837	3,031
Through Biomedical Development Corporation	12-0972-F2L	93.837	47,955
Through University of Michigan	3002442423	93.838	89,419
Lung Diseases Research		93.838	716,837
Through Lovelace Biomedical and Environmental Research Institute	JK121185 MOD 1	93.838	16,911
Through Cornell University	R01HL114055	93.838	35,100
Through University of Louisville	ULRF12-1403	93.839	51,324
Through University of Arkansas	40864	93.839	2,777
Through National Marrow Donor Program	0901	93.839	9,009
Blood Diseases and Resources Research		93.839	345,855
Through Wake Forest University	14-004	93.846	36,898
Through Johns Hopkins University	2001073065	93.846	20,788
Through Cytolnformatics LLC	NIH082013	93.846	74,774
Arthritis Musculoskeletal and Skin Diseases Research		93.846	1,975,972
Through Washington University in St Louis	WU-13-238	93.847	99,091
Through University of South Florida	HHSN267200800019C	93.847	113
Through University of North Texas Health Science Center	RN0065-2013-002	93.847	58,092
Through University of North Carolina	5-31706	93.847	7,456
Through Texas A&M Research Foundation	02-S140205	93.847	20,607
Through Lexington Biomedical Research Inst.	NIH062012	93.847	103,270
Through Georgia Regents University	25034-31	93.847	57,392
Through Georgia Regents University	25732-26	93.847	42,153
Diabetes Digestive and Kidney Diseases Extramural Research		93.847	3,913,615
Through Yale University	A09394 (M10A10562)	93.853	10,013
Through Yale University	M07A00656 (A08383)	93.853	56
Through Yale University	M10A10562 (A08580)	93.853	4,956
Through Yale University	M10A10562 (A09014)	93.853	(5,159)
Through University of Pennsylvania	543952	93.853	(512)
Through University of Michigan	3000770498-ALIAS	93.853	37,932
Through University of Michigan	3001300372-PIII	93.853	115,444
Through University of Michigan	3001413335-PNT	93.853	54,077
Through University of Michigan	3001922357-BPT	93.853	82,034
Through University of Michigan	3002112003-SHN	93.853	68,967
Through University of Michigan	3002672039-ISPT	93.853	60
Through University of Michigan	U01NS058967	93.853	109,894
Through Signal Solutions LLC	1R43NS083218-01	93.853	52,099
Extramural Research Programs in the Neurosciences and Neurological Disorders		93.853	6,398,662
Through Washington University	WU-09-57 PO2904914N	93.855	118,654
Through University of South Florida	SUBAWARD #6408-1070-00-A	93.855	6,209
Through University of Pittsburgh	0011210, 115316-1	93.855	280,683
Through University of North Carolina	5-33339	93.855	104,931
Through University of Chicago	37045	93.855	1,144
Through MosquitoMate Inc	NIH102012	93.855	3,014
Through Cornell University	13070868	93.855	17,327
Allergy Immunology and Transplantation Research		93.855	4,309,841
Microbiology and Infectious Diseases Research		93.856	16,625
Through University of Vermont	26835SUB51331	93.859	10,518
Through University of Minnesota	P657611701	93.859	114,146
Through University of Louisville	NO ID	93.859	38,474
Through University of Louisville	ULRF 09-0109D1-08	93.859	216,268
Through Rice University	R22303	93.859	79,875
Through ParaTechs Corp	NIH 092013	93.859	25,692
Biomedical Research and Research Training		93.859	8,001,965
Through Benaroya Research Institute	FY14197601	93.859	26,768

FEDERAL GRANTOR/PASS-THROUGH GRANTOR/PROGRAM OR CLUSTER TITLE	PASS-THROUGH ENTITY IDENTIFYING NUMBER	CFDA NUMBER	FEDERAL EXPENDITURES
Through University of Illinois	2012-06945-01	93.862	32,760
Through University of Illinois	2010-06423-01-00	93.865	18,283
Through University of California San Diego	41567532	93.865	24,988
Through Palo Alto University	BALSAM-R01 HD069370-SCUJ	93.865	9,722
Through Palo Alto University	BALSAM-R01HD069370-SCUJ	93.865	14,081
Child Health and Human Development Extramural Research		93.865	1,541,850
Through Alkermes Inc	NIH082011	93.865	2,445
Through University of Pittsburgh	0010382 (407708-1)	93.866	60,499
Through University of Illinois	2008-03931-06-03	93.866	250,013
Through University of California San Diego	45886762	93.866	15,260
Through University of California San Diego	ADC-030	93.866	18,110
Through University of California San Diego	ADC-031	93.866	(573)
Through University of California San Diego	ADC-037	93.866	3,503
Through University of California San Diego	ADC-039	93.866	121,605
Through University of California San Diego	ADC-046-INI	93.866	18,257
Through University of California San Diego	CSSA 23-UKL-IGIV M-00-AD	93.866	178,488
Through Seattle Institute for Biomedical and Clinical Research	BW22-KENTUCKY 3	93.866	96,231
Through Scout Diagnostics LLC	5R24AG030239-03	93.866	19,541
Through NACC/University of Washington	752713	93.866	24,929
Through CoPlex Therapeutics LLC	NIA112012	93.866	15,790
Aging Research		93.866	8,541,689
Vision Research		93.867	1,824,288
Through University of Dayton Research Institute	RSC12039	93.867	51,837
Through University of Dayton Research Institute	RSC13037	93.867	73,757
Through St Luke's Roosevelt Institute for Health Sciences	NIH052013	93.867	4,090
Through Jaeb Center for Health Research Inc	C_AV511-14	93.867	5,168
Through Jaeb Center for Health Research Inc	CEB_AV511-14	93.867	2,584
Through Rutgers University	8044	93.879	72,654
Through University of North Texas Health Science Center	N-01137	93.941	3,571
Through Kentucky Cabinet for Health and Family Services	PO2 729 1300004828	93.959	847,280
Through Kentucky Cabinet for Health and Family Services	PO2 728 1200004963	93.994	(365)
Department of Health and Human Services Contract	HHSN261201300013I	93.994	1,207,929
Department of Health and Human Services Contract	HHSN271201100710P	93.994	40,123
Through Brigham and Women's Hospital	KY010	93.994	194
Through Naprogenix AgTeCC	NAPROGENIX2013	93.994	64,980
Through Bavarian Nordic	POX-MVA-008	93.994	4,989
<b>SUBTOTAL DEPARTMENT OF HEALTH AND HUMAN SERVICES</b>			<b>94,591,815</b>
Through National Institute for Hometown Security	02-09-UK	97.02-09-UK	700,991
Through National Institute for Hometown Security	02-10-UK	97.02-10-UK	239,359
Through National Institute for Hometown Security	03-09-UK	97.03-09-UK	524,448
Through University of Louisville	ULRF 13-1097-01	97.039	8,499
Through National Institute for Hometown Security	04-09-UK	97.04-09-UK	268,608
Through University of Southern California	33775811 PO 10010594	97.061	2,419
Through University of Southern California	45789417 PO 10137382	97.061	35,184
Through University of Minnesota	P001955409	97.061	175,641
Through Kansas State University	S11199	97.061	(528)
Through National Institute for Hometown Security	12-07-UK	97.12-07-UK	208,102
Through Flashscan 3D	SHHQDC-11-C-00115	97.12-07-UK	879
Through University of Louisville	ULRF 08-0292-01	97.12-07-UK	7,822
Through Western Kentucky University	WKURF 547103-10-001	97.12-07-UK	857
<b>SUBTOTAL DEPARTMENT OF HOMELAND SECURITY</b>			<b>2,172,281</b>
Through DevTech Systems Inc	1096-SC-13-004-00	98.1096-SC-13-004-00	26,288
<b>SUBTOTAL US AGENCY FOR INTERNATIONAL DEVELOPMENT</b>			<b>26,288</b>
<b>TOTAL RESEARCH AND DEVELOPMENT CLUSTER</b>			<b>150,518,462</b>
<b>STUDENT FINANCIAL AID CLUSTER</b>			
Federal Supplemental Educational Opportunity Grants		84.007	437,946
Federal Work-Study Program		84.033	1,117,873
Federal Perkins Loan Cancellations		84.038	25,030,944
Federal Pell Grant Program		84.063	22,486,221
Federal Direct Student Loans		84.268	168,551,011
<b>SUBTOTAL DEPARTMENT OF EDUCATION</b>			<b>217,623,995</b>
Nurse Faculty Loan Program		93.264	147,264
Health Careers Opportunity Program		93.342	5,275,458
<b>SUBTOTAL DEPARTMENT OF HEALTH AND HUMAN SERVICES</b>			<b>5,422,722</b>
<b>TOTAL STUDENT FINANCIAL AID CLUSTER</b>			<b>223,046,717</b>
<b>SNAP CLUSTER</b>			
Through Kentucky Cabinet for Health and Family Services	PO2 736 1300002697	10.561	800,805
Through Kentucky Cabinet for Health and Family Services	PO2 736 1400002244	10.561	2,389,239
<b>SUBTOTAL DEPARTMENT OF AGRICULTURE</b>			<b>3,190,044</b>
<b>TOTAL SNAP CLUSTER</b>			<b>3,190,044</b>
<b>HIGHWAY PLANNING AND CONSTRUCTION CLUSTER</b>			
Through Kentucky Transportation Cabinet	PON2 6051400000496	20.205	12,329
<b>SUBTOTAL DEPARTMENT OF TRANSPORTATION</b>			<b>12,329</b>
<b>TOTAL HIGHWAY PLANNING AND CONSTRUCTION CLUSTER</b>			<b>12,329</b>
<b>HIGHWAY SAFETY CLUSTER</b>			
Through Kentucky Transportation Cabinet	PO2 625 1300001620	20.601	28,416
Through Kentucky Transportation Cabinet	PO2 625 1400001006	20.610	46,999
<b>SUBTOTAL DEPARTMENT OF TRANSPORTATION</b>			<b>75,415</b>
<b>TOTAL HIGHWAY SAFETY CLUSTER</b>			<b>75,415</b>
<b>SPECIAL EDUCATION CLUSTER (IDEA)</b>			
Through Kentucky Education and Workforce Development Cabinet	PON2 540 1200002224	84.027	129,541
Through Kentucky Education and Workforce Development Cabinet	PON2 540 1200002637	84.027	(3,721)
Through Kentucky Education and Workforce Development Cabinet	PON2 540 1300000498	84.027	58,799
Through Kentucky Education and Workforce Development Cabinet	PON2 540 1300002578	84.027	99,687
Through Kentucky Education and Workforce Development Cabinet	PON2 540 1300002844	84.027	232,921
Through Kentucky Education and Workforce Development Cabinet	PON2 540 1300002890	84.027	262,052
Through Kentucky Education and Workforce Development Cabinet	PON2 540 1300003014	84.027	250,393
Through Kentucky Education and Workforce Development Cabinet	PON2 540 1400000467	84.027	250,082
Through Kentucky Education and Workforce Development Cabinet	PON2 540 1300002651	84.027	11,409
Through Georgetown College	PON2 540 1200002926	84.173	110,460
Through Kentucky Education and Workforce Development Cabinet	PON2 540 1300003115	84.173	145,775
<b>SUBTOTAL DEPARTMENT OF EDUCATION</b>			<b>1,547,398</b>
<b>TOTAL SPECIAL EDUCATION CLUSTER (IDEA)</b>			<b>1,547,398</b>
<b>TRIO CLUSTER</b>			
TRIO Student Support Services		84.042	260,605
<b>SUBTOTAL DEPARTMENT OF EDUCATION</b>			<b>260,605</b>
<b>TOTAL TRIO CLUSTER</b>			<b>260,605</b>
<b>SCHOOL IMPROVEMENT GRANTS CLUSTER</b>			
Through Kentucky Education and Workforce Development Cabinet	PON2 540 1200003442	84.377	11,707
Through Kentucky Education and Workforce Development Cabinet	PON2 540 1300002928	84.377	47,097
<b>SUBTOTAL DEPARTMENT OF EDUCATION</b>			<b>58,804</b>
<b>TOTAL SCHOOL IMPROVEMENT GRANTS CLUSTER</b>			<b>58,804</b>
<b>HEALTH CENTERS CLUSTER</b>			
Consolidated Health Centers		93.224	1,394,157
<b>SUBTOTAL DEPARTMENT OF HEALTH AND HUMAN SERVICES</b>			<b>1,394,157</b>
<b>TOTAL HEALTH CENTERS CLUSTER</b>			<b>1,394,157</b>
<b>TANF CLUSTER</b>			
Through Kentucky Cabinet for Health and Family Services	PO2 736 1200004856	93.558	2,261,593
<b>SUBTOTAL DEPARTMENT OF HEALTH AND HUMAN SERVICES</b>			<b>2,261,593</b>
<b>TOTAL TANF CLUSTER</b>			<b>2,261,593</b>
<b>CCDF CLUSTER</b>			
Through University of Delaware	34109	93.575	24,944
Through Kentucky Cabinet for Health and Family Services	PO2 736 1200004968	93.596	2,687,878
<b>SUBTOTAL DEPARTMENT OF HEALTH AND HUMAN SERVICES</b>			<b>2,712,822</b>
<b>TOTAL CCDF CLUSTER</b>			<b>2,712,822</b>



FEDERAL GRANTOR/PASS-THROUGH GRANTOR/PROGRAM OR CLUSTER TITLE	PASS-THROUGH ENTITY IDENTIFYING NUMBER	CFDA NUMBER	FEDERAL EXPENDITURES
<b>MEDICAID CLUSTER</b>			
Through Kentucky Cabinet for Health and Family Services	PON2 729 1200001394	93.778	\$ 2,887,267
<b>SUBTOTAL DEPARTMENT OF HEALTH AND HUMAN SERVICES</b>			<b>2,887,267</b>
<b>TOTAL MEDICAID CLUSTER</b>			<b>2,887,267</b>
<b>OTHER FEDERAL ASSISTANCE</b>			
Through Kentucky Cabinet for Health and Family Services	M-06080751	66.032	29
<b>SUBTOTAL ENVIRONMENTAL PROTECTION AGENCY</b>			<b>29</b>
Agricultural Research Basic and Applied Research		10.001	84,524
Plant and Animal Disease Pest Control and Animal Care		10.025	487,517
Through Kentucky Department of Agriculture	PON2 035 1200003674	10.025	118
Through Kentucky Department of Agriculture	PON2 035 1300002288	10.025	17,400
Through Kentucky Department of Agriculture	PON2 035 1100001314	10.170	(34)
Through Kentucky Department of Agriculture	PON2 035 1200009094	10.170	42,134
Through Kentucky Department of Agriculture	PON2 035 1200009098	10.170	10,223
Through Kentucky Department of Agriculture	PON2 035 1300001315	10.170	14,270
Through University of Florida	1200141260	10.200	4
Through University of Florida	1200141704	10.200	29
Through University of Georgia	RD309-117/4893466	10.215	(1,661)
Through University of Georgia	RD309-122/4941306	10.215	22,000
Through University of Georgia	RD309-125/4944906	10.215	1,317
Through University of Georgia	RE675-116 4892296	10.215	1,375
Through University of Georgia	RE675-116/4892206	10.215	1,212
Through University of Georgia	RE675-167/4940156	10.215	6,548
Through University of Georgia	RE675-167/4941226	10.215	39,000
Through University of Illinois	2012-00593-01	10.250	9,499
Food Assistance and Nutrition Research Programs (FANRP)		10.253	29,934
Through Texas A&M University	451010	10.303	12,202
Through Purdue University	8000035690-AG	10.304	23,994
Through Purdue University	8000057940-AG	10.304	29,788
International Science and Education Grants		10.305	24,420
Through Washington State University	118978 G003158	10.310	12,538
Through University of Wisconsin	210K840; 129200	10.310	10,731
Through University of Tennessee	8500031743	10.310	71,550
Through University of Florida	UF10071	10.310	(2)
Through Texas A&M University	06-S130683	10.310	10,433
Through Texas A&M Research Foundation	99-S140654	10.310	9,335
Through Purdue University	8000041337-AG 2010-38411	10.310	39,096
Beginning Farmer and Rancher Development Program		10.311	160,757
Through Kansas State University	S14028	10.319	49,215
Through Meat Hook LLC	USDA072012	10.352	11,274
Through University of Nebraska - Lincoln	25-6329-0059-808	10.500	18,147
Through University of Nebraska - Lincoln	25-6365-0040-004	10.500	412,517
Through University of Nebraska - Lincoln	25-6365-0040-131	10.500	8,910
Through University of Minnesota	A003899801	10.500	601
Through University of Georgia	RE675-171/4940896	10.500	1,949
Through University of Arkansas	21662-16	10.500	8,386
Through Purdue University	8000053242	10.500	191,142
Through Purdue University	8000059371	10.500	72,016
Through Pennsylvania State University	4907-UKRF-USDA-0946	10.500	13,635
Through National Four H Council	USDA082013	10.500	25,000
Through Mississippi State University	018000.340436.04	10.500	12,081
Through Kansas State University	S13140	10.500	24,888
Through Kansas State University	S14112	10.500	25,243
Cooperative Extension Service		10.500	11,411,560
Through Kentucky Tourism Arts and Heritage Cabinet	PON2 128 1400000416	10.664	10,280
Through Kentucky Energy and Environment Cabinet	PON2 128 1200003171	10.664	(1)
Through Kentucky Energy and Environment Cabinet	PON2 128 1200003271	10.664	30,962
Through Kentucky Energy and Environment Cabinet	PON2 128 1300000194	10.664	(99)
Through Kentucky Energy and Environment Cabinet	PON2 128 1300000851	10.664	31,158
Through Kentucky Energy and Environment Cabinet	PON2 128 1400000134	10.664	31,990
Through Kentucky Energy and Environment Cabinet	PON2 128 1200002731	10.678	14,844
Rural Energy for America Program		10.868	32,348
Soil and Water Conservation		10.902	27,338
Technical Agricultural Assistance		10.960	20,689
Cochran Fellowship Program-International Training-Foreign Participant		10.962	102,162
US Department of Agriculture Contract	AG-3198-B-10-0028	10.AG-3198-B-10-0028	2,041,918
Through Altarum Institute	SC-10-013	10.SC-10-013	16,569
<b>SUBTOTAL DEPARTMENT OF AGRICULTURE</b>			<b>15,816,973</b>
Economic Development Technical Assistance		11.303	125,237
Measurement and Engineering Research and Standards		11.609	24,433
<b>SUBTOTAL DEPARTMENT OF COMMERCE</b>			<b>149,670</b>
Through Kentucky Department of Military Affairs	TASK ORDER #1	12.401	6,499
Through Kentucky Department of Military Affairs	TASK ORDER #2	12.401	104,589
Through Kentucky Department of Military Affairs	TASK ORDER #3	12.401	9,995
Military Medical Research and Development		12.420	24,683
Through Clarksville Montgomery County School System	00207949-000	12.556	49,612
Through Clarksville Montgomery County School System	00207950-000	12.556	16,422
Through Christian County Schools	HE1254-13-1-0023	12.556	7,733
Language Grant Program		12.900	(4,761)
Department of Defense Contract	W912KZ-13-9-0070	12.W912KZ-13-9-0070	61,625
<b>SUBTOTAL DEPARTMENT OF DEFENSE</b>			<b>276,397</b>
Sustainable Communities Regional Planning Grant Program		14.703	148,176
<b>SUBTOTAL DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT</b>			<b>148,176</b>
National Geological and Geophysical Data Preservation Program		15.814	42,791
Through City of Frankfort	FRANK103112	15.904	(1,468)
<b>SUBTOTAL DEPARTMENT OF THE INTERIOR</b>			<b>41,323</b>
Through Kentucky Association of Sexual Assault Programs	KASAP 072012	16.529	752
Through Kentucky Court of Justice	PON2 025 1200002134	16.585	951
Through Kentucky Court of Justice	PON2-025-1200002134	16.585	2,142
Through Mountain Comprehensive Care Center	2011-RN-BX-0009	16.812	11,266
Through Mountain Comprehensive Care Center	2011-RW-BX-0001	16.812	15,374
Through Mountain Comprehensive Care Center	2013-RW-BX-0008	16.812	17,238
Through Kentucky Justice and Public Safety Cabinet	PON2 527 1300000957	16.812	1,339
Through Kentucky Justice and Public Safety Cabinet	PON2 527 1400000317	16.812	43,694
Through Kentucky Justice and Public Safety Cabinet	PON2 527 1400000359	16.812	1,776
Through Chrysalis Inc	BJA102012	16.812	4,391
Department of Justice Contract	DJB0109MF510001	16.DJB0109MF510001	9,255
<b>SUBTOTAL DEPARTMENT OF JUSTICE</b>			<b>108,178</b>
Through Kentucky Community and Technical College System	TC-23843-12-60-A-21	17.282	57,274
Through Kentucky Community and Technical College System	TC-25151-13-60-A-21	17.282	33,846
<b>SUBTOTAL DEPARTMENT OF LABOR</b>			<b>91,120</b>
Environmental and Scientific Partnerships and Programs		19.017	203,669
Through Institute of International Education Inc	STATE122013	19.432	14,864
Public Diplomacy Programs for Afghanistan and Pakistan		19.501	547,794
General Department of State Assistance		19.700	3,883
<b>SUBTOTAL DEPARTMENT OF STATE</b>			<b>770,210</b>
Through National Academy of Sciences	HR 15-32A	20.215	73,627
Through Kentucky Transportation Cabinet	8780701N	20.215	146,048
Through Kentucky Transportation Cabinet	LTAP-4	20.215	158,205
Highway Training and Education		20.215	10,985
<b>SUBTOTAL DEPARTMENT OF TRANSPORTATION</b>			<b>388,865</b>
Through Morehead State University	2012-13-085-001	23.001	312,185
Through Greenup County Health Department	KY-17095-11	23.002	19,718
Appalachian Research Technical Assistance and Demonstration		23.011	358
<b>SUBTOTAL APPALACHIAN REGIONAL COMMISSION</b>			<b>332,261</b>
Intergovernmental Personnel Act (IPA) Mobility Program		27.011	12,464
<b>SUBTOTAL OFFICE OF PERSONNEL MANAGEMENT</b>			<b>12,464</b>
Through Illinois State University	11510-04890009477	42.11510-04890009477	(1)
<b>SUBTOTAL LIBRARY OF CONGRESS</b>			<b>(1)</b>

FEDERAL GRANTOR/PASS-THROUGH GRANTOR/PROGRAM OR CLUSTER TITLE	PASS-THROUGH ENTITY IDENTIFYING NUMBER	CFDA NUMBER	FEDERAL EXPENDITURES
Science		43.001	\$ 4,615
Education		43.008	11,817
National Aeronautics Space Commission Contract	NNX10AV39A	43.NNX10AV39A	736
<b>SUBTOTAL NATIONAL AERONAUTICS &amp; SPACE ADMINISTRATION</b>			<b>17,168</b>
Promotion of the Arts Grants to Organizations and Individuals		45.024	19,905
Promotion of the Humanities Division of Preservation and Access		45.149	66,235
Through Open Knowledge Commons	HUBS_KEN-NEH_001_2012		591
Conservation Project Support		45.303	17,319
Through Saint Johns University	VHMML-002		23,686
National Leadership Grants		45.312	101,981
<b>SUBTOTAL NATIONAL ENDOWMENT FOR THE HUMANITIES</b>			<b>229,717</b>
Small Business Development Centers		59.037	1,720,006
<b>SUBTOTAL SMALL BUSINESS ADMINISTRATION</b>			<b>1,720,006</b>
Through Kentucky Cabinet for Health and Family Services	PO2 728 1400003642		20,528
Urban Waters Small Grants		66.440	14,098
Through Watershed Watch in Kentucky Inc	EPA022014		19,981
Through Kentucky Energy and Environment Cabinet	PO2 129 0900025111		243,455
Through Kentucky Energy and Environment Cabinet	PON2 128 1100000957		3,590
Through Kentucky Energy and Environment Cabinet	PON2 128 1200000603		1
Through Kentucky Energy and Environment Cabinet	PON2 128 1300000613		55,171
Through Kentucky Energy and Environment Cabinet	PON2 128 1300001321		58,813
Through Kentucky Department of Agriculture	PON2 035 1200002554		27,506
<b>SUBTOTAL ENVIRONMENTAL PROTECTION AGENCY</b>			<b>443,143</b>
Through Kentucky Energy and Environment Cabinet	PON2 127 1200001650	81.041	(15)
Through ARRA-Arizona Geological Survey	KY-EE0002850	81.086	62,809
Through University of Central Florida	UCF01-0000280883	81.087	25,664
Fossil Energy Research and Development		81.089	1,337,763
Energy Efficiency and Renewable Energy Information Dissemination Outreach Training and Technical Analysis/Assistance		81.117	221,051
Through Kentucky Department of Local Government	PON2 112 1400000339	81.119	30,383
ARRA-Electricity Delivery and Energy Reliability Research Development and Analysis		81.122	506,045
Through Sandia National Laboratories	1343014	81.1343014	17,616
<b>SUBTOTAL DEPARTMENT OF ENERGY</b>			<b>2,201,316</b>
Through Kentucky Council on Postsecondary Education	PO2 415 1200000900	84.002	(1,382)
Through Kentucky Council on Postsecondary Education	PO2 415 1200006998	84.002	670
Through Kentucky Education and Workforce Development Cabinet	PON2 540 1300001933	84.048	103
Through Kentucky Education and Workforce Development Cabinet	PON2 540 1300002711	84.048	5,865
Through Kentucky Education and Workforce Development Cabinet	PON2 540 1400002035	84.048	2,616
Through Kentucky Education and Workforce Development Cabinet	PON2 531 1200003944	84.051	(192)
Fund for the Improvement of Postsecondary Education		84.116	103,091
Through Kentucky Education and Workforce Development Cabinet	PON2 531 1200002711	84.126	497,757
Through Kentucky Education and Workforce Development Cabinet	PON2 531 1200003951	84.126	150,364
Through Kentucky Education and Workforce Development Cabinet	PON2 531 1200003953	84.126	137,728
Through Kentucky Education and Workforce Development Cabinet	PON2 531 1200003954	84.126	32,925
Through Kentucky Cabinet for Health and Family Services	PON2 531 1300002832	84.126	17,176
Rehabilitation Long-Term Training		84.129	303,434
Safe and Drug-Free Schools and Communities National Programs		84.184	630
Through University of Tennessee	14492-001-02	84.323	72,955
Through University of Louisville	ULRF 14-0745-02	84.323	7,098
Through Kentucky Education and Workforce Development Cabinet	PON2 540 1300001408	84.323	87,535
Through Kentucky Education and Workforce Development Cabinet	PON2 540 1400000509	84.323	59,791
Through Kentucky Education and Workforce Development Cabinet	PON2 540 1400000548	84.323	10,638
Through Kentucky Education and Workforce Development Cabinet	PON2 540 1400000564	84.323	271,813
Through Kentucky Education and Workforce Development Cabinet	PON2 540 1400000599	84.323	11,571
Research in Special Education		84.324	260,800
Through University of North Carolina	5-54471 H325J070007	84.325	39,186
Special Education Personnel Development to Improve Services		84.325	395,644
Through Eastern Kentucky University	452764-14-232	84.325	98,245
Special Education Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities		84.326	1,195,025
Through Kentucky Education and Workforce Development Cabinet	PON2 540 1300000802	84.326	53,488
Through Kentucky Education and Workforce Development Cabinet	PON2 540 1400000568	84.326	119,633
Through Kentucky Education and Workforce Development Cabinet	PON2 540 1300000243	84.366	52,457
Through Kentucky Education and Workforce Development Cabinet	PON2 540 1300000731	84.366	4,565
Through Kentucky Education and Workforce Development Cabinet	PON2 540 1300000753	84.366	5,409
Through Kentucky Education and Workforce Development Cabinet	PON2 540 1300001859	84.366	96,287
Through Kentucky Education and Workforce Development Cabinet	PON2 540 1400000320	84.366	51,533
Through Kentucky Education and Workforce Development Cabinet	PON2 540 1400000329	84.366	136,497
Through Kentucky Education and Workforce Development Cabinet	PON2 540 1400000786	84.366	50,433
Through Kentucky Education and Workforce Development Cabinet	PON2 540 1400000827	84.366	64,343
Through Murray State University	OSP 2014-101	84.367	9,780
Through Kentucky Council on Postsecondary Education	PO2 415 1200003084	84.367	30,977
Through Kentucky Council on Postsecondary Education	PO2 415 1200003087	84.367	30,309
Through Kentucky Council on Postsecondary Education	PO2 415 1200003090	84.367	14,077
Through Kentucky Council on Postsecondary Education	PO2 415 1300003317	84.367	112,358
Through Kentucky Council on Postsecondary Education	PO2 415 1300003321	84.367	49,448
Through Kentucky Council on Postsecondary Education	PO2 415 1400003293	84.367	44,185
Through Kentucky Council on Postsecondary Education	PO2 415 1400003460	84.367	86,979
Through Kentucky Council on Postsecondary Education	PO2 415 1400003461	84.367	23,169
Through Westat	6189-S-007	84.373	164,206
Through University of Minnesota	A001945803	84.373	1,293,175
Through ARRA-Ohio State University	60029197 / PO RF01229388	84.396	218,175
Transition Programs for Students with Intellectual Disabilities into Higher Education		84.407	348,095
<b>SUBTOTAL DEPARTMENT OF EDUCATION</b>			<b>6,820,664</b>
Training in General Pediatric and Public Health Dentistry		93.059	505,431
Through Kentucky Energy and Environment Cabinet	PON2 129 1300000791	93.070	17,951
Food and Drug Administration Research		93.103	74,664
Through University of Louisville	AHEC 2012-2013	93.107	82,518
Through University of Louisville	ULRF-12-1074A1-01	93.107	168,361
Through University of Arizona	Y553522	93.110	5,863
Through The Commission for Children with Special Health Care Needs	PON2 767 1400000156	93.110	52,196
Maternal and Child Health Federal Consolidated Programs		93.110	35,436
Through Kentucky Cabinet for Health and Family Services	PON2 728 1200002206	93.110	1,994
Through Hemophilia of Georgia Inc	HRSA122013	93.110	17,384
Environmental Health		93.113	207,105
Through Kentucky Cabinet for Health and Family Services	PO2 728 1300005045	93.130	2,500
Through University of South Florida	6416-1013-11-A	93.135	23,497
Centers for Research and Demonstration for Health Promotion		93.135	1,247,286
Through Kentucky Association of Sexual Assault Programs	KASAP 072012	93.136	164
Injury Prevention and Control Research and State and Community Based Programs		93.136	703,498
Through Emory University Office of Sponsored Programs	T136337	93.145	26,519
Through American International Health	H-F4-ZAM-08-P-PTR-ZAMI	93.145	20,131
AIDS Education and Training Centers		93.145	183,727
Coordinated Services and Access to Research for Women Infants Children and Youth		93.153	370,401
Grants To States for Loan Repayment Program		93.165	215,886
Research Related to Deafness and Communication Disorders		93.173	8,670
Through University of North Carolina	5-42319	93.184	9,382
Through University of North Carolina	5-44792	93.184	5,254
Through North American Association Central Cancer Registries	2005-12-01	93.2005-12-01	6,015
Through University of Illinois	2010-06621-21-02	93.2010-06621-21-02	4,386
Telehealth Programs		93.211	176,996
Through Kentucky Cabinet for Health and Family Services	PON2 728 1200001981	93.217	(874)
Through Kentucky Cabinet for Health and Family Services	PON2 728 1300001977	93.217	115,229
Through Kentucky Cabinet for Health and Family Services	PO2 728 13000005192	93.236	6,425
State Rural Hospital Flexibility Program		93.241	540,482
Substance Abuse and Mental Health Services Projects of Regional and National Significance		93.243	419,506
Through Pennyroyal Regional Mental Health-Mental Retardation Board	999	93.243	57,560
Through Mountain Comprehensive Care Center	5H79TI023188-03	93.243	43,008

FEDERAL GRANTOR/PASS-THROUGH GRANTOR/PROGRAM OR CLUSTER TITLE	PASS-THROUGH ENTITY IDENTIFYING NUMBER	CFDA NUMBER	FEDERAL EXPENDITURES
Through Kentucky River Community Care Inc	SAMHSA092012	93.243	\$ 10,381
Through Kentucky Court of Justice	PON2 025 1200002134	93.243	50,846
Through Catholic Social Services of the Diocese	5H79SM059210-05	93.243	17,161
Public Health Training Centers Program		93.249	210,302
Occupational Safety and Health Program		93.262	576,218
Alcohol Research Programs		93.273	76,387
Drug Abuse and Addiction Research Programs		93.279	475,570
Through American Academy of Child and Adolescent Psychiatry	AACAP020210	93.279	8,799
Department of Health and Human Services Contract	2801808	93.2801808	67,999
Through Public Health Foundation	CDC042012	93.283	12,944
Through Kentucky Cabinet for Health and Family Services	PON2 728 1200001871	93.283	30,001
Through Kentucky Cabinet for Health and Family Services	PON2 728 1200002206	93.283	26,227
Through Kentucky Cabinet for Health and Family Services	PON2 728 1300000784	93.283	65,116
Through Kentucky Cabinet for Health and Family Services	PON2 728 1400000669	93.283	10,265
Centers for Disease Control and Prevention Investigations and Technical Assistance		93.283	1,690,270
Small Rural Hospital Improvement Grant Program		93.301	341,172
Department of Health and Human Services Contract	3217146	93.3217146	37,481
National Center for Advancing Translational Sciences		93.350	2,103
Through Vanderbilt University	VUMC35255-R	93.359	14,305
Through Vanderbilt University	VUMC35826	93.359	66,125
Through Vanderbilt University	VUMC35826-R	93.359	346
Through Kentucky Council on Developmental Disabilities	PO2 729 1400001975	93.360	65,095
National Center for Research Resources		93.389	278,139
Cancer Cause and Prevention Research		93.393	43,109
Through The Gynecologic Oncology Group	CA27469-33	93.395	5,806
Through Meharry Medical College	091214MKH358 04 01	93.397	1,821
Cancer Centers Support Grants		93.397	1,144,283
Cancer Research Manpower		93.398	550,756
Through Mathematica Policy Research Inc	40146S03625	93.40146S03625	42,226
Through Kentucky Cabinet for Health and Family Services	PON2 728 1200002206	93.505	46,788
Through Kentucky Cabinet for Health and Family Services	PON2 728 1300001748	93.505	76,511
Affordable Care Act (ACA) Public Health Training Centers Program		93.516	(486)
Through Kentucky Cabinet for Health and Family Services	PO2 728 1200005194	93.521	248,376
Through Kentucky Cabinet for Health and Family Services	PO2 728 1300005386	93.544	36,150
Through Kentucky Cabinet for Health and Family Services	PON2 736 1200003048	93.556	197,584
Through Eastern Kentucky University	452529-13-123	93.556	2,080
Through Eastern Kentucky University	4525680-14-139	93.556	38,239
Through Eastern Kentucky University	452680-14-144	93.556	339,691
University Centers for Excellence in Developmental Disabilities Education Research and Service		93.632	492,555
Through ARRA-Macro International Co	635243-10S-1572	93.635243-10S-1572	113,920
Through Kentucky Cabinet for Health and Family Services	PO2 736 1200004473	93.643	14,606
Through Eastern Kentucky University	452500-13-137	93.658	(12)
Through Eastern Kentucky University	452502-13-136	93.658	804
Through Eastern Kentucky University	452506-13-120	93.658	470
Through Eastern Kentucky University	452506-13-124	93.658	281
Through Eastern Kentucky University	452650-14-142	93.658	112,393
Through Eastern Kentucky University	452652-14-138	93.658	276,293
Through Eastern Kentucky University	452653-14-151	93.658	364,583
Through Eastern Kentucky University	452655-14-143	93.658	6,980
Through Eastern Kentucky University	452656-14-141	93.658	320,243
Through Eastern Kentucky University	452656-14-145	93.658	114,505
Through Eastern Kentucky University	452656-14-152	93.658	227,699
Through Kentucky Cabinet for Health and Family Services	PO2 736 1200004473	93.669	67,956
Through Eastern Kentucky University	452650-14-142	93.669	44,960
Child Abuse and Neglect Discretionary Activities		93.670	(10,810)
Through ARRA-Kentucky Cabinet for Health and Family Services	PO2 746 1200003495	93.718	353,895
Through ARRA-HealthBridge	90RC0025/01-UK	93.718	388,925
ARRA-Health Information Technology Regional Extension Centers Program		93.718	1,903,940
Through Kentucky Cabinet for Health and Family Services	PO2 746 1200002881	93.767	56,359
Through Kentucky Cabinet for Health and Family Services	PON2 746 1200001392	93.791	1,850,274
Cardiovascular Diseases Research		93.837	319,381
Lung Diseases Research		93.838	20,458
Arthritis Musculoskeletal and Skin Diseases Research		93.846	10,112
Diabetes Digestive and Kidney Diseases Extramural Research		93.847	147,048
Extramural Research Programs in the Neurosciences and Neurological Disorders		93.853	259,039
Allergy Immunology and Transplantation Research		93.855	8,000
Through University of Louisville	2 P20 GM103436-14	93.859	22,610
Through University of Louisville	ULRF 09-0109D1-07	93.859	93,022
Biomedical Research and Research Training		93.859	641,754
Aging Research		93.866	236,000
Grants for Training in Primary Care Medicine and Dentistry		93.884	256,317
Health Care and Other Facilities		93.887	121,889
Specially Selected Health Projects		93.888	(1,124)
Through University of Louisville	ULRF 13-1475A2	93.889	30,858
Through Kentucky Cabinet for Health and Family Services	PON2 728 1200002645	93.889	100,686
Resource and Manpower Development in the Environmental Health		93.894	7
Through Trover Clinic Foundation	HRS082012	93.912	10,419
Through Lotts Creek Community School Inc	1 D04RH23591-01-00	93.912	(11)
Through Kentucky Education and Workforce Development Cabinet	PON2 531 1200002711	93.912	15,556
Through Kentucky Education and Workforce Development Cabinet	PON2 531 1400000013	93.912	26,821
Grants to States for Operation of Offices of Rural Health		93.913	172,382
Through Kentucky Cabinet for Health and Family Services	PON2 728 1300000422	93.917	29,119
Through Kentucky Cabinet for Health and Family Services	PON2 728 1300001994	93.917	1,463,987
Through Emory University Office of Sponsored Programs	S847054	93.917	(702)
Through Emory University Office of Sponsored Programs	T066576	93.917	154,669
Grants to Provide Outpatient Early Intervention Services wit Respect to HIV Disease		93.918	627,814
Ryan White HIV/AIDS Dental Reimbursements/Community Based Dental Partnerships Grants		93.924	67,159
Special Projects of National Significance		93.928	26,548
Through Kentucky Cabinet for Health and Family Services	PON2 1200001987	93.944	76,150
Through Kentucky Cabinet for Health and Family Services	PON2 728 14000006411	93.945	10,265
PPHF-2012 Geriatric Education Centers		93.969	417,392
Through Kentucky Cabinet for Health and Family Services	PO2 728 1200004963	93.994	4,542
Through Kentucky Cabinet for Health and Family Services	PO2 728 1200005251	93.994	227,947
Through Kentucky Cabinet for Health and Family Services	PON2 728 1200001981	93.994	(60)
Through Kentucky Cabinet for Health and Family Services	PON2 728 1200002124	93.994	(381)
Through Kentucky Cabinet for Health and Family Services	PON2 728 1200002194	93.994	37,941
Through Kentucky Cabinet for Health and Family Services	PON2 728 1200002277	93.994	130,757
Through Kentucky Cabinet for Health and Family Services	PON2 728 1300002245	93.994	5,153
Through George Washington University	12-M101	93.999	1,103
Department of Health and Human Services Contract	HHSF223201110045C	93.HHSF223201110045C	875
Department of Health and Human Services Contract	HHSF223201310059C	93.HHSF223201310059C	44,982
Department of Health and Human Services Contract	HHSN261201000031C	93.HHSN261201000031C	148,356
<b>SUBTOTAL DEPARTMENT OF HEALTH AND HUMAN SERVICES</b>			<b>24,926,396</b>
Through National Institute for Hometown Security	01-09-UK	97.01-09-UK	238,388
Through Kentucky Department of Military Affairs	PO2 095 1200004867	97.039	7,059
Through Kentucky Department of Military Affairs	PON2 095 1100001421	97.039	90,970
Through Kentucky Department of Military Affairs	PON2 095 1100001569	97.039	1,165,524
Assistance to Firefighters Grant		97.044	188,702
Through Kentucky Department of Military Affairs	PO2 095 1200006117	97.047	234,197
<b>SUBTOTAL DEPARTMENT OF HOMELAND SECURITY</b>			<b>1,924,840</b>
Through Oklahoma State University	AB-5-18880-UKRF	98.001	5,471
Through FHI Development 360 LLC	4273-UKY-01	98.4273-UKY-01	5,025
Through Chemonics International Inc	AID-497-C-12-00001-UKY-0	98.AID-497-C-12-00001-UKY-0	169,477
<b>SUBTOTAL US AGENCY FOR INTERNATIONAL DEVELOPMENT</b>			<b>179,973</b>
<b>TOTAL OTHER FEDERAL ASSISTANCE</b>			<b>56,598,888</b>
<b>GRAND TOTAL SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS</b>			<b>\$ 444,564,501</b>

**University of Kentucky**  
**A Component Unit of the Commonwealth of Kentucky**  
**Notes to Schedule of Expenditures of Federal Awards**  
**Year Ended June 30, 2014**

**Note 1: Basis of Presentation**

The accompanying Schedule of Expenditures of Federal Awards (Schedule) of the University of Kentucky (University) has been prepared in the format as set forth in the U.S. Office of Management and Budget (OMB) Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Therefore, some amounts presented in this Schedule may differ from amounts presented in, or used in the preparation of, the basic financial statements. The purpose of the Schedule is to present a consolidated summary of those expenditures of the University for the year ended June 30, 2014, which has been financed by the U.S. Government (Federal awards). For purposes of the Schedule, Federal awards include all Federal assistance and procurement relationships entered into directly and indirectly between the University and the Federal government and sub-awards from nonfederal organizations made under federally sponsored agreements.

The accounting principles followed by the University and used in preparing the Schedule are as follows:

- The Schedule includes amounts expended by the University and its affiliated corporation, the University of Kentucky Research Foundation, Inc.
- Deductions (expenditures) for direct costs are recognized as incurred using the accrual method of accounting and the cost accounting principles contained in OMB Circular A-21, *Cost Principles for Educational Institutions*. Under those cost principles, certain types of expenditures are not allowable or are limited as to reimbursement. Moreover, expenditures include a portion of costs associated with general University activities (facilities and administrative costs) which are allocated to awards under negotiated formulas commonly referred to as indirect cost rates.

**University of Kentucky**  
**A Component Unit of the Commonwealth of Kentucky**  
**Notes to Schedule of Expenditures of Federal Awards**  
**Year Ended June 30, 2014**

**Note 2: Subrecipients**

Of the federal expenditures presented in the Schedule, the University provided federal awards to subrecipients as follows:

<b>CFDA Description</b>	<b>CFDA Number</b>	<b>Fiscal 2014 Expenditures</b>
Payments to Agricultural Experiment Stations Under the Hatch Act	10.203	\$ 154
Sustainable Agriculture Research and Education	10.215	61,197
Higher Education Challenge Grants	10.217	133
Biotechnology Risk Assessment Research	10.219	53,849
Agriculture and Food Research Initiative (AFR)	10.310	554,176
Beginning Farmer and Rancher Development Program	10.311	32,853
Cooperative Extension Service	10.500	158,199
US Department of Agriculture Contract	10.XXX	1,818,934
Economic Adjustment Assistance	11.307	43,527
Measurement and Engineering Research and Standards	11.609	40,199
Basic Scientific Research	12.431	176
Research and Technology Development	12.910	72,016
Sustainable Communities Regional Planning Grant Program	14.703	104,455
Assistance to State Water Resources Research Institutes	15.805	7,727
National Institute of Justice Research Evaluation and Development Project Grants	16.560	51,855
Environmental and Scientific Partnerships and Programs	19.017	108,684
State Planning and Research	20.515	109,509
Science	43.001	77,573
Aeronautics	43.002	5,498
Education	43.008	238,164
National Aeronautics Space Commission Contract	43.XXX	73,339
Mathematical and Physical Sciences	47.049	44,581
Computer and Information Science and Engineering	47.070	7,729
Biological Sciences	47.074	31,631
Education and Human Resources	47.076	(17,213)
Office of Experimental Program to Stimulate Competitive Research	47.081	909,246
Trans-NSF Recovery Act Research Support	47.082	22,117
Small Business Development Centers	59.037	660,905
Potomac Highlands Implementation Grants	66.050	7,000
Nonpoint Source Implementation Grants	66.460	188,613
Science To Achieve Results (STAR) Research Program	66.509	127,305
Office of Science Financial Assistance Program	81.049	386,047
Fossil Energy Research and Development	81.089	258,837
Department of Energy Contract	81.XXX	119,383
Special Education Grants to States	84.027	(3,445)
Fund for the Improvement of Postsecondary Education	84.116	52,373
Research in Special Education	84.324	163,101
Special Education Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities	84.326	325,704

**University of Kentucky**  
**A Component Unit of the Commonwealth of Kentucky**  
**Notes to Schedule of Expenditures of Federal Awards**  
**Year Ended June 30, 2014**

<b>CFDA Description</b>	<b>CFDA Number</b>	<b>Fiscal 2014 Expenditures</b>
Special Education Technical Assistance on State Data Collection	84.373	1,683
Transition Programs for Students with Intellectual Disabilities into Higher Education	84.407	57,828
Training in General Pediatric and Public Health Dentistry	93.059	51,153
Area Health Education Centers Point of Service Maintenance and Enhancement Awards	93.107	188,180
Centers for Research and Demonstration for Health Promotion	93.135	211,134
Injury Prevention and Control Research and State and Community Based Programs	93.136	155,400
Grants To States for Loan Repayment Program	93.165	215,886
Telehealth Programs	93.211	109,031
State Rural Hospital Flexibility Program	93.241	226,944
Mental Health Research Grants	93.242	102,157
Substance Abuse and Mental Health Services Projects of Regional and National Significance	93.243	5,833
Public Health Training Centers Program	93.249	7,222
Occupational Safety and Health Program	93.262	263,434
Drug Abuse and Addiction Research Programs	93.279	962,912
Centers for Disease Control and Prevention Investigations and Technical Assistance	93.283	127,126
Small Rural Hospital Improvement Grant Program	93.301	295,854
Minority Health and Health Disparities Research	93.307	34,681
Trans-NIH Research Support	93.310	31,065
National Center for Advancing Translational Sciences	93.350	141,947
Nursing Research	93.361	517
Cancer Cause and Prevention Research	93.393	21,299
Cancer Detection and Diagnosis Research	93.394	96,433
Cancer Treatment Research	93.395	54,685
Cancer Biology Research	93.396	104,669
Cancer Centers Support Grants	93.397	722,469
Cancer Control	93.399	22,315
Temporary Assistance for Needy Families	93.558	2,487,365
Strong Start for Mothers and Newborns	93.611	118,511
Non-Construction Grants	93.701	29,570
Health Information Technology Regional Extension Centers Program	93.718	82,500
Cardiovascular Diseases Research	93.837	10,366
Arthritis Musculoskeletal and Skin Diseases Research	93.846	124,865
Diabetes Digestive and Kidney Diseases Extramural Research	93.847	4,517
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853	65,448
Allergy Immunology and Transplantation Research	93.855	5,900
Biomedical Research and Research Training	93.859	359,456
Child Health and Human Development Extramural Research	93.865	74,280
Aging Research	93.866	736,329
National Bioterrorism Hospital Preparedness Program	93.889	16,883
PPHF-2012 Geriatric Education Centers	93.969	317,329
Department of Health and Human Services Contract	93.XXX	1,125
Department of Homeland Security Contract	97.XXX	371,763
<b>TOTAL FEDERAL FUNDS PROVIDED TO SUBRECIPIENTS</b>		<b>\$ 15,914,195</b>

**University of Kentucky**  
**A Component Unit of the Commonwealth of Kentucky**  
**Notes to Schedule of Expenditures of Federal Awards**  
**Year Ended June 30, 2014**

**Note 3: Noncash Financial Assistance**

The following loans received by students and outstanding loan balances are included in the Schedule in accordance with OMB Circular A-133, *Audits of States, Local Government, and Non-Profit Organizations*.

Loans received by students for the year ended June 30, 2014, were as follows:

	<u>CFDA #</u>	<u>Total</u>
Federal Perkins Loan Program	84.038	\$ 4,685,218
Nurse Faculty Loan Program	93.264	31,809
Health Professions Primary Care	93.342	<u>418,500</u>
Total noncash financial assistance		<u>\$ 5,135,527</u>

Outstanding loan balances at June 30, 2014, were as follows:

	<u>CFDA #</u>	<u>Total</u>
Federal Perkins Loan Program	84.038	\$ 20,345,726
Nurse Faculty Loan Program	93.264	115,455
Health Professions Primary Care	93.342	<u>4,856,958</u>
Total student loans outstanding		<u>\$ 25,318,139</u>

**Independent Auditor's Report on Internal Control Over Financial Reporting and on Compliance and Other Matters Based on an Audit of the Financial Statements Performed in Accordance With Government Auditing Standards**

Board of Trustees  
University of Kentucky  
Lexington, Kentucky

We have audited, in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States, the basic financial statements of the University of Kentucky (University), a component unit of the Commonwealth of Kentucky, which comprise the statement of financial position as of June 30, 2014, and the related statements of revenues, expenses and changes in net position and cash flows for the year then ended and the related notes to the basic financial statements, and have issued our report thereon dated October 3, 2014, which contained a reference to the report of other auditors and an emphasis of a matter paragraph regarding a change in an accounting principle. Other auditors audited the financial statements of Kentucky Medical Services Foundation, Inc. (KMSF), as described in our report on the University's financial statements. The financial statements of KMSF, a component unit included in the financial statements of the University, were not audited in accordance with *Governmental Auditing Standards*.

### **Internal Control Over Financial Reporting**

Management of the University is responsible for establishing and maintaining effective internal control over financial reporting (internal control). In planning and performing our audit, we considered the University's internal control to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the University's internal control. Accordingly, we do not express an opinion on the effectiveness of the University's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect and correct misstatements on a timely basis. A material weakness is a deficiency, or a combination of deficiencies, in internal control such that there is a reasonable possibility that a material misstatement of the University's financial statements will not be prevented or detected and corrected on a timely basis. A significant deficiency is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.



Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses as defined above. However, material weaknesses may exist that have not been identified.

## **Compliance and Other Matters**

As part of obtaining reasonable assurance about whether the University's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

## **Purpose of this Report**

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing and not to provide an opinion on the effectiveness of the University's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the University's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

**BKD, LLP**

Louisville, Kentucky  
October 3, 2014

**Report on Compliance for Each Major Federal Program,  
Report on Internal Control Over Compliance and  
Report on Schedule of Expenditures of Federal Awards Required by  
OMB Circular A-133**

**Independent Auditor's Report**

Board of Directors  
University of Kentucky  
Lexington, Kentucky

**Report on Compliance for Each Major Federal Program**

We have audited the compliance of the University of Kentucky (University) with the types of compliance requirements described in the OMB Circular A-133, *Compliance Supplement* that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2014. The University's major federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs.

***Management's Responsibility***

Management is responsible for compliance with the requirements of laws, regulations, contracts and grants applicable to its federal programs.

***Auditor's Responsibility***

Our responsibility is to express an opinion on compliance for each of the University's major federal programs based on our audit of the types of compliance requirements referred to above.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America, the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the University's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of the University's compliance.

### ***Basis for Qualified Opinion on Student Financial Aid Cluster***

As described in item 2014-001 in the accompanying schedule of findings and questioned costs, the University did not comply with requirements regarding verification for its Student Financial Aid Cluster program. Compliance with such requirements is necessary, in our opinion, for the University to comply with requirements applicable to that program.

### ***Qualified Opinion on Student Financial Aid Cluster***

In our opinion, except for the noncompliance described in the Basis for Qualified Opinion on Student Financial Aid Cluster paragraph, the University complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on the Student Financial Aid cluster for the year ended June 30, 2014.

### ***Unmodified Opinion on Each of the Other Major Federal Programs***

In our opinion, the University complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its other major federal programs identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs for the year ended June 30, 2014.

### ***Other Matter***

The University's response to the finding identified in our audit is described in the accompanying schedule of findings and questioned costs. The University's response was not subjected to the auditing procedures applied in the audit of compliance and, accordingly, we express no opinion on the response.

### **Report on Internal Control Over Compliance**

Management of the University is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered the University's internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing our opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the University's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. A material weakness in internal control over compliance is a deficiency, or combination of deficiencies, in internal control over compliance such

that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. A significant deficiency in internal control over compliance is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses and therefore, material weaknesses may exist that were not identified. We consider the deficiency in internal control over compliance described in the accompanying schedule of findings and questioned costs as item 2014-001 to be a material weakness.

The University's response to the finding identified in our audit is described in the accompanying schedule of findings and questioned costs. The University's response was not subjected to the auditing procedures applied in the audit of compliance and, accordingly, we express no opinion on the response.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of OMB Circular A-133. Accordingly, this report is not suitable for any other purpose.

### **Report on Schedule of Expenditures of Federal Awards Required by OMB Circular A-133**

We have audited the financial statements of the University as of and for the year ended June 30, 2014, and the related notes to the financial statements, which collectively comprise the University's basic financial statements. We issued our report thereon dated October 3, 2014, which contained an unmodified opinion on those financial statements, a reference to the report of other auditors, and an emphasis of a matter paragraph regarding a change in an accounting principle. Our audit was performed for the purpose of forming an opinion on the financial statements that collectively comprise the basic financial statements. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by OMB Circular A-133 and is not a required part of the basic financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the basic financial statements. The information has been subjected to the auditing procedures applied in the audit of the basic financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the schedule of expenditures of federal awards is fairly stated in all material respects in relation to the basic financial statements as a whole.

*BKD, LLP*

Louisville, Kentucky  
October 3, 2014

**University of Kentucky**  
**A Component Unit of the Commonwealth of Kentucky**  
**Schedule of Findings and Questioned Costs**  
**Year Ended June 30, 2014**

***Summary of Auditor's Results***

1. The opinion expressed in the independent auditor's report was:  
 Unmodified       Qualified       Adverse       Disclaimer
  
2. The independent auditor's report on internal control over financial reporting disclosed:  
Significant deficiency(ies)?       Yes       None reported  
Material weakness(es)?       Yes       No
  
3. Noncompliance considered material to the financial statements was disclosed by the audit?       Yes       No
  
4. The independent auditor's report on internal control over compliance for major federal awards programs disclosed:  
Significant deficiency(ies)?       Yes       None reported  
Material weakness(es)?       Yes       No
  
5. The opinions expressed in the independent auditor's report on compliance for major federal awards were:  
 Unmodified       Qualified       Adverse       Disclaimer  
  
SNAP Cluster – Unmodified  
Research and Development Cluster – Unmodified  
Student Financial Aid Cluster – Qualified
  
6. The audit disclosed findings required to be reported by OMB Circular A-133?       Yes       No

**University of Kentucky**  
**A Component Unit of the Commonwealth of Kentucky**  
**Schedule of Findings and Questioned Costs**  
**Year Ended June 30, 2014**

7. The University's major programs were:

Cluster/Program	CFDA Number
SNAP Cluster	10.561
Research and Development Cluster	Various
Student Financial Aid Cluster	Various

8. The threshold used to distinguish between Type A and Type B programs as those terms are defined in OMB Circular A-133 was \$3,000,000.

9. The Organization qualified as a low-risk auditee as that term is defined in OMB Circular A-133?  Yes  No

**University of Kentucky**  
**A Component Unit of the Commonwealth of Kentucky**  
**Schedule of Findings and Questioned Costs**  
**Year Ended June 30, 2014**

**Findings Required to be Reported by *Government Auditing Standards***

<b>Reference Number</b>	<b>Finding</b>	<b>Questioned Costs</b>
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No matters are reportable.

**University of Kentucky**  
**A Component Unit of the Commonwealth of Kentucky**  
**Schedule of Findings and Questioned Costs**  
**Year Ended June 30, 2014**

**Findings Required to be Reported by OMB Circular A-133**

Reference Number	Finding	Questioned Costs
2014-001	<b>Federal Program – Student Financial Aid Cluster, U.S. Department of Education, Program Year 2014</b>	\$ 2,583

**Criteria or Specific Requirement:** Special tests and provisions – Verification

**Condition:** Federal regulations provide that the University is responsible for verifying certain information on the student’s application for financial aid. The University did not update certain students’ award information for exceptions noted during the verification program.

**Context:** Out of a population of 4,045 students selected by the Central Processing System for verification, a sample of 25 students was selected for testing. For three students tested, the University did not properly complete verification and update the students’ applications. For two of these students, the student’s Expected Family Contribution changed based on these updates.

**Effect:** An incorrect amount of Pell Grants and direct loans were awarded to one student.

**Cause:** The Financial Aid Office did not obtain appropriate information to verify the information on the students’ applications in some instances and did not update the amounts awarded based on the information received.

**Recommendation:** The University should ensure all information obtained during the verification process is appropriate and sufficient and is used to update the student applications/financial aid awards. This could include adding a secondary review of the verification changes worksheet and information technology system.

**Views of Responsible Officials and Planned Corrective Action**

**Plan:** Management is in agreement that at the time of the audit testing, the verification records for three students were not completed properly. The University contracted with our state



**University of Kentucky**  
**A Component Unit of the Commonwealth of Kentucky**  
**Schedule of Findings and Questioned Costs**  
**Year Ended June 30, 2014**

Reference Number	Finding	Questioned Costs
	<p>agency, Kentucky Higher Education Assistance Authority (KHEAA), for verification services beginning June 2013. As part of its verification process, KHEAA completes an initial and second verification review of all verified files. The mistakes which resulted in this finding were made by the staff at KHEAA during the early phase of implementing the University's contract.</p> <p>In December of 2013, KHEAA implemented a new quality control program which should eliminate the likelihood of these types of errors in the future. As part of the quality control program KHEAA will be continuously monitoring the flow of the verification process and will be regularly reviewing the document processing on behalf of the University of Kentucky. Additionally, KHEAA will conduct a monthly evaluation and verification review of 10 percent of all the accounts with verification completed by the agency, testing to ensure accurate handling of the verification process and outcomes. They will be conducting selected reviews of individual employees as part of this process based on their level of training and/or the results of prior reviews. In addition to these reviews, KHEAA will also be testing 5 percent of all the documents imaged, 5 percent of all electronic correspondences (emails) and 5 percent of all telephone communications to ensure accuracy of their handling and disposition.</p> <p>The University is currently receiving a report denoting the outcomes of these reviews each month, which is promptly reviewed and discussed with KHEAA's management. In addition to these aggressive steps implemented by KHEAA to ensure UK's Title IV compliance, the UK Student Financial Aid office will implement an in-house review process beginning in the 2014-2015 aid year. Each fall semester, UK staff will review 100 randomly selected KHEAA verified records during the month of October to ensure accuracy. If the review results in an error finding, then we will request that KHEAA take action to resolve the finding during the current semester.</p> <p>UK is confident that the actions already taken by KHEAA and University Staff will help to ensure accurate verification in future years.</p>	

**University of Kentucky**  
**A Component Unit of the Commonwealth of Kentucky**  
**Summary Schedule of Prior Audit Findings**  
**Year Ended June 30, 2014**

<b>Reference Number</b>	<b>Summary of Finding</b>	<b>Status</b>
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No matters are reportable.